

# Regional Solid Waste Association

*City of Del Mar*  
Dwight Worden

*City of Encinitas*  
Catherine Blakespear

*City of National City*  
Ron Morrison

*City of Poway*  
Dave Grosch

*City of Solana Beach*  
Kelly Harless

*City of Vista*  
Joe Green

**Special Contract Party**  
*City of Escondido*  
Michael Morasco

*General Manager/General Counsel*  
James H. Eggart

## REGULAR MEETING AGENDA

**October 7, 2021**  
**9:00 a.m.**

**Via Teleconference / Video Conference Only**  
**Pursuant to Government Code Section 54953(e)**



**REGIONAL SOLID WASTE ASSOCIATION**

**REGULAR MEETING AGENDA**

**October 7, 2021**

**9:00 a.m.**

**VIA TELECONFERENCE / VIDEO CONFERENCE ONLY**

**In the interest of public health, this meeting will be conducted utilizing teleconferencing and electronic means only in accordance with Assembly Bill 361. There will be no in person participation at the Fletcher Cove Community Center. Members of the public may view and participate in this meeting via Zoom or by teleconference by using the link or telephone number below:**

**<https://us06web.zoom.us/j/87009033463?pwd=N1hFaUMxZFBtK3FZWnpNeHc0cm5MZz09>**

**Phone No.: (669) 900-6833**

**Meeting ID: 870 0903 3463**

**Passcode: 685989**

**1. CALL TO ORDER AND PLEDGE OF ALLEGIANCE**

**2. SWEARING-IN OF NEW BOARD MEMBER(S) - ACTION ITEM**

This item is scheduled to swear-in new Board Members as the delegate/ representative from a member city.

**3. ORAL COMMUNICATIONS**

This item is scheduled to provide an opportunity for public testimony on matters within the jurisdiction of the Association. The Association may receive comments but no action may be taken.

**4. CONSENT CALENDAR – ACTION ITEMS (*Roll Call Vote*)**

(Consent Calendar items will be acted on simultaneously with one motion unless separate discussion and/or action is requested by a Board Member.)

- A.** Resolution No. 2021-05, A Resolution of the Board of Directors of the Regional Solid Waste Association Making Findings in Accordance with Assembly Bill 361 and Government Code Section 54953(e) and Authorizing Use of Remote Teleconference Meetings. – Recommended Action: Approve Resolution No 2021-05 as Presented.

- B. Minutes of the June 24, 2021 Regular Meeting of the Board of the Regional Solid Waste Association – Recommended Action: Receive and File.
- C. Minutes of the July 22, 2021 Special Meeting re Transfer of Fiduciary Duties – Recommended Action: Receive and File.
- D. RSWA Website Activity Report for Fiscal Year 20/21 – Recommended Action: Receive and File.
- E. RSWA Website Activity Report for the first quarter of Fiscal Year 2021/2022 – Recommended Action: Receive and File.

5. **FINANCE AND BUDGET - INFORMATION AND ACTION ITEMS**

- A. **Review Final Financial Reports for FY 2020/2021 – Information Item (Receive and File) (Roll Call Vote)**

General Manager Eggart will review the final budget status, cash activity, and investment reports for FY 2020/2021. Recommended Action: Receive and File

- B. **Review Investment Report for the First Quarter of Fiscal Year 2021/2022 – Information Item (Receive and File) (Roll Call Vote)**

General Manager Eggart will review the investment reports for the First Quarter of FY 2021/2022 with the Board. Recommended Action: Receive and File.

- C. **Review Budget and Expenditure Status for the First Quarter of Fiscal Year 2021/2022 – Information Item (Receive and File) (Roll Call Vote)**

General Manager Eggart will review the budget status, financial status, and cash activity reports for the First Quarter of FY 2021/2022 with the Board. Recommended Action: Receive and File.

- D. **Report on Environmental Enhancement Program and HHW & Organic Waste Education Distributions – Information Item (Receive and File) (Roll Call Vote)**

General Manager Eggart will provide a report on the Environmental Enhancement Program and Educational Appropriation distributions to the RSWA member cities. Recommended Action: Receive and File

6. **HOUSEHOLD HAZARDOUS WASTE (HHW), ORGANIC WASTE, AND OTHER SOLID WASTE ISSUES – INFORMATION AND ACTION ITEMS**

**A. HHW Participation Reports – Information Item**

General Manager Eggart and Ashlee Stratakis of the City of Encinitas will review the HHW participation summary reports for the first quarter of FY 2021/2022 with the Board.

**B. Legislative Update – Information and Potential Action Item (*Roll Call Vote*)**

General Manager Eggart will update the Board on pending legislation of interest relating to solid waste, organic waste and household hazardous waste. The Board may provide direction regarding taking positions on specific pieces of legislation.

**C. SB 1383 Compliance Support Services – Information and Potential Action Item (*Roll Call Vote*)**

The Board will receive a report on the status of the SB 1383 compliance support services being provided to the RSWA Member Cities by Solana Center for Environmental Innovation and San Diego Food System Alliance and additional steps RSWA Member cities will need to take for SB 1383 compliance. The Board may discuss and/or provide further direction regarding future RSWA funding for SB 1383 compliance activities.

**D. Update on HHW Issues, Organics Disposal Issues, and Other Potential Future Issues – Information Item**

General Manager Eggart will update the Board on other current household hazardous waste, organics, and solid waste issues, and other potential future issues affecting RSWA.

7. **ADMINISTRATIVE MATTERS – INFORMATION AND ACTION ITEMS**

**A. Adoption of Conflict of Interest Code – Action Item (*Roll Call Vote*)**

The Board will consider adopting a Conflict of Interest Code in order to facilitate the electronic filing of Statements of Economic Interest via the County of San Diego's eDisclosure™ system.

Recommended Action: Adopt Resolution No. 2021-06, A Resolution of the Board of Directors of the Regional Solid Waste Association Adopting a Conflict of Interest Code.

**B. Review Future Meeting Schedule – Possible Action Item (*Roll Call Vote*)**

This item is scheduled for discussion and approval of the future meeting schedule for the Board of Directors. The current regular meeting schedule is:

January 6, 2022  
April 7, 2022  
July 7, 2022

The Board may make changes to the time, date, location, and/or format of future meetings. The Board may also discuss scheduling future special meetings pursuant to AB 361 or for other purposes.

**C. Approval of Board Member and General Manager Attendance at and Reimbursement of Conference Expenses for all Meetings, Including Out of State Meetings, of the Following Organizations, (“List of Accepted Organizations and Conferences” – Action Item (*Roll Call Vote*))**

1. SWANA (Solid Waste Association of North America) sponsored conferences and SWANA chapter meetings
2. Waste 360 – Waste Age and Waste Expo Events, including WasteExpo
3. NSWMA (National Solid Waste Management Association) and WASTEC (Waste Equipment Technology Association) sponsored conferences
4. NAHMMA (North American Hazardous Materials Management Association) sponsored conferences and meetings
5. National Recycling Coalition sponsored events
6. California Department of Resources Recycling and Recovery (CalRecycle) sponsored events

Recommended Action: Approve Board Member and General Manager attendance at meetings, including out of state meetings, on the List of Accepted Organizations and Conferences, and the reimbursement of associated expenses. This includes authorization for reimbursement of actual costs for attendance that exceed \$1,000.

**D. Reports by Board Members and/or RSWA Staff Regarding Conferences Attended – Information Item**

This item is scheduled to provide an opportunity for Board Members or RSWA Staff to report on conferences attended.

**E. Discussion Regarding the Addition of New Members, Participation of Non-Member Agencies in RSWA Activities, and Sharing of Information and Documents with Non-Member Agencies – Potential Action Item**

This item is scheduled for the Board to discuss developing policies regarding the addition of new RSWA members, the terms pursuant to which agencies that are not RSWA members may utilize RSWA's resources and/or collaborate with RSWA in the contracting for mutually beneficial services, and the conditions for sharing information and work product developed by RSWA with other local agencies. The Board may form a subcommittee and/or provide further direction to the General Manager.

**8. COMMENTS FROM ASSOCIATION MEMBERS, GENERAL COUNSEL AND GENERAL MANAGER**

The Members may ask questions, make comments and ask that any matter be agenized for consideration at a later meeting.

**9. ADJOURNMENT**

Adjournment to the meeting of January 6, 2022 at 9:00 a.m. at the Fletcher Cove Community Center, 133 Pacific Avenue, Solana Beach, CA.

## REGIONAL SOLID WASTE ASSOCIATION

### ADDRESSING THE ASSOCIATION BOARD

In the interest of public health, this meeting will be conducted utilizing teleconferencing and electronic means only in accordance with Assembly Bill 361. There will be no in person participation at the Fletcher Cove Community Center. Members of the public may view and participate in this meeting via Zoom or by teleconference by using the link or telephone number below:

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Phone No.: (669) 900-6833

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If you wish to speak to an item not on the posted agenda, but within the Association's subject matter jurisdiction, please advise the moderator that you wish to speak during Oral Communications. The Brown Act does not allow any discussion or action by the Board or staff on matters raised during public comment except: 1) to briefly respond to statements made or questions posed, 2) ask a question for clarification, 3) receive and file the matter, 4) if it is within staff's authority, refer it to them for a reply, or 5) direct that it be placed on a future board agenda for a report or action. A reasonable amount of time will be allocated by the Chair for public comment. Time donations are not permitted during Oral Communications.

If you wish to speak to an item on the agenda, please advise the moderator which agenda Item number you wish to before that item has been announced by the Chair. To donate time to another person, advise the moderator of that fact. The person or persons donating time must be present on the teleconference or video conference when the speaker to whom they have donated time is heard.

In order to provide an opportunity for public comment by members of the public who are unable to participate in the meeting by teleconference or electronic means, comments may be submitted via e-mail at [james@rswa.info](mailto:james@rswa.info).

**ADDITIONAL INFORMATION:** This agenda provides a brief description of each item to be considered by the Association Board. All revised or additional documents and writings related to any items on the agenda, which are distributed to all or a majority of the Board Members within 72 hours preceding a meeting, shall be made available for public inspection on the Regional Solid Waste Association website at <http://rswa.info>. Additional information is available through the General Manager to the Association at (714) 415-1062.

**PUBLIC NOTICE FOR THE REGULAR MEETING OF  
THE REGIONAL SOLID WASTE ASSOCIATION**

**October 7, 2021  
9:00 a.m.**

\*\*\*\*\*

**VIA TELECONFERENCE / VIDEO CONFERENCE ONLY  
Fletcher Cove Community Center  
133 Pacific Avenue  
Solana Beach, CA**

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**Phone No.: (669) 900-6833**

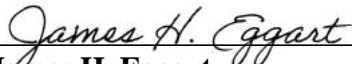
**Meeting ID: 870 0903 3463**

**Passcode: 685989**

**In accordance with the requirements of California Government Code Section 54954.2(a)(1), this Notice and the Agenda has been posted on the Regional Solid Waste website at <http://rswa.info>, at Fletcher Cove Community Center, 133 Pacific Avenue, Solana Beach, CA, and Solana Beach City Hall, 635 South Highway 101, Solana Beach, CA not less than 72 hours prior to the meeting date and time above.**

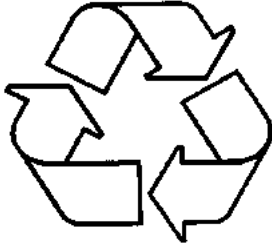
**In compliance with the Americans With Disabilities Act, if you need special assistance to participate in this meeting, please contact the Association's General Manager, James Eggart, at (714) 415-1062 at least forty-eight (48) hours prior to the meeting to allow the Association to make reasonable arrangements to ensure accessibility to this meeting.**

**I, James H. Eggart, General Manager of the Regional Solid Waste Association, do hereby declare that I caused the posting of the agenda for the regular meeting of the Regional Solid Waste Association for October 7, 2021 in the locations listed above which are freely accessible to the public at or prior to 9:00 a.m. on October 4, 2021.**

  
**James H. Eggart**  
**General Manager**  
**Regional Solid Waste Association**



Item 4.A.



# **REGIONAL SOLID WASTE ASSOCIATION**

Member Agencies: Cities of Del Mar, Encinitas, Escondido,  
National City, Poway, Solana Beach, and Vista

To: RSWA Board Members

From: General Manager James H. Eggart

Subject: Resolution Making Findings in Accordance with Assembly Bill 361 and Government Code Section 54953(e) and Authorizing Use of Remote Teleconference Meetings.

Date: October 7, 2021

## **BACKGROUND**

Since June 2020, the RSWA Board has been meeting virtually (via Zoom) in accordance with Governor Newsom’s Executive Orders N-29-20 and N-08-21, which relaxed certain teleconferencing requirements under the Brown Act. The Executive Orders expired on September 30th. However, AB 361 (Chapter 165, Statutes of 2021) was recently approved by the Legislature and signed by Governor Newsom on September 16, 2021. AB 361 was an urgency measure and went into effect immediately. This legislation amends the Brown Act to allow local legislative bodies to continue to meet by teleconference during a proclaimed state of emergency under relaxed rules if certain findings are made at least every 30 days.

## **DISCUSSION**

On March 4, 2020 Governor Newsom declared a State of Emergency in the State of California due to the COVID-19 pandemic. In addition, the City of Solana Beach has declared a Local Emergency due to COVID-19 and nonessential City facilities remain closed to the public. As a result, Fletcher Cove Community Center, which is RSWA’s designated regular meeting location, is unavailable.

AB 361 permits a local legislative body to hold an entirely remote virtual teleconference meeting during a Governor-declared state of emergency if state or local officials have imposed or recommended measures to promote social distancing and/or the legislative body determines that, as a result of the emergency, meeting in person would present imminent risks to the health or safety of attendees.

In addition, AB 361 requires the following:

- The legislative body must make findings by majority vote within 30 days of the first teleconferenced meeting under AB 361 and every 30 days thereafter that a state of emergency still exists and continues to directly impact the ability of the members to meet safely in person, or that state or local officials continue to impose or recommend measures to promote social distancing;
- The local agency must publish and post the meeting agendas in compliance with all other Brown Act requirements and must allow a public comment period where the public can address the legislative body directly.
- The local agency may not limit public comments to only comments submitted in advance and "must provide an opportunity for the public to ... offer comment in real time."
- The legislative body must allow a reasonable time for public comment during the comment periods.
- The agenda must include information on the manner in which the public may access the meeting and provide comments remotely.
- If technical problems arise that result in the public's access being disrupted, the legislative body may not take any vote or other official action until the technical disruption is corrected and public access is restored.

AB 361 will sunset on January 1, 2024.

By adopting the attached Resolution, the Board of Directors will be permitted to meet virtually in accordance with AB 361 for up to 30 days.

Since the Board only holds regular meetings quarterly, if the Board wishes to continue to meet virtually after the October 7, 2021 Board meeting, it will need to hold teleconference special meetings at least every 30 days between its quarterly regular meetings to make the findings required by AB 361.

### **RECOMMENDATION**

It is recommended that the Board adopt Resolution No. 2021-05 Making Findings in Accordance with Assembly Bill 361 and Government Code Section 54953(e) and Authorizing Use of Remote Teleconference Meetings.

### **ATTACHMENTS**

Proposed Resolution No. 2021-05.

**Resolution No. 2021-05**

**A RESOLUTION OF THE BOARD OF DIRECTORS OF THE REGIONAL SOLID WASTE ASSOCIATION MAKING FINDINGS IN ACCORDANCE WITH ASSEMBLY BILL 361 AND GOVERNMENT CODE SECTION 54953(e) AND AUTHORIZING USE OF REMOTE TELECONFERENCE MEETINGS.**

**WHEREAS**, the Board of Directors of the Regional Solid Waste Association (“RSWA”) holds regular and special public meetings pursuant to the Ralph M. Brown Act (the “Brown Act”), Government Code section 54950, et seq..

**WHEREAS**, pursuant to RSWA’s Bylaws, the designated location for regular meetings of the RSWA Board of Directors is the Fletcher Cove Community Center in the City of Solana Beach.

**WHEREAS**, on September 16, 2021 Governor Newsome signed Assembly Bill (“AB”) 361, which added subsection (e) to Government Code section 54953 and makes provision for remote teleconferencing participation in meetings by members of a legislative body, without compliance with the requirements of Government Code section 54953(b)(3), subject to the existence of certain conditions.

**WHEREAS**, a required condition of AB 361 is that a state of emergency is declared by the Governor pursuant to Government Code section 8625.

**WHEREAS**, on March 4, 2020 Governor Newsom declared a State of Emergency in the State of California pursuant to Government Code section 8625 as a result of the threat of the Coronavirus (COVID-19) pandemic, and the State of Emergency is still in effect.

**WHEREAS**, in addition to the Governor’s proclamation of a State of Emergency, as a result of the COVID-19 pandemic, the City of Solana Beach has declared a local emergency and has closed all nonessential City facilities, including the Fletcher Cove Community Center, to ensure the safety of the public.

**WHEREAS**, sustained COVID-19 community transmission continues to occur in San Diego County and the cities within RSWA’s jurisdiction and various state and local officials continue to recommend measures to promote social distancing.

**NOW, THEREFORE, BE IT RESOLVED**, by the Board of Directors of the Regional Solid Waste Association as follows:

1. The above findings and recitals are true and correct and are incorporated herein in full by this reference.
2. The Board of Directors of the Regional Solid Waste Association has considered the circumstances of the proclaimed State of Emergency in California and has determined that (a) state and local officials continue to recommend measures to promote social distancing and (b)

that, as a result of the State of Emergency in California and the Local Emergency in the City of Solana Beach due to the COVID-19 pandemic, and its continued spread in San Diego County and the cities within RSWA's jurisdiction, holding meetings in person would present imminent risks to the health or safety of attendees.

3. The RSWA General Manager is authorized and directed to take all actions necessary to carry out the intent and purpose of this Resolution, including, conducting open and public meetings in accordance with Government Code Section 54953(e) and other applicable provisions of the Brown Act.

4. This Resolution shall take effect immediately upon its adoption and shall be effective until the earlier of: (1) November 6, 2021; or (2) such time the Board of Directors adopts a subsequent resolution in accordance with Government Code Section 54953(e)(3) to extend the time during which the Board may continue to hold virtual or teleconferenced meetings without complying with Government Code Section 54953(b)(3).

**PASSED AND ADOPTED** by the Board of Directors of the Regional Solid Waste Association, San Diego County, State of California, on October 7, 2021.

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Ron Morrison, Chairman  
Regional Solid Waste Association

APPROVED AS TO FORM:  
James H. Eggart, General Counsel

By: \_\_\_\_\_

Item 4.B.

**MINUTES  
REGIONAL SOLID WASTE ASSOCIATION  
REGULAR MEETING**

**June 24, 2021  
9:00 a.m.**

**VIA TELECONFERENCE / VIDEO CONFERENCE ONLY  
Fletcher Cove Community Center  
133 Pacific Avenue  
Solana Beach, CA**

**Pursuant to California Governor Newsom’s Executive Order N-08-21, and in the interest of public health, this meeting was conducted utilizing teleconferencing and electronic means only.**

**BOARD MEMBERS IN ATTENDANCE:**

**Council Member Ron Morrison, City of National City – RSWA Chairperson  
Deputy Mayor Joe Green, City of Vista – RSWA Vice-Chairperson  
Deputy Mayor Dwight Worden, City of Del Mar– RSWA Secretary  
Councilmember Dave Grosch, City of Poway – RSWA Treasurer  
Deputy Mayor Mike Morasco, City of Escondido  
Councilmember Kelly Harless, City of Solana Beach  
Mayor Catherine Blakespear, City of Encinitas**

**STAFF RESOURCES IN ATTENDANCE:**

**James H. Eggart, General Manager/General Counsel  
Mike Sylvia, Finance Director – City of Vista  
Ashlee Stratakis – City of Encinitas**

**1. CALL TO ORDER AND PLEDGE OF ALLEGIANCE**

Chairperson Morrison called the meeting to order at 9:05 a.m.

Board Member Harless led the Board in the Pledge of Allegiance.

**2. SWEARING IN OF NEW BOARD MEMBERS**

None.

3. **ORAL COMMUNICATIONS**

This item was scheduled to provide an opportunity for public testimony on matters within the jurisdiction of the Association.

Jessica Toth of Solana Center for Environmental Innovation (“Solana Center”) addressed the Board and answered questions regarding a tour of the Ramona equestrian center on July 22, 2021 at 9:00 a.m. that will provide a demonstration of composting and water shed protection; that Solana Center’s electronic recycling center collected 20 tons of electronic waste in the last nine months and is averaging about 2.2 tons per month; that several non-member cities had indicated they were interested in learning more about RSWA, including the Cities of San Marcos, Coronado, and Lemon Grove; and that Solana Center will also be hosting a food waste collection event at a brewery in Vista.

4. **CONSENT CALENDAR**

The consent calendar included the following items:

- A. Minutes of the April 1, 2021 Meeting of the Board of the Regional Solid Waste Association – Recommended Action: Receive and File.
- B. Minutes of the June 11, 2021 Budget Subcommittee Meeting – Recommended Action: Receive and File.
- C. RSWA Website Activity Report for the Fourth Quarter of FY 2020/2021 (through June 16, 2021) – Recommended Action: Receive and File.

**MOTION WAS MADE TO APPROVE THE CONSENT CALENDAR.**

(Motion by Vice-chairperson Green, City of Vista/ Second by Board Member Morasco, City of Escondido). Motion was approved by a vote of 7 ayes, 0 nays, and 0 abstain.

**Ayes: Morrison, Green, Blakcspear, Grosch, Morasco, Worden, Harless**

**Nays:**

**Abstain:**

**Absent:**

General Manager Eggart requested to move agenda Item # 7 up to discuss the matter before City of Vista Finance Director, Mike Silvia, leaves the meeting. Chairperson Morrison agreed.



**7. FINANCE AND BUDGET**

**A. Update on Transition of RSWA Financial Investment and Fiduciary Services and Consideration and Approval of Policy Regarding RSWA Treasurer/Controller Functions – Action Item**

General Manger Eggart provided an update on the transition of financial investment and fiduciary services and presented the recommendations of the ad hoc subcommittee consisting of Chairperson Morrison, Vice-Chairperson Green, and Treasurer Grosch, along with a proposed Policy regarding rotation of responsibility and payment of stipends for performance of the functions of Treasurer/Controller by Association members. A staff report and proposed Resolution was provided to the Board and public in the Agenda Packet. The Board discussed the proposed Policy and the subcommittee’s recommendation to exclude Solana Beach and Del Mar from the proposed rotation policy. General Manager Eggart indicated that the Board could change the policy in the future to include these cities in the rotation.

The recommended action was to adopt Resolution No. 2021, A Resolution of the Board of Directors of the Regional Solid Waste Association Adopting a Policy Regarding Rotation of Responsibility and Payment of Stipends for Performance of Functions of Treasurer / Controller by Association Members.

**MOTION WAS MADE TO ADOPT RESOLUTION NO. 2021-01 AS PRESENTED.**

(Motion by Vice-Chairperson Green, City of Vista / Second by Treasurer Grosch, City of Poway). Motion was approved by a vote of 7 ayes, 0 nays, and 0 abstain.

**Ayes: Morrison, Green, Blakespear, Grosch, Morasco, Worden, Harless**

**Nays:**

**Abstain:**

**Absent:**

**B. RSWA Investment Report for the Fourth Quarter of Fiscal Year 2020/2021 – Informational Item (Receive and File)**

General Manager Eggart reviewed the investment reports as of May 31, 2021. All of RSWA’s funds are invested in the City of Vista’s portfolio. As of May 31, 2021, RSWA had an invested cash balance of \$480,558. Detail in the City of Vista’s portfolio and investment activity through March 31, 2021 was provided to the Board Members and public in their respective agenda packets.

**MOTION WAS MADE TO RECEIVE AND FILE THE INVESTEMENT REPORT FOR THE FOURTH QUARTER OF FISCAL YEAR 2020/2021 AS PRESENTED.**

(Motion by Board Member Harless, City of Solana Beach / Second by Board Member Morasco, City of Escondido). Motion was approved by a vote of 7 ayes, 0 nays, and 0 abstain.

**Ayes: Morrison, Green, Blakespear, Grosch, Morasco, Worden, Harless**

**Nays:**

**Abstain:**

**Absent:**

**C. Budget and Expenditure Status of the Fourth Quarter of Fiscal Year 2020/2021 – Information Item (Receive and File)**

Treasurer Grosch, General Manger Eggart, and Fiduciary Agent Sylvia of the City of Vista reviewed the Financial Status, Cash Activity, and Paid Warrant Reports as of May 31, 2021. Details were provided to the Board Members and public in their respective agenda packets. In addition, an updated spreadsheet illustrating the budget status as of May 31<sup>st</sup> and anticipated expenditures for the remainder of the fiscal year was provided in a supplemental agenda packet and presented at the meeting. The year-to-date activity reflected in the reports show actual revenues of \$313,007 and actual expenditures of \$328,282 through May 31, 2021. As of May 31<sup>st</sup>, RSWA had a fund balance of \$482,269, which includes \$80,000 in reserves for HHW facility closures.

**MOTION WAS MADE TO RECEIVE AND FILE THE INVESTEMENT REPORT FOR THE FOURTH QUARTER OF FISCAL YEAR 2020/2021 AS PRESENTED.**

(Motion by Vice-chairperson Green, City of Vista / Second by Board Member Blakespear, City of Encinitas). Motion was approved by a vote of 7 ayes, 0 nays, and 0 abstain.

**Ayes: Morrison, Green, Blakespear, Grosch, Morasco, Worden, Harless**

**Nays:**

**Abstain:**

**Absent:**

**D. Fiscal year 2020/2021 Budget Adjustments – Action Item**

General Manger Eggart reviewed, and the Board discussed, proposed adjustments to the adopted Budget for Fiscal Year 2020/2021 to account for differences in actual anticipated expenditure items from the amounts previously budgeted. A revised staff report and proposed Amended Budget detailing the proposed Budget adjustments was provided to the Board Members and the public in a supplemental agenda packet. The proposed Budget adjustments included increasing the expenditure budgets for audit services, legal services, consultant services, and HHW

facility security and phone, and reducing the expenditure budget for SB 1383 compliance funding. It was noted that previously authorized funding for SB 1383 Compliance Services was shifted from the FY 2020/2021 Budget to the proposed FY 2021/2022 Amended Budget to account for the timing of work to be performed under the existing agreement with Solana Center for Environmental Innovation. Total expenditures under the proposed Amended Budget decreased from \$420,094 to \$400,432. It was reported that the Budget Subcommittee had reviewed and concurred with the proposed Budget adjustments. The recommended action was to approve the proposed adjustments and amended Budget for Fiscal Year 2020/2021.

**MOTION WAS MADE TO APPROVE THE PROPOSED BUDGET ADJUSTMENTS AND AMENDED BUDGET FOR FISCAL YEAR 2020/2021 AS PRESENTED.**

(Motion by Vice-chairperson Green, City of Vista / Second by Treasurer Grosch, City of Poway). Motion was approved by a vote of 7 ayes, 0 nays, and 0 abstain.

**Ayes:** Morrison, Green, Blakespear, Grosch, Morasco, Worden, Harless  
**Nays:**  
**Abstain:**  
**Absent:**

**E. Consideration and Approval of Amended FY 2021/2022 Budget and FY 2022/2023 Budget – Action Item**

General Manager Eggart and the Budget Subcommittee comprised of Chairperson Morrison, Treasurer Grosch, and Secretary Worden presented the proposed Amended FY 2021/2022 Budget and FY 2022/2023 Budget for review and approval. The proposed budgets and a detailed report were included within the agenda packet and supplement provided to the Board Members and the public prior to the meeting. The total proposed expenditure budgets are \$433,723 for FY 2021/2022 and \$379,450 for FY 2022/2023. The proposed Budgets contain a “Contingency” Budget category and provide that funds budgeted for Contingency purposes may be expended for unanticipated expenses not otherwise reflected in the adopted budgets. In addition, pursuant to the proposed Budgets, the General Manager is authorized to administratively transfer funds budgeted for one expense category to one or more other expense categories as directed by the RSWA Board or as otherwise necessary and appropriate, provided the total budget for expenses is not exceeded.

The Board discussed the proposed budgets for SB 1383 Compliance Services and it was noted that the budgeted funds included in the SB 1383 Compliance Funding for FY 2021/2022 corresponded to anticipated amounts for the consulting contract for SB 1383 compliance services and no longer included funds for direct stipends to the Member Cities, and that the \$15,000 included in the proposed FY 2022/2023 Budget

for this line item was a placeholder. The Board also discussed future ramifications of budgeting for expenditures that exceed revenues and the planned reduction in RSWA's fund balance. Secretary Worden noted that RSWA's invested fund balance essentially constitutes a contingency reserve but suggested that the Board consider establishing a formal contingency reserve policy in the future. Secretary Worden also suggested the Board consider establishing policies for RSWA providing services and information to non-members and addressing the circumstances under which cities can join RSWA.

The recommended action was to approve the Amended Fiscal Year 2021/2022 Budget and Fiscal Year 2022/2023 Budget as presented.

**MOTION WAS MADE TO APPROVE AMENDED FY 2021/2022 BUDGET AND FY 2022/2023 BUDGET AS PRESENTED.**

(Motion by Secretary Worden, City of Del Mar / Second by Board Member Morasco, City of Escondido). Motion was approved by a vote of 7 ayes, 0 nays, and 0 abstain.

**Ayes:** Morrison, Green, Blakespear, Grosch, Morasco, Worden, Harless  
**Nays:**  
**Abstain:**  
**Absent:**

Board Member Morasco left the meeting at 10:10 a.m.

**F. Review and Approval of Revised Statement of Investment Policy and Delegation of Investment Authority to the City of Vista Director of Finance/Treasurer – Action item**

The Board reviewed proposed revisions to the current RSWA Statement of Investment Policy to correspond to changes made by to the City of Vista's Investment Policy and considered renewal of the delegation of authority to the City of Vista Director of Finance/Treasurer to invest and reinvest RSWA's funds. The proposed Revised Statement of Investment Policy and related Resolution were included in the agenda packets provided to the Board Members and the public.

The recommended action was to adopt Resolution No. 2021-02, A Resolution of the Board of Directors of the Regional Solid Waste Association Adopting a Revised Statement of Investment Policy and Delegating Investment Authority to the City of Vista Director of Finance/Treasurer.

**MOTION WAS MADE TO ADOPT RESOLUTION NO. 2021-02 AS PRESENTED.**

(Motion by Treasurer Grosch, City of Poway / Second by Secretary Worden, City of Del Mar). Motion was approved by a vote of 6 ayes, 0 nays, and 0 abstain.

**Ayes:** Morrison, Green, Blakespear, Grosch, Worden, Harless  
**Nays:**  
**Abstain:**  
**Absent:** Morasco

**G. Delegation of contracting Authority to the General manger – Action item**

The Board received a report about and considered a proposed Resolution to delegate authority to the General Manger to execute agreements not exceeding \$5000 and/or containing indemnity provisions subject to approval from the Chairperson. The proposed Resolution also expressly clarifies that the General Manager’s authority to expend budgeted funds to pay RSWA’s ordinary and necessary expenses.

The recommended action was to adopt Resolution No. 2021-03 Authorizing the General Manager to Execute Certain Agreements.

**MOTION WAS MADE TO ADOPT RESOLUTON NO. 2021-03 AS PRESENTED.**

(Motion by Vice-chairperson Green, City of Vista / Second by Board Member Blakespear, City of Encinitas). Motion was approved by a vote of 6 ayes, 0 nays, and 0 abstain.

**Ayes:** Morrison, Green, Blakespear, Grosch, Worden, Harless  
**Nays:**  
**Abstain:**  
**Absent:** Morasco

**5. REPORTS BY MEMBER CITIES ON USE OF RSWA FUNDING – INFORMATIONAL ITEM**

The Board reviewed reports provided by each of the Member Cities on the use of funding received from RSWA for FY 2020/2021. A staff report detailing the activities outlined in the reports was included in the agenda packet provided to the Board Members and public. No action was taken.

6. **SENATE BILL 1383 COMPLIANCE SUPPORT SERVICES – INFORMATION ITEM**

The Board received a status report on the SB 1383 compliance support services being provided to the RSWA Member Cities by Solana Center for Environmental Innovation and San Diego Food System Alliance. General Manager Eggart advised the Board that he entered into an Amendment No. 1 to the Agreement for Professional Consultant Services Between RSWA and Solana Center for Environmental Innovation to correct typographical errors related to the amounts owed. A staff report, the April and May progress reports from Solana Center, and a copy of Amendment No. 1 to the Agreement were included in the agenda packet provided to the Board Members and the public. Jessica Toth and Jackie Bookstein of Solana Center addressed the Board and provided a summary of the services that have and will be provided and the timeline for providing deliverables. General Manager Eggart, the consultants, and Jim Ambroso of EDCO responded to Board Members' questions. No action was taken.

Vice Chairperson Green left the meeting at 10:40 p.m.

Board member Morasco returned to meeting at 10:48 a.m.

Treasurer Grosch left meeting at 10:49 a.m.

8. **HOUSEHOLD HAZARDOUS WASTE (HHW), ORGANIC WASTE, AND OTHER SOLID WASTE ISSUES – INFORMATION AND POSSIBLE ACTION ITEM(S)**

A. **HHW Participation Reports - Information Item**

Ashlee Stratakis of the City of Encinitas reviewed the HHW participation summary reports for through May 31, 2021 and responded to Board Member questions. Copies of the reports were included in the supplemental agenda packet provided to the Board Members and public. No action was taken.

Board member Morasco left the meeting at 11:10 a.m.

B. **Legislative Update – Information and Potential Action Item**

General Manager Eggart advised the Board on pending legislation of interest relating to solid waste, organic waste, recycling, household hazardous waste, and virtual meetings. A memorandum and PowerPoint presentation were provided in the agenda packet and supplement provided to the Board Members and the public. No action was taken.

Board Member Blakespear left the meeting at approximately 11:15 during the General Manager's presentation, at which point quorum was lost.



**C. Update on HHW Issues, Organic Waste Disposal Issues, and Other Potential Future Issues – Information Item**

This item was scheduled for the Board to be updated on other current household hazardous waste, organic waste, and solid waste issues, and other potential future issues affecting RSWA.

General Manager Eggart advised the remaining Board Members and public about San Diego Food System Alliance's San Diego Food Vision 2030 to be launched on July 12, 2021. Jim Ambroso of EDCO provided an update on the status of roll out of the organics program and outreach efforts. No action was taken.

**9. ADMINISTRATIVE MATTERS – POSSIBLE ACTION ITEMS**

**A. Review Future Meeting Schedule – Possible Action Item**

This item was scheduled for discussion and approval of the future meeting schedule. It was noted that the previously approved meeting schedule was as follows:

October 7, 2021

January 6, 2022

April 7, 2022

No action was taken.

**B. Update on Potential Educational Conference Attendance – Information Item**

General Manager provided the remaining Board Members with information on the upcoming WasteExpo Conference scheduled for June 28-30, 2021 in Las Vegas, and the upcoming WASTECON conferences scheduled for November 1-3, 2021 in Orlando. No action was taken.

**C. Reports by Board Members and/or RSWA Staff Regarding Conferences Attended – Information Item**

This item was scheduled to provide an opportunity for Board Members or RSWA staff to report on conferences attended. No Board Members attended conferences since the last Board meeting.

10. COMMENTS FROM ASSOCIATION MEMBERS, GENERAL COUNSEL, AND GENERAL MANAGER

General Manager Eggart advised the remaining Board Members that the Governor's executive order authorizing virtual meetings under the relaxed teleconferencing rules is set to expire on September 30, 2021; that he submitted the required compensation report to the State Controller's Office in April; and that the City of San Marcos had contacted him about joining RSWA and/or joining in with the contract with Solana Center, he had provided the City with information, and that the City advised him it would just consider entering into its own contract with Solana Center. General Manager Eggart also explained the process and options under the Joint Powers Agreement for the addition of new Members to RSWA.

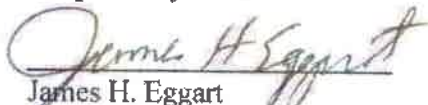
Secretary Worden requested that an item be placed on the agenda for the October meeting for the Board to discuss developing policies for adding new members to RSWA and establishing terms for non-member agencies to utilize RSWA's resources. Chairperson Morrison commented that this was fine with him.

No action was taken.

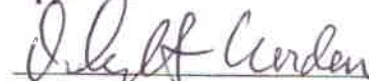
11. ADJOURNMENT

Chairman Morrison noted that the meeting ended at approximately 11:15 a.m. when quorum was lost and formally adjourned the meeting at 11:34 a.m.

Respectfully Submitted:

  
James H. Eggart  
General Manager

Approved:

 9/29/2021  
Dwight Worden  
RSWA Secretary



Item 4.C.

**MINUTES  
REGIONAL SOLID WASTE ASSOCIATION  
SPECIAL MEETING**

**July 22, 2021  
10:00 a.m.**

**VIA TELECONFERENCE / VIDEO CONFERENCE ONLY  
Fletcher Cove Community Center  
133 Pacific Avenue  
Solana Beach, CA**

**Pursuant to California Governor Newsom’s Executive Order N-08-21, and in the interest of public health, this meeting was conducted utilizing teleconferencing and electronic means only.**

**BOARD MEMBERS IN ATTENDANCE:**

**Council Member Ron Morrison, City of National City – RSWA Chairperson  
Deputy Mayor Joe Green, City of Vista – RSWA Vice-Chairperson  
Deputy Mayor Dwight Worden, City of Del Mar– RSWA Secretary  
Councilmember Dave Grosch, City of Poway – RSWA Treasurer  
Deputy Mayor Mike Morasco, City of Escondido  
Councilmember Kelly Harless, City of Solana Beach**

**STAFF RESOURCES IN ATTENDANCE:**

**James H. Eggart, General Manager/General Counsel  
Mike Sylvia, Finance Director – City of Vista  
Molly Brennan, Administrative Services Director – City of National City  
Phil Davis, Financial Analyst – City of National City**

**1. CALL TO ORDER AND PLEDGE OF ALLEGIANCE**

Chairperson Morrison called the meeting to order at 10:02 a.m.

Chairperson Morrison led the Board in the Pledge of Allegiance.

**2. ORAL COMMUNICATIONS**

This item was scheduled to provide an opportunity for public testimony on matters within the jurisdiction of the Association. There were no oral communications.

3. **TRANSFER OF FIDUCIARY AGENT RESPONSIBILITY AND TREASURER/  
CONTROLLER FUNCTIONS OF RSWA TO THE CITY OF NATIONAL CITY  
AND DELEGATION OF INVESTMENT AUTHORITY TO CITY OFFICIALS –  
ACTION ITEM**

General Manager Eggart provided an update to the Board regarding the contemplated transfer of RSWA fiduciary agent responsibility and Treasurer/Controller functions from the City of Vista to the City of National City. General Manager Eggart advised the Board that following the June 24, 2021 Board meeting the City of National City had requested that it be able to assume responsibility for performing the function of RSWA Treasurer/Controller as soon as possible, rather than waiting until July 1, 2022, as previously contemplated. It was proposed that National City receive the entire \$10,000 stipend authorized at the June 24, 2021 meeting for FY 2021/2022, and that Vista receive the previously authorized \$2,000 stipend for assisting with the transition. It was reported that the City of Vista agreed with this proposal, and that the two Cities were targeting July 30, 2021 to effectuate the transfer. A detailed staff report describing the proposal and comparing the investment policies of Vista and National City was included in the agenda packet provided to the Board Members and the public. Pursuant to the proposed Resolution, National City would be authorized to serve as RSWA's Fiduciary Agent and to perform the duties of Treasurer/Controller for RSWA upon transfer of RSWA's funds from Vista to National City, and National City officials would be authorized to pool RSWA's funds with the City's funds for investment purposes and to invest RSWA's funds in accordance with the investment policy adopted by the National City City Council. Molly Brennan and Phillip Davis of National City introduced themselves and addressed the Board, and the Board discussed the proposed Resolution.

The recommended action was to adopt Resolution No. 2021-04 Authorizing the City of National City to Serve as the Association's Fiduciary Agent and to Perform the Duties of Treasurer/Controller for the Association, Rescinding Resolution No. 2021-02, and Delegating Authority to the Appropriate National City Officials to Invest the Association's Funds in Accordance with the City's Adopted Investment Policy.

**MOTION WAS MADE TO ADOPT RESOLUTION NO. 2021-04 AS PRESENTED.**  
(Motion by Vice-chairperson Green, City of Vista/ Second by Board Member Worden, City of Del Mar). Motion was approved by a vote of 7 ayes, 0 nays, and 0 abstain.

**Ayes:** Morrison, Green, Grosch, Morasco, Worden, Harless  
**Nays:**  
**Abstain:**  
**Absent:** Blakespear

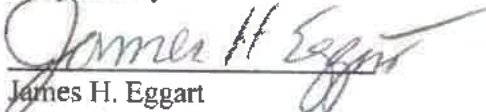
4. COMMENTS FROM ASSOCIATION MEMBERS, GENERAL COUNSEL, AND GENERAL MANAGER

There were no comments from the Board Members or the General Manager / General Counsel.


5. ADJOURNMENT

Chairman Morrison adjourned the meeting at 10:13 a.m.

Respectfully Submitted:

  
James H. Eggart  
General Manager

Approved:

  
Dwight Worden  
RSWA Secretary

Item 4.D.

# RSWA Annual Dashboard

Jul 1, 2020 - Jun 30, 2021

**All Users**  
 100.00% Sessions

## Visits

**4,039**

% of Total: 100.00% (4,039)



## Unique Visitors

**2,599**

% of Total: 100.00% (2,599)



## Pageviews

**5,894**

% of Total: 100.00% (5,894)



## Pages / Visit

**1.46**

Avg for View: 1.46 (0.00%)



## Avg. Visit Duration

**00:00:28**

Avg for View: 00:00:28 (0.00%)



## % New Visits

**64.17%**

Avg for View: 64.17% (0.00%)



## Keywords

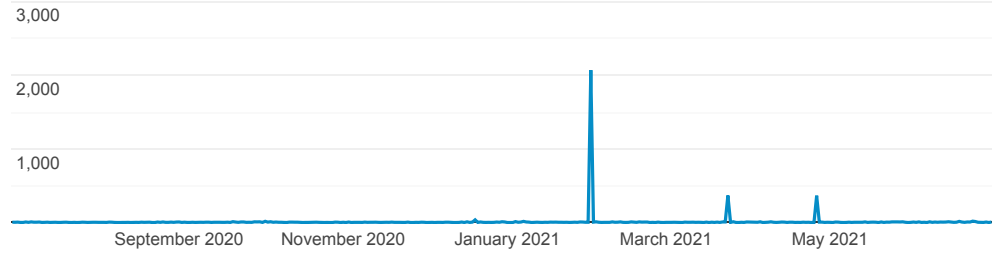
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amazon	2
cache:66j_k9kRMR0J:rswa.info/	1

## Referrerring Sites

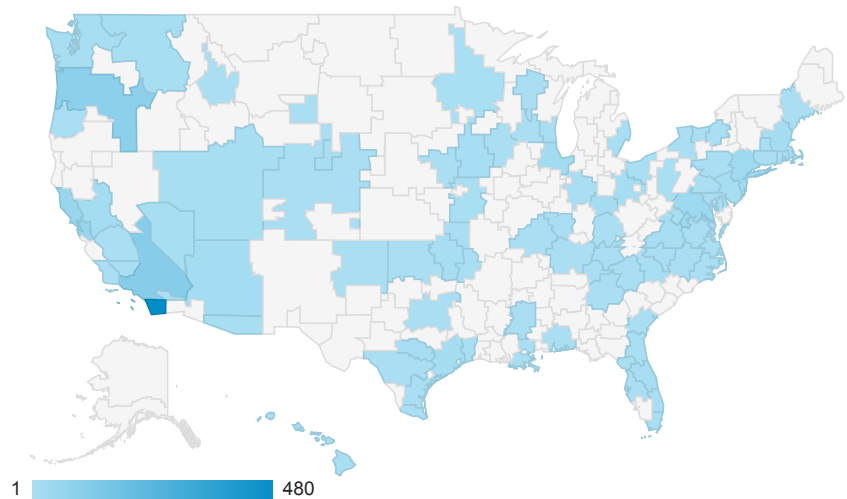
Full Referrer	Sessions
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(direct)	729
rswa.info/	720
bottraffic.live/	328
baidu.com/	36
bing	18
delmar.ca.us/faq.aspx	16

## Visits

● Sessions

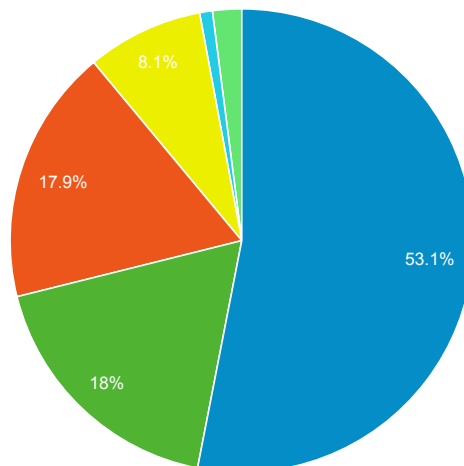


## Visitor Map



## Visits by Source / Medium

■ google / organic   
 ■ (direct) / (none)   
 ■ rswa.info / referral   
 ■ bottraffic.live / referral  
■ baidu.com / referral   
 ■ Other

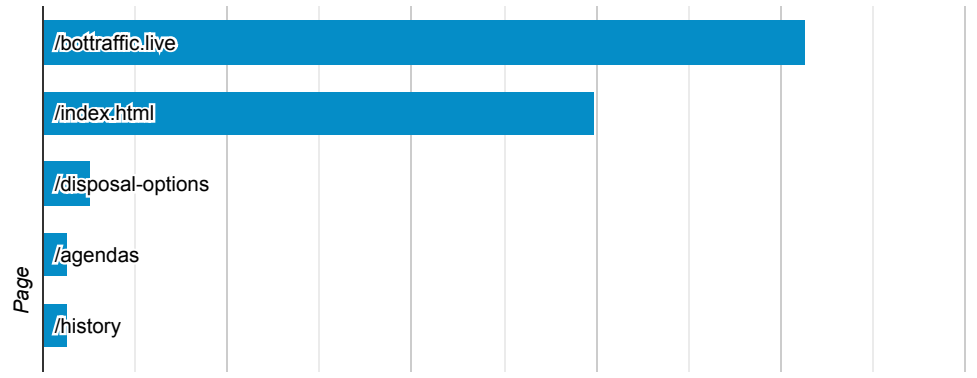


yahoo  
duckduckgo  
delmar.ca.us/

11  
10  
8

## Content Overview

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Item 4.E.



# RSWA Quarterly Dashboard

Jul 1, 2021 - Sep 30, 2021

**All Users**  
 100.00% Sessions

## Visits

**412**

% of Total: 100.00% (412)



## Unique Visitors

**353**

% of Total: 100.00% (353)



## Pageviews

**667**

% of Total: 100.00% (667)



## Pages / Visit

**1.62**

Avg for View: 1.62 (0.00%)



## Avg. Visit Duration

**00:00:49**

Avg for View: 00:00:49 (0.00%)



## % New Visits

**83.01%**

Avg for View: 83.01% (0.00%)



## Keywords

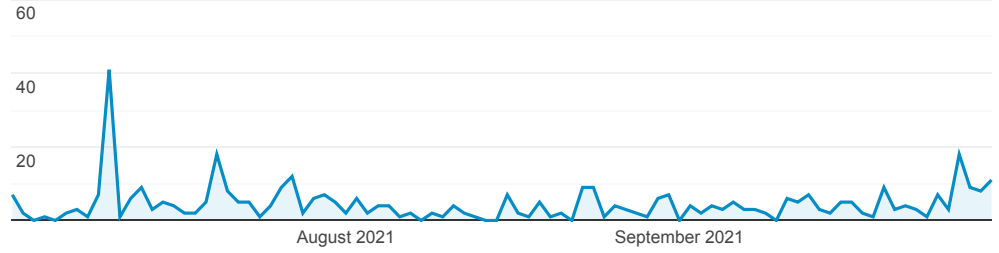
Keyword	Sessions
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## Referrerring Sites

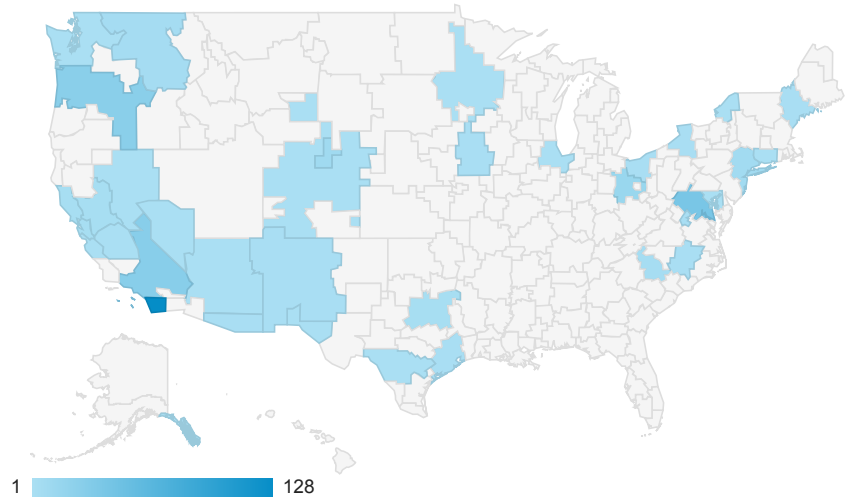
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baidu.com/	12
facebook.com/	2
duckduckgo	1

## Visits

● Sessions

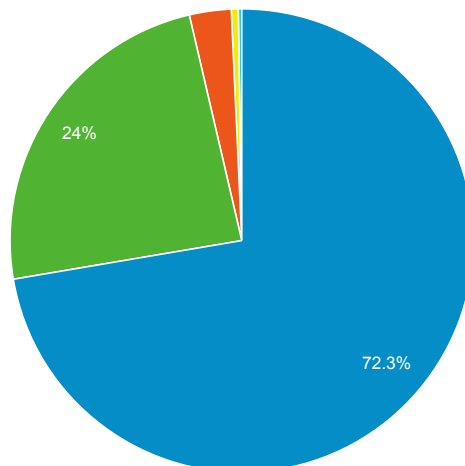


## Visitor Map



## Visits by Source / Medium

■ (direct) / (none) 
 ■ google / organic 
 ■ baidu.com / referral 
 ■ facebook.com / referral 
 ■ duckduckgo / organic



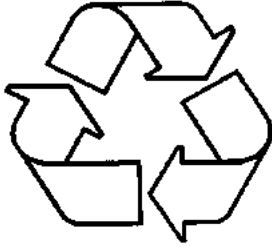
## Content Overview

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[/contact](#)

Item 5.A.



# **REGIONAL SOLID WASTE ASSOCIATION**

Member Agencies: Cities of Del Mar, Encinitas, Escondido,  
National City, Poway, Solana Beach, and Vista

To: RSWA Board Members  
From: General Manager James H. Eggart  
Subject: Final FY 2020/2021 Budget and Expenditure Status – Item 5.A.  
Date: RSWA Agenda October 7, 2021

Attached for your information are the following reports:

The Financial Status Report as of June 30, 2021 (Final Report) which shows a final Fund Balance for the fiscal year in the amount of \$464,807, which rolls over as the initial fund balance for the current fiscal year (FY 2021/2022).

The RSWA Cash Activity Report as of June 30, 2021.

The Investment Report for FY 2020/2021.

# CITY OF VISTA MEMORANDUM

TO: James H. Eggart, RSWA General Manager/General Counsel

FROM: Mike Sylvia, Director of Finance/City Treasurer

DATE: September 30, 2021

SUBJECT: Regional Solid Waste Association's Budget Status

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Attached are the Financial Status Report and Cash Activity Report for the Regional Solid Waste Association (RSWA) for the fiscal year (FY) 2020/2021. The reports present activity from July 1, 2020 through June 30, 2021.

## **REVENUES**

Year-to-date (YTD) activity includes actual revenue of \$356,733, which represents 106.73 percent of the FY 2020/2021 budgeted revenue. The actual revenues are consistent with what would be expected at this time.

## **EXPENDITURES**

The YTD activity includes actual expenditures through June 30, 2021, totaling \$389,470, which represents 97.26 percent of the FY 2020/21 budgeted amount. The actual expenditures are consistent with what would be expected at this time.

## **FUND BALANCE**

The total fund balance as of June 30, 2021, is \$464,807, which includes a balance of \$80,000 set aside and reserved for two household hazardous waste facility closures in the future.

**REGIONAL SOLID WASTE ASSOCIATION  
FY 2020-2021 FINANCIAL STATUS REPORT  
AS OF JUNE 30, 2021**

	<b>ANNUAL BUDGET</b>	<b>ACTUAL THRU 6/30/2021</b>	<u>% of Budget</u>
<b>BEGINNING BALANCE (6-30-2020 Fund Balance)</b>	<b>\$ 482,936</b>	<b>\$ 482,936</b>	
GASB 31 FAIR MARKET VALUE ADJ		14,608	
<b>ADJUSTED BEGINNING BALANCE</b>		<b>497,544</b>	
 <b>REVENUES</b>			
DISPOSAL AGREEMENT VOLUME REBATE	283,230	283,230	100.00%
HHW NON-MEMBER	45,000	79,157	175.90%
INVESTMENT EARNINGS	6,000	8,954	149.23%
GASB 31 FAIR MARKET VALUE ADJ	-	(14,608)	0.00%
<b>TOTAL REVENUES</b>	<b>334,230</b>	<b>356,733</b>	<b>106.73%</b>
 <b>EXPENDITURES</b>			
DIRECTOR STIPENDS/PAYROLL PROCESSING	8,000	6,785	84.81%
INSURANCE	6,612	6,612	100.00%
TRAINING, TRAVEL AND MEETINGS	8,000	5,287	66.09%
AUDIT SERVICES	4,690	4,250	90.62%
LEGAL SERVICES	28,000	27,989	99.96%
CONSULTANT SERVICES	45,000	45,000	100.00%
REIMBURSEMENTS	1,500	249	16.60%
HHW & ORGANIC EDUCATION	49,000	49,000	100.00%
HHW PERMITS	2,300	2,057	89.43%
HHW FACILITY SECURITY & PHONE	3,148	3,148	100.00%
WEBSITE MAINTENANCE	1,110	1,110	100.00%
SPECIAL PROGRAMS (HHW & ORGANICS)	3,000	2,000	66.67%
SB 1383 COMPLIANCE FUNDING	45,000	41,550	92.33%
EQUITY ADJUSTMENT PAYMENT	43,072	43,072	100.00%
ENVIRONMENTAL ENHANCEMENT PROGRAM	150,000	150,000	100.00%
CONTINGENCY	2,000	1,361	68.05%
<b>TOTAL EXPENDITURES</b>	<b>400,432</b>	<b>389,470</b>	<b>97.26%</b>
 <b>TOTAL ENDING FUND BALANCE</b>			
	<b>\$ 416,734</b>	<b>\$ 464,807</b>	
 CASH			
PREPAID EXPENSE		\$ 497,464	
CASH - PAYROLL		4,929	
<b>TOTAL ASSETS</b>		<b>\$ 506,580</b>	
 ACCOUNTS PAYABLE			
<b>TOTAL LIABILITIES</b>		<b>\$ 41,773</b>	
 FUND BALANCE, UNRESERVED/UNDESIGNATED			
VISTA HHW FACILITY CLOSURE DESIGNATION		\$ 384,807	
POWAY HHW FACILITY CLOSURE DESIGNATION		40,000	
<b>TOTAL FUND BALANCE</b>		<b>\$ 464,807</b>	
 <b>TOTAL LIABILITIES AND FUND BALANCE</b>			
		<b>\$ 506,580</b>	

RSWA CASH ACTIVITY  
JULY 1, 2020 - JUNE 30, 2021

**RSWA CASH ACCOUNT**  
JULY 1, 2020 - JUNE 30, 2021

Date	Ck.#	Name	Description	Deposit	Disbursement	Cash Balance
7/1/20		BALANCE CARRIED FORWARD FROM 6/30/20				\$ 465,753.65
7/6/20		EDCO	CONTRACT VOLUME REBATE	70,807.42		536,561.07
7/16/20	124807	WOODRUFF, SPRADLIN & SMART	DISBURSEMENT CHARGES (JUN)		193.83	536,367.24
7/16/20	124817	WOODRUFF, SPRADLIN & SMART	LEGAL SVCS (JUL-SEP)		8,450.00	527,917.24
7/17/20		RSWA BofA Payroll ACCT	WIRE TRANSFER		5,000.00	522,917.24
7/30/20	125178	COUNTY OF SAN DIEGO - DEPARTMENT OF	UNIFIED PROGRAM FACILITY PERMIT		2,057.00	520,860.24
7/30/20	125307	SOLANA CENTER FOR	FUNDING OF EPA GRANT		2,000.00	518,860.24
7/31/20		INVESTMENT EARNINGS	JULY INTEREST ALLOCATION	1,309.00		520,169.24
8/27/20	126205	PRIETO, ANTHONY	WEBSITE MAINT/HOSTING/DOMAIN (20/21)		1,109.98	519,059.26
8/27/20	126213	WOODRUFF, SPRADLIN & SMART	CONSULTANT SVCS (AUG)		2,750.00	516,309.26
8/31/20		INTEREST ALLOCATION	INTEREST ALLOCATION	924.00		517,233.26
9/3/20	126243	DAVIS FARR LLP	AUDIT SERVICES (JUL)		1,000.00	516,233.26
9/9/20		CITY OF SOLANA BEACH	VOID CK	7,505.00		523,738.26
9/10/20	126346	CITY OF SOLANA BEACH	ENVIRONMENTAL FUND DIST FY19/20		7,505.00	516,233.26
9/10/20	126446	WOODRUFF, SPRADLIN & SMART	DISBURSEMENT CHARGES (AUG)		2,379.59	513,853.67
9/10/20	126447	WOODRUFF, SPRADLIN & SMART	CONSULTANT SVCS (SEP)		2,750.00	511,103.67
9/15/20		STERICYCLE	4th QUARTER	13,161.40		524,265.07
9/24/20	126620	ALLIANT INSURANCE SERVICES INC	SPECIAL LIABILITY INSURANCE PROGRAM (20/21)		4,249.21	520,015.86
9/30/20		INTEREST ALLOCATION	INTEREST ALLOCATION	1,044.00		521,059.86
10/8/20	126825	CITY OF DEL MAR	ENVIRONMENTAL FUND DIST FY 20/21		4,051.00	517,008.86
10/8/20	126826	CITY OF DEL MAR	HHW EDUC APPROPRIATION FY 20/21		7,000.00	510,008.86
10/8/20	126827	CITY OF ENCINITAS	ENVIRONMENTAL FUND DIST FY 20/21		35,360.00	474,648.86
10/8/20	126828	CITY OF ENCINITAS	HHW EDUC APPROPRIATION FY 20/21		7,000.00	467,648.86
10/8/20	126829	CITY OF ESCONDIDO	HHW EDUC APPROPRIATION FY 20/21		7,000.00	460,648.86
10/8/20	126830	CITY OF NATIONAL CITY	ENVIRONMENTAL FUND DIST FY 20/21		22,252.00	438,396.86
10/8/20	126831	CITY OF NATIONAL CITY	HHW EDUC APPROPRIATION FY 20/21		7,000.00	431,396.86
10/8/20	126832	CITY OF POWAY	ENVIRONMENTAL FUND DIST FY 20/21		28,967.00	402,429.86
10/8/20	126833	CITY OF POWAY	HHW EDUC APPROPRIATION FY 20/21		7,000.00	395,429.86
10/8/20	126834	CITY OF SOLANA BEACH	ENVIRONMENTAL FUND DIST FY 20/21		6,658.00	388,771.86
10/8/20	126835	CITY OF SOLANA BEACH	HHW EDUC APPROPRIATION FY 20/21		7,000.00	381,771.86
10/8/20	126836	CITY OF VISTA	ENVIRONMENTAL FUND DIST FY 20/21		52,712.00	329,059.86
10/8/20	126837	CITY OF VISTA	HHW EDUC APPROPRIATION FY 20/21		7,000.00	322,059.86
10/8/20	126920	WOODRUFF, SPRADLIN & SMART	LEGAL SERVICES (OCT-DEC)		7,750.00	314,309.86
10/15/20		EDCO	CONTRACT VOLUME REBATE	70,807.42		385,117.28
10/31/20		INTEREST ALLOCATION	INTEREST ALLOCATION	755.00		385,872.28
11/2/20		U.S TREASURY	PAYROLL TAX REFUND	192.00		386,064.28
11/19/20	127408	DAVIS FARR LLP	AUDIT SERVICES THROUGH 10/31/20		1,000.00	385,064.28
11/30/20		INTEREST ALLOCATION	INTEREST ALLOCATION	652.00		385,716.28
12/10/20	127729	WOODRUFF, SPRADLIN & SMART	CONSULTANT SVCS (NOV)		5,599.54	380,116.74
12/17/20	127749	DAVIS FARR LLP	RSWA AUDIT		1,000.00	379,116.74
12/23/20		CLEAN EARTH ENVIRONMENT SOLUTIONS	QUARTER 1	19,925.96		399,042.70
12/31/20		INTEREST ALLOCATION	INTEREST ALLOCATION	580.00		399,622.70
1/13/21		EDCO	CONTRACT VOLUME REBATE	70,807.42		470,430.12
1/14/21	128099	WOODRUFF, SPRADLIN & SMART	LEGAL SERVICES (JAN-MAR)		7,750.00	462,680.12
1/21/21	128166	THALES CONSULTING INC	RSWA SCO		240.00	462,440.12
1/31/21		INTEREST ALLOCATION	INTEREST ALLOCATION	876.00		463,316.12
2/4/21	128309	DAVIS FARR LLP	RSWA AUDIT		500.00	462,816.12
2/25/21	128686	WOODRUFF, SPRADLIN & SMART	CONSULTANT SERVICES (FEB)		2,750.00	460,066.12
2/28/21		INTEREST ALLOCATION	INTEREST ALLOCATION	750.00		460,816.12
3/24/21		CLEAN EARTH ENVIRONMENT SOLUTIONS	QUARTER 2	16,520.38		477,336.50
3/31/21		INTEREST ALLOCATION	INTEREST ALLOCATION	486.00		477,822.50
4/5/21		EDCO	CONTRACT VOLUME REBATE	70,807.43		548,629.93
4/15/21	129362	WOODRUFF, SPRADLIN & SMART	LEGAL SERVICES (APR-JUN)		5,000.00	543,629.93
4/15/21	129362	WOODRUFF, SPRADLIN & SMART	CONSULTANT SERVICES (MAR)		2,750.00	540,879.93
4/15/21	129362	WOODRUFF, SPRADLIN & SMART	CONSULTANT SERVICES (APR)		2,750.00	538,129.93
4/15/21	129362	WOODRUFF, SPRADLIN & SMART	DISBURSEMENT CHARGES (DEC)		133.04	537,996.89
4/22/21	129377	CITY OF POWAY	EQUITY ADJUSTMENT PAYMENT		24,360.00	513,636.89
4/22/21	129376	CITY OF NATIONAL CITY	EQUITY ADJUSTMENT PAYMENT		18,712.00	494,924.89
4/26/21	123846	CITY OF NATIONAL CITY	VOID CK from 6/28/2020	2,500.00		497,424.89
4/29/21	129474	CITY OF NATIONAL CITY	SB 1383 COMPLIANCE SUPPORT SVC		2,500.00	494,924.89
4/30/21		INTEREST ALLOCATION	INTEREST ALLOCATION	563.00		495,487.89
5/13/21	129736	SOLANA CENTER FOR	SB 1383 COMPLIANCE SUPPORT SVC		12,180.00	483,307.89
5/20/21	129865	WOODRUFF, SPRADLIN & SMART	CONSULTANT SERVICES (MAY)		2,750.00	480,557.89
5/31/21		INTEREST ALLOCATION	INTEREST ALLOCATION	465.00		481,022.89
6/10/21	130120	ALLIANT INSURANCE SERVICES INC	RSWA-ACIP CRIME-ANNUAL PREMIUM (7/1/21-7/1/22)		2,481.00	478,541.89
6/10/21	130202	PROGRESSIVE TECHNOLOGY SECURITY SYSTEMS INC	RSWA-DVR SYSTEM SVCS (7/1/21-6/30/22)		2,448.00	476,093.89
6/10/21	130216	SOLANA CENTER FOR	SB 1383 COMPLIANCE SUPPORT SVCS		13,780.00	462,313.89
6/10/21	130239	WOODRUFF, SPRADLIN & SMART	CONSULTANT SVCS (JUN)		2,750.00	459,563.89
6/15/21		CLEAN EARTH ENVIRONMENT SOLUTIONS	QUARTER 3	18,559.10		478,122.99
6/24/21		TRANSFER	TRANSFER TO RSWA PAYROLL ACCOUNT	4,000.00		474,122.99
6/30/21		CLEAN EARTH ENVIRONMENT SOLUTIONS	QUARTER 4	24,152.02		498,275.01
6/30/21		INTEREST ALLOCATION	INTEREST ALLOCATION	550.00		498,825.01
6/30/21		CITY OF VISTA	REIMBURSE PRIOR YEAR ATT INVOICES		1,360.47	497,464.54
				394,699.55	362,988.66	\$ 497,464.54

**RSWA PAYROLL ACCOUNT**  
JULY 1, 2020 - JUNE 30, 2021

Date	Ck.#	Name	Description	Deposit	Disbursement	Cash Balance
7/1/20		BALANCE CARRIED FORWARD FROM 6/30/20				\$ 3,570.33
7/17/20		TRANSER IN TO FUND ACCOUNT	WIRE TO RSWA PAYROLL	5,000.00		8,570.33
7/2/20		ACCOUNTANTS WORLD	RSWA PAYROLL		1,429.22	7,141.11
7/3/20		CAP FORGE	PAYROLL PROCESSING		145.00	6,996.11
8/12/20		U.S TREASURY	PAYROLL TAX		229.56	6,766.55
10/7/20		ACCOUNTANTS WORLD	RSWA PAYROLL		1,385.23	5,381.32
10/15/20		CAP FORGE	PAYROLL PROCESSING		145.00	5,236.32
11/10/20		U.S TREASURY	PAYROLL TAX		229.54	5,006.78
1/11/21		ACCOUNTANTS WORLD	RSWA PAYROLL		969.64	4,037.14
1/12/21		CAP FORGE	PAYROLL PROCESSING		145.00	3,892.14
1/26/21		U.S TREASURY	PAYROLL TAX		261.00	3,631.14
2/10/21		U.S TREASURY	PAYROLL TAX		160.72	3,470.42
5/3/21		ACCOUNTANTS WORLD	RSWA PAYROLL		1,385.23	2,085.19
6/10/21		U.S TREASURY	PAYROLL TAX		229.54	1,855.65
6/24/21		TRANSER IN TO FUND ACCOUNT	WIRE TO RSWA PAYROLL	4,000.00		5,855.65
6/25/21		ACCOUNTANTS WORLD	RSWA PAYROLL		1,523.80	4,331.85
6/28/21		CAP FORGE	PAYROLL PROCESSING		145.00	4,186.85
				9,000.00	8,383.48	\$ 4,186.85



**RSWA  
Accounts Payable Paid Warrant Report**

**For Period: 7/1/2020 - 6/30/2021**

Ck.#	Ck.Date	Vendor # / Name	Invoice #	Inv.Date	Invoice Description	P.O #	Org / Object / Project	Amount
124807	7/16/20	7703 WOODRUFF, SPRADLIN & SMART	82778		6/30/20 DISBURSEMENT CHARGES (JUN)		7090770 533300	193.83
							.	<u>193.83</u>
124817	7/16/20	7703 WOODRUFF, SPRADLIN & SMART	82780		7/15/20 DISBURSEMENT CHARGES (THROUGH 7/15)		7090770 533840	700.00
124817	7/16/20	7703 WOODRUFF, SPRADLIN & SMART	82781		7/13/20 LEGAL SVCS (JUL-SEP)		7090770 533500	5,000.00
124817	7/16/20	7703 WOODRUFF, SPRADLIN & SMART	82782		7/13/20 CONSULTANT SVCS (JUL)		7090770 533600	2,750.00
							.	<u>8,450.00</u>
125178	7/30/20	5268 COUNTY OF SAN DIEGO - DEPARTMEN	83786		7/1/20 UNIFIED PROGRAM FACILITY PERMIT		7090770 533820	988.00
125178	7/30/20	5268 COUNTY OF SAN DIEGO - DEPARTMEN	83789		7/1/20 UNIFIED PROGRAM FACILITY PERMIT		7090770 533820	1,069.00
							.	<u>2,057.00</u>
125307	7/30/20	3967 SOLANA CENTER FOR	83826		7/6/20 FUNDING OF EPA GRANT		7090770 531500	1,000.00
125307	7/30/20	3967 SOLANA CENTER FOR	83826		7/6/20 FUNDING OF EPA GRANT		7090770 533800	1,000.00
							.	<u>2,000.00</u>
126205	8/27/20	8692 PRIETO, ANTHONY	85455		8/24/20 WEBSITE MAINT/HOSTING/DOMAIN (20/21)		7090770 533850	1,109.98
							.	<u>1,109.98</u>
126213	8/27/20	7703 WOODRUFF, SPRADLIN & SMART	85441		8/24/20 CONSULTANT SVCS (AUG)		7090770 533600	2,750.00
							.	<u>2,750.00</u>
126243	9/3/20	11772 DAVIS FARR LLP	85590		8/16/20 AUDIT SERVICES (JUL)	20210168	7090770 533400	1,000.00
							.	<u>1,000.00</u>
126346	9/10/20	821 CITY OF SOLANA BEACH	68510		9/12/19 ENVIRONMENTAL FUND DIST FY19/20		7090770 533900	7,505.00
							.	<u>7,505.00</u>







129362	4/15/21	7703 WOODRUFF, SPRADLIN & SMART	94403	12/31/20 DISBURSEMENT CHARGES (THROUGH 12/31/20)	7090770	533700	.	133.04
129362	4/15/21	7703 WOODRUFF, SPRADLIN & SMART	94404	3/2/21 CONSULTANT SERVICES (MAR)	7090770	533600	.	2,750.00
129362	4/15/21	7703 WOODRUFF, SPRADLIN & SMART	94405	4/7/21 LEGAL SERVICES (APR)	7090770	533500	.	5,000.00
129362	4/15/21	7703 WOODRUFF, SPRADLIN & SMART	94406	4/7/21 CONSULTANT SERVICES (APR)	7090770	533600	.	2,750.00
								<u>10,633.04</u>
129376	4/22/21	7754 CITY OF NATIONAL CITY	94962	4/1/21 EQUITY ADJUSTMENT PAYMENT FY 20/21	7090770	533900	.	18,712.00
								<u>18,712.00</u>
129377	4/22/21	815 CITY OF POWAY	94963	4/1/21 EQUITY ADJUSTMENT PAYMENT FY 20/21	7090770	533900	.	24,360.00
								<u>24,360.00</u>
129474	4/29/21	7754 CITY OF NATIONAL CITY	81188	6/16/20 SB 1383 COMPLIANCE PMT-FY 19/20	7090770	533860	.	2,500.00
								<u>2,500.00</u>
129736	5/13/21	3967 SOLANA CENTER FOR	95776	5/4/21 SB 1383 COMPLIANCE SUPPORT SVCS	7090770	533870	.	12,180.00
								<u>12,180.00</u>
129865	5/20/21	7703 WOODRUFF, SPRADLIN & SMART	96187	5/12/21 CONSULTANT SVCS (MAY)	7090770	533600	.	2,750.00
								<u>2,750.00</u>
130120	6/10/21	7867 ALLIANT INSURANCE SERVICES INC	96984	5/27/21 RSWA-ACIP CRIME-ANNUAL PREMIUM (7/1/21-7/1/22)	7090770	533200	.	2,481.00
								<u>2,481.00</u>
130202	6/10/21	3368 PROGRESSIVE TECHNOLOGY SECURI	96983	6/1/21 RSWA-DVR SYSTEM SVCS (7/1/21-6/30/22)	7090770	533840	.	2,448.00
								<u>2,448.00</u>
130216	6/10/21	3967 SOLANA CENTER FOR	96981	6/7/21 SB 1383 COMPLIANCE SUPPORT SVCS	7090770	533870	.	13,780.00
								<u>13,780.00</u>
130239	6/10/21	7703 WOODRUFF, SPRADLIN & SMART	96980	6/1/21 CONSULTANT SVCS (JUN)	7090770	533600	.	2,750.00
								<u>2,750.00</u>
								<u>352,628.19</u>
				Cash Disbursement Total				<u><u>352,628.19</u></u>

# CITY OF VISTA MEMORANDUM

TO: James H. Eggart, RSWA General Manager/General Counsel  
FROM: Mike Sylvia, Director of Finance/City Treasurer  
DATE: September 30, 2021  
SUBJECT: Regional Solid Waste Association's Investment Report

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## BACKGROUND

A quarterly investment report shall be submitted to the Regional Solid Waste Association (RSWA) Board. The report shall include information in accordance with Section 53646(b) of the California Government Code.

## RESULTS

The invested RSWA cash balance as of June 30, 2021 was \$497,464.

In 2010, RSWA's cash invested in the Local Agency Investment Fund (LAIF) was transferred to the City of Vista and was taken in as part of the City of Vista's investment portfolio. The cash activities of RSWA are accounted for within a separate fund (Fund 709) within the City of Vista's general ledger structure. Investment earnings are allocated to RSWA on a monthly basis, based upon RSWA's cash balance as a percentage of the overall City portfolio balance.

RSWA's cash balance is available on an "on demand" basis with immediate liquidity. The most recently Council approved City of Vista Treasurer's Cash Report for the month ended June 30, 2021, is attached as a reference.

RSWA is in compliance with its adopted investment policy and California Government Code.



# AGENDA REPORT

- CITY COUNCIL
- COMMUNITY DEVELOPMENT COMMISSION
- SUCCESSOR AGENCY
- BUENA SANITATION DISTRICT
- JOINT POWERS FINANCING AUTHORITY

Department: Finance

Item No: C3

Prepared by: Lori Ruiz, Senior Accountant  
Mathew McDonald, Finance Manager  
Mike Sylvia, Director/Treasurer

Meeting Date: September 28, 2021

Approved by: Patrick Johnson, City Manager

Agenda Location: Consent Calendar

**SUBJECT: TREASURER'S CASH REPORT FOR THE MONTH ENDING JUNE 30, 2021**

**RECOMMENDATION:** Receive and file attached Treasurer's Cash Report for the month ending June 30, 2021.

**CORRELATION TO CITY COUNCIL GOALS:** Fiscal Responsibility.

**PRIOR ACTION:** On August 10, 2021, received the Treasurer's Cash Report for the month ending May 31, 2021 (Vote: 5-0).

**STATEMENT ON THE SUBJECT:** The City Treasurer is required to submit to the City Council and City Manager a monthly report of cash and investment balances and activity. Exhibit 1 provides the details of cash and investment activity for the month of June 2021 and cash and investment balances at June 30, 2021.

The City's investment advisor, PFM Asset Management, LLC (PFM) has system controls in place to prevent transactions that would violate California Government Code Section 53600 et seq. and the City's Investment Policy. Additionally, City staff actively monitors trading transactions for compliance with California Government Code Section 53600 et seq. and the City's Investment Policy. No instances of non-compliance have been noted for the month of June 2021.

As of June 30, 2021, the City invests a large portion of its portfolio in U.S. Treasury and U.S. Agency securities and (34.86 percent and 24.67 percent, respectively, of the portfolio) due to safety and preservation of capital to prevent loss. To avoid having to sell securities at a possible loss because of unexpected or larger than normal disbursements, the City also invests a portion of its funds in the Local Agency Investment Fund (LAIF-State Pool) and California Asset Management Program (CAMP), which offer same day liquidity at a constant net asset value (17.99 percent and 0.05 percent of the portfolio, respectively). Additionally, the City invests in high quality corporate notes (13.63 percent of the portfolio), municipal bonds (3.17 percent of the portfolio), asset-backed securities (2.96 percent of the portfolio), and negotiable certificates of deposit (2.67 percent of the portfolio) to obtain higher yields and add diversity to the portfolio.

**ACTION:** Approved above recommendation. Vote: 5-0

  
Clerk/Secretary

**SUBJECT: TREASURER'S CASH REPORT FOR THE MONTH ENDING JUNE 30, 2021**

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In compliance with California Government Code Section 53646, the City has sufficient investment liquidity and anticipated revenues to meet the City's budgeted expenditure requirements for the next six months. All investments are in compliance with state law and the City's Investment Policy.

The City has a Section 115 Pension Trust to meet future pension obligations of the City. These funds are invested in equity, bond and other mutual funds (17.15 percent, 36.68 percent and 7.55 percent, respectively, of the portfolio), exchange traded fund equity (34.49 percent of the portfolio), exchange traded fund bond (3.85 percent of the portfolio) and money market funds (0.28 percent of the portfolio) in accordance with California Government Code Section 53216.1.

**FISCAL IMPACT:** There is no direct fiscal impact associated with the staff recommendation. As detailed on the attached Treasurer's Cash Report, cash and investments, at amortized cost, as of June 30, 2021, totaled \$296,139,729. Funds managed by fiscal agents, at market value, totaled \$2,693,101 and funds held in the Section 115 Pension Trust, at market value, totaled \$6,901,812.

**EXHIBITS:**

1. Treasurer's Cash Report for the Month Ending June 30, 2021

**DATE:** September 8, 2021  
**TO:** Patrick Johnson, City Manager  
**FROM:** Mike Sylvia, Director of Finance/Treasurer  
**PREPARED BY:** Lori Ruiz, Senior Accountant  
**SUBJECT:** Treasurer's Cash Report for the Month Ending June 30, 2021

As of June 30, 2021, the market value of the City's investment portfolio totaled \$296,719,344.28. The City's investment yield to maturity at market increased to 0.51 percent on June 30, 2021 as compared to 0.41 percent on May 31, 2021. The weighted average days to maturity of the portfolio decreased to 1014 days on June 30, 2021 as compared to 1016 days on May 31, 2021.

For accounting purposes, the City's investment balances are recorded at amortized cost. The activity presented below represents changes to the amortized cost of the City's cash and investments.

During the month of June 2021, the City's total cash and investments increased by \$10,471,317.84. The significant receipts and expenditures are listed below.

Receipts	
\$ 9,006,256	RPTTF Property Tax A
\$ 1,798,161	Sales and Use Tax
\$ 1,156,652	Property tax Apportionment #9
\$ 866,558	Prop L Sales Tax
\$ 521,539	Medical Cannabis Tax
\$ 374,664	Highway Users Tax
\$ 147,799	Road Maintenance Rehab Tax
<u>\$ 13,871,629</u>	

Expenditures	
\$ (2,660,992)	Payroll Expenditures
\$ (2,097,123)	San Diego County Sherriff's Dept (May)
\$ (431,526)	Community HousingWorks
\$ (247,274)	TC Construction Company
\$ (216,191)	California Physicians Service
\$ (172,265)	Colich & Sons LP
<u>\$ (5,825,371)</u>	

**CASH AND INVESTMENTS (EXCLUDING FUNDS MANAGED BY FISCAL AGENTS AND HELD IN TRUST)**

Total Investments Per Attached Portfolio Details-Amortized Cost	\$ 292,930,873.28
Plus Cash in Bank	3,208,855.66
<b>TOTAL CASH AND INVESTMENTS ON June 30, 2021</b>	<b><u>\$ 296,139,728.94</u></b>

Total Cash and Investments as of Prior Month	\$ 285,668,411.10
Current Month Receipts	\$ 19,367,432.29
Current Month Disbursements	(8,896,114.45)
Net Change in Total Cash and Investments	10,471,317.84
<b>TOTAL CASH AND INVESTMENTS ON June 30, 2021</b>	<b><u>\$ 296,139,728.94</u></b>

**FUNDS MANAGED BY FISCAL AGENTS** \$ 2,693,100.66

**FUNDS HELD BY SECTION 115 PENSION TRUST** \$ 6,901,811.81



Customer Service  
 PO Box 11813  
 Harrisburg, PA 17108-1813

**ACCOUNT STATEMENT**

**CITY OF VISTA**

For the Month Ending  
**June 30, 2021**

**Client Management Team**

**Sarah Meacham**

Managing Director  
 601 South Figueroa, Suite 4500  
 Los Angeles, CA 90017  
 213-489-4075  
 meachams@pfm.com

**Richard Babbe, CCM**

Senior Managing Consultant  
 601 South Figueroa, Suite 4500  
 Los Angeles, CA 90017  
 949-230-6896  
 babber@pfm.com

**Joseph Creason**

Portfolio Manager  
 213 Market Street  
 Harrisburg, PA 17101-2141  
 717-231-6217  
 creasonj@pfm.com

**Jeremy King**

Key Account Manager  
 213 Market Street  
 Harrisburg, PA 17101-2141  
 717-232-2723  
 kingj@pfm.com

**Contents**

- Cover/Disclosures
- Summary Statement
- Individual Accounts

**Accounts included in Statement**

90420000                      CITY OF VISTA INVESTMENT PORTFOLIO

CITY OF VISTA  
 MR. MIKE SYLVIA  
 200 CIVIC CENTER DRIVE  
 VISTA, CA 92084

**Online Access**    [www.pfm.com](http://www.pfm.com)

**Customer Service**    1-717-232-2723





Important Disclosures

Important Disclosures

This statement is for general information purposes only and is not intended to provide specific advice or recommendations. PFM Asset Management LLC ("PFM") is an investment advisor registered with the Securities and Exchange Commission, and is required to maintain a written disclosure statement of our background and business experience. If you would like to receive a copy of our current disclosure statement, please contact Service Operations at the address below.

**Proxy Voting** PFM does not normally receive proxies to vote on behalf of its clients. However, it does on occasion receive consent requests. In the event a consent request is received the portfolio manager contacts the client and then proceeds according to their instructions. PFM's Proxy Voting Policy is available upon request by contacting Service Operations at the address below.

**Questions About an Account** PFM's monthly statement is intended to detail our investment advisory activity as well as the activity of any accounts held by clients in pools that are managed by PFM. The custodian bank maintains the control of assets and executes (i.e., settles) all investment transactions. The custodian statement is the official record of security and cash holdings and transactions. PFM recognizes that clients may use these reports to facilitate record keeping and that the custodian bank statement and the PFM statement should be reconciled and differences resolved. Many custodians use a settlement date basis which may result in the need to reconcile due to a timing difference.

**Account Control** PFM does not have the authority to withdraw funds from or deposit funds to the custodian. Our clients retain responsibility for their internal accounting policies; implementing and enforcing internal controls and generating ledger entries or otherwise recording transactions.

**Market Value** Generally, PFM's market prices are derived from closing bid prices as of the last business day of the month as supplied by Refinitiv or Bloomberg. Where prices are not available from generally recognized sources the securities are priced using a yield-based matrix system to arrive at an estimated market value. Prices that fall between data points are interpolated. Non-negotiable FDIC-insured bank certificates of deposit are priced at par. Although PFM believes the prices to be reliable, the values of the securities do not always represent the prices at which the securities could have been bought or sold. Explanation of the valuation methods for a registered investment company, local government investment program, or TERM funds is contained in the appropriate fund offering documentation or information statement.

**Amortized Cost** The original cost of the principal of the security is adjusted for the amount of the periodic reduction of any discount or premium from the purchase date until the date of the report. Discount or premium with respect to short term securities (those with less than one year to maturity at time of issuance) is amortized on a straightline basis. Such discount or premium with respect to longer term securities is amortized using the constant yield basis.

**Tax Reporting** Cost data and realized gains / losses are provided for informational purposes only. Please review for accuracy and consult your tax advisor to determine the tax consequences of your security transactions. PFM does not report such information to the IRS or other taxing authorities and is not responsible for the accuracy of such information that may be required to be reported to federal, state or other taxing authorities.

**Financial Situation** In order to better serve you, PFM should be promptly notified of any material change in your investment objective or financial situation.

**Callable Securities** Securities subject to redemption prior to maturity may be redeemed in whole or in part before maturity, which could affect the yield represented.

**Portfolio** The securities in this portfolio, including shares of mutual funds, are not guaranteed or otherwise protected by PFM, the FDIC (except for certain non-negotiable certificates of deposit) or any government agency. Investment in securities involves risks, including the possible loss of the amount invested. Actual settlement values, accrued interest, and amortized cost amounts may vary for securities subject to an adjustable interest rate or subject to principal paydowns. Any changes to the values shown may be reflected within the next monthly statement's beginning values.

**Rating** Information provided for ratings is based upon a good faith inquiry of selected sources, but its accuracy and completeness cannot be guaranteed.

Shares of some money market and TERM funds are marketed through representatives of PFM's wholly owned subsidiary, PFM Fund Distributors, Inc. PFM Fund Distributors, Inc. is registered with the SEC as a broker/dealer and is a member of the Financial Industry Regulatory Authority ("FINRA") and the Municipal Securities Rulemaking Board ("MSRB"). You may reach the FINRA by calling the FINRA Regulator Public Disclosure Hotline at 1-888-289-9999 or at the FINRA Regulation Internet website address [www.nasd.com](http://www.nasd.com). A brochure describing the FINRA Regulation Public Disclosure Program is also available from the FINRA upon request.

**Key Terms and Definitions**

**Dividends** on money market funds consist of interest earned, plus any discount ratably amortized to the date of maturity, plus all realized gains and losses on the sale of securities prior to maturity, less ratably amortization of any premium and all accrued expenses to the fund. Dividends are accrued daily and may be paid either monthly or quarterly. The monthly earnings on this statement represent the estimated dividend accrued for the month for any program that distributes earnings on a quarterly basis. There is no guarantee that the estimated amount will be paid on the actual distribution date.

**Current Yield** is the net change, exclusive of capital changes and income other than investment income, in the value of a hypothetical fund account with a balance of one share over the seven-day base period including the statement date, expressed as a percentage of the value of one share (normally \$1.00 per share) at the beginning of the seven-day period. This resulting net change in account value is then annualized by multiplying it by

365 and dividing the result by 7. The yields quoted should not be considered a representation of the yield of the fund in the future, since the yield is not fixed. **Average maturity** represents the average maturity of all securities and investments of a portfolio, determined by multiplying the par or principal value of each security or investment by its maturity (days or years), summing the products, and dividing the sum by the total principal value of the portfolio. The stated maturity date of mortgage backed or callable securities are used in this statement. However the actual maturity of these securities could vary depending on the level or prepayments on the underlying mortgages or whether a callable security has or is still able to be called.

**Monthly distribution yield** represents the net change in the value of one share (normally \$1.00 per share) resulting from all dividends declared during the month by a fund expressed as a percentage of the value of one share at the beginning of the month. This resulting net change is then annualized by multiplying it by 365 and dividing it by the number of calendar days in the month. **YTM at Cost** The yield to maturity at cost is the expected rate of return, based on the original cost, the annual interest receipts, maturity value and the time period from purchase date to maturity, stated as a percentage, on an annualized basis.

**YTM at Market** The yield to maturity at market is the rate of return, based on the current market value, the annual interest receipts, maturity value and the time period remaining until maturity, stated as a percentage, on an annualized basis. **Managed Account** A portfolio of investments managed discretely by PFM according to the client's specific investment policy and requirements. The investments are directly owned by the client and held by the client's custodian. **Unsettled Trade** A trade which has been executed however the final consummation of the security transaction and payment has not yet taken place.

Please review the detail pages of this statement carefully. If you think your statement is wrong, missing account information, or if you need more information about a transaction, please contact PFM within 60 days of receipt. If you have other concerns or questions regarding your account you should contact a member of your client management team or PFM Service Operations at the address below.

PFM Asset Management LLC  
Attn: Service Operations  
213 Market Street  
Harrisburg, PA 17101



Consolidated Summary Statement

CITY OF VISTA

Portfolio Summary

Portfolio Holdings	Cash Dividends and Income	Closing Market Value
PFM Managed Account	269,644.95	243,192,133.74
State Pool	0.00	53,379,595.35
CAMP Pool	0.00	147,615.19
<b>Total</b>	<b>\$269,644.95</b>	<b>\$296,719,344.28</b>

Investment Allocation

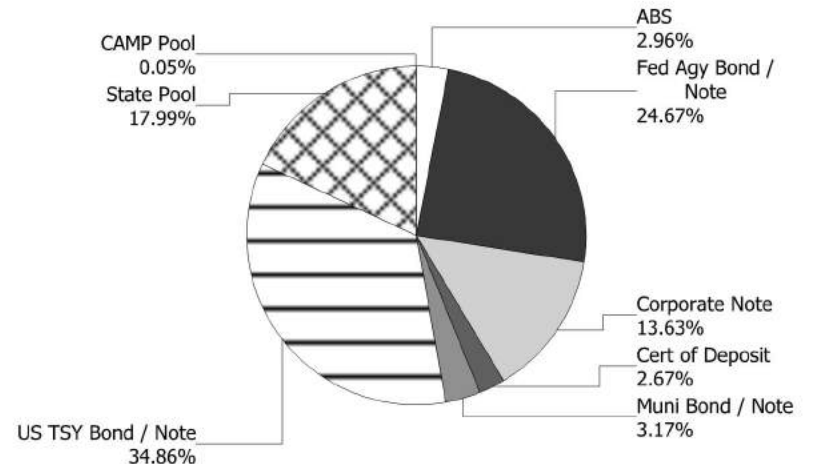
Investment Type	Closing Market Value	Percent
Asset-Backed Security	8,777,784.06	2.96
Federal Agency Bond / Note	73,188,078.08	24.67
Corporate Note	40,438,673.38	13.63
Certificate of Deposit	7,913,703.58	2.67
Municipal Bond / Note	9,418,397.75	3.17
U.S. Treasury Bond / Note	103,455,496.89	34.86
State Pool	53,379,595.35	17.99
CAMP Pool	147,615.19	0.05
<b>Total</b>	<b>\$296,719,344.28</b>	<b>100.00%</b>

Maturity Distribution (Fixed Income Holdings)

Portfolio Holdings	Closing Market Value	Percent
Under 30 days	56,092,207.98	18.90
31 to 60 days	0.00	0.00
61 to 90 days	0.00	0.00
91 to 180 days	0.00	0.00
181 days to 1 year	2,366,151.90	0.80
1 to 2 years	72,291,731.93	24.36
2 to 3 years	56,177,469.79	18.93
3 to 4 years	69,301,923.30	23.36
4 to 5 years	40,489,859.38	13.65
Over 5 years	0.00	0.00
<b>Total</b>	<b>\$296,719,344.28</b>	<b>100.00%</b>

Weighted Average Days to Maturity 831

Sector Allocation







## Managed Account Summary Statement

For the Month Ending **June 30, 2021**

CITY OF VISTA INVESTMENT PORTFOLIO - 90420000

### Transaction Summary - Managed Account

<b>Opening Market Value</b>	<b>\$244,027,198.04</b>
Maturities/Calls	(192,332.84)
Principal Dispositions	(5,328,983.90)
Principal Acquisitions	5,517,780.82
Unsettled Trades	0.00
Change in Current Value	(831,528.38)
<b>Closing Market Value</b>	<b>\$243,192,133.74</b>

### Cash Transactions Summary - Managed Account

Maturities/Calls	0.00
Sale Proceeds	5,384,214.86
Coupon/Interest/Dividend Income	168,982.87
Principal Payments	192,332.84
Security Purchases	(5,518,792.41)
Net Cash Contribution	791.03
Reconciling Transactions	0.00

### Earnings Reconciliation (Cash Basis) - Managed Account

Interest/Dividends/Coupons Received	224,213.83
Less Purchased Interest Related to Interest/Coupons	(1,011.59)
Plus Net Realized Gains/Losses	46,442.71
<b>Total Cash Basis Earnings</b>	<b>\$269,644.95</b>

### Cash Balance

<b>Closing Cash Balance</b>	<b>\$415,574.89</b>
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### Earnings Reconciliation (Accrual Basis)

	<b>Total</b>
Ending Amortized Value of Securities	238,987,775.72
Ending Accrued Interest	945,950.86
Plus Proceeds from Sales	5,384,214.86
Plus Proceeds of Maturities/Calls/Principal Payments	192,332.84
Plus Coupons/Dividends Received	168,982.87
Less Cost of New Purchases	(5,518,792.41)
Less Beginning Amortized Value of Securities	(238,931,040.84)
Less Beginning Accrued Interest	(870,847.77)
<b>Total Accrual Basis Earnings</b>	<b>\$358,576.13</b>





## Managed Account Issuer Summary

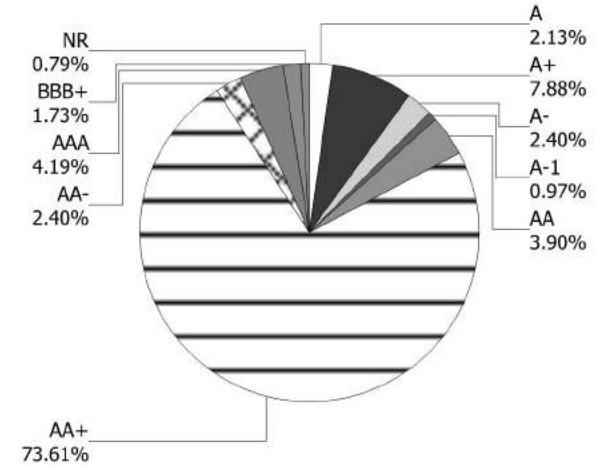
For the Month Ending **June 30, 2021**

CITY OF VISTA INVESTMENT PORTFOLIO - 90420000

### Issuer Summary

Issuer	Market Value of Holdings	Percent
ADOBE INC	280,988.95	0.12
AMAZON.COM INC	2,241,152.96	0.92
AMERICAN HONDA FINANCE	2,564,997.44	1.05
APPLE INC	1,421,812.98	0.58
BANK OF AMERICA CO	1,855,575.90	0.76
BRISTOL-MYERS SQUIBB CO	779,921.08	0.32
CALIFORNIA STATE UNIVERSITY	595,404.00	0.24
CARMAX AUTO OWNER TRUST	2,001,696.88	0.82
CITIGROUP INC	1,218,343.24	0.50
CREDIT SUISSE GROUP RK	1,872,982.65	0.77
DNB ASA	1,800,807.26	0.74
FANNIE MAE	34,091,780.40	14.02
FEDERAL HOME LOAN BANKS	12,908,888.91	5.31
FLORIDA STATE BOARD OF ADMIN FIN CORP	1,838,145.40	0.76
FREDDIE MAC	26,187,408.77	10.77
GOLDMAN SACHS GROUP INC	1,783,667.28	0.73
HONDA AUTO RECEIVABLES	3,502,915.58	1.44
HYUNDAI AUTO RECEIVABLES	514,862.75	0.21
JP MORGAN CHASE & CO	1,424,489.85	0.59
LOS ANGELES COMMUNITY COLLEGE DISTRICT	935,261.80	0.38
MASTERCARD INC	2,003,662.59	0.82
MICROSOFT CORP	1,995,702.93	0.82
MORGAN STANLEY	1,201,436.40	0.49
NEW JERSEY TURNPIKE AUTHORITY	503,323.40	0.21
PACCAR FINANCIAL CORP	1,871,622.00	0.77
PFIZER INC	3,641,845.15	1.50
SAN DIEGO COMMUNITY COLLEGE DISTRICT	722,722.00	0.30
SOCIETE GENERALE	2,366,151.90	0.97
STATE OF CALIFORNIA	3,450,320.25	1.42
STATE OF MARYLAND	630,963.90	0.26
SUMITOMO MITSUI FINANCIAL GROUP INC	1,873,761.77	0.77
TEXAS INSTRUMENTS INC	1,866,651.24	0.77

### Credit Quality (S&P Ratings)





### Managed Account Issuer Summary

For the Month Ending **June 30, 2021**

CITY OF VISTA INVESTMENT PORTFOLIO - 90420000

<b>Issuer</b>	<b>Market Value of Holdings</b>	<b>Percent</b>
THE BANK OF NEW YORK MELLON CORPORATION	3,017,028.42	1.24
TOYOTA MOTOR CORP	4,652,922.50	1.91
UNITED STATES TREASURY	103,455,496.89	42.55
UNIVERSITY OF CALIFORNIA	742,257.00	0.31
US BANCORP	4,707,947.20	1.94
WAL-MART STORES INC	4,667,214.12	1.92
<b>Total</b>	<b>\$243,192,133.74</b>	<b>100.00%</b>





**Managed Account Detail of Securities Held**

For the Month Ending **June 30, 2021**

**CITY OF VISTA INVESTMENT PORTFOLIO - 90420000**

<b>Security Type/Description</b> <b>Dated Date/Coupon/Maturity</b>	<b>CUSIP</b>	<b>Par</b>	<b>S&amp;P Rating</b>	<b>Moody's Rating</b>	<b>Trade Date</b>	<b>Settle Date</b>	<b>Original Cost</b>	<b>YTM at Cost</b>	<b>Accrued Interest</b>	<b>Amortized Cost</b>	<b>Market Value</b>
<b>U.S. Treasury Bond / Note</b>											
US TREASURY NOTES DTD 07/31/2017 1.875% 07/31/2022	9128282P4	2,245,000.00	AA+	Aaa	04/02/18	04/05/18	2,186,770.31	2.51	17,558.44	2,230,424.13	2,287,795.31
US TREASURY NOTES DTD 07/31/2015 2.000% 07/31/2022	912828X08	3,885,000.00	AA+	Aaa	08/30/17	08/31/17	3,934,169.54	1.73	32,410.77	3,895,820.04	3,964,521.29
US TREASURY NOTES DTD 08/31/2015 1.875% 08/31/2022	912828L24	1,810,000.00	AA+	Aaa	09/28/17	09/29/17	1,806,252.73	1.92	11,343.24	1,809,111.67	1,847,048.53
US TREASURY NOTES DTD 09/30/2015 1.750% 09/30/2022	912828L57	4,680,000.00	AA+	Aaa	06/04/18	06/06/18	4,488,960.94	2.76	20,586.89	4,624,759.79	4,774,331.02
US TREASURY NOTES DTD 11/02/2015 1.875% 10/31/2022	912828M49	910,000.00	AA+	Aaa	12/12/18	12/13/18	879,785.16	2.78	2,874.66	899,622.97	930,901.61
US TREASURY NOTES DTD 10/31/2017 2.000% 10/31/2022	9128283C2	4,975,000.00	AA+	Aaa	05/02/18	05/04/18	4,809,037.11	2.80	16,763.59	4,925,747.15	5,097,820.31
US TREASURY NOTES DTD 02/01/2016 1.750% 01/31/2023	912828P38	4,955,000.00	AA+	Aaa	10/02/18	10/04/18	4,718,476.17	2.93	36,170.13	4,868,324.50	5,077,326.56
US TREASURY NOTES DTD 02/29/2016 1.500% 02/28/2023	912828P79	2,480,000.00	AA+	Aaa	07/02/18	07/05/18	2,346,312.50	2.74	12,433.70	2,432,237.60	2,533,475.00
US TREASURY NOTES DTD 03/31/2016 1.500% 03/31/2023	912828O29	4,000,000.00	AA+	Aaa	02/08/19	02/12/19	3,852,656.25	2.44	15,081.97	3,937,662.26	4,090,000.00
US TREASURY NOTES DTD 05/31/2018 2.750% 05/31/2023	9128284S6	4,730,000.00	AA+	Aaa	12/02/19	12/04/19	4,902,940.63	1.67	11,017.28	4,824,886.58	4,956,892.42
US TREASURY NOTES DTD 08/01/2016 1.250% 07/31/2023	912828S92	4,460,000.00	AA+	Aaa	04/02/19	04/04/19	4,271,146.88	2.28	23,254.83	4,369,101.73	4,551,290.85
US TREASURY NOTES DTD 10/01/2018 2.875% 09/30/2023	9128285D8	2,740,000.00	AA+	Aaa	05/01/19	05/03/19	2,812,032.03	2.25	19,801.37	2,776,709.06	2,897,977.99
US TREASURY NOTES DTD 11/15/2020 0.250% 11/15/2023	91282CAW1	1,610,000.00	AA+	Aaa	04/16/21	04/19/21	1,609,937.11	0.25	514.06	1,609,941.99	1,607,484.38
US TREASURY NOTES DTD 11/15/2013 2.750% 11/15/2023	912828WE6	5,950,000.00	AA+	Aaa	03/06/19	03/08/19	6,010,197.27	2.52	20,897.76	5,980,467.62	6,290,265.63



**Managed Account Detail of Securities Held**

For the Month Ending **June 30, 2021**

**CITY OF VISTA INVESTMENT PORTFOLIO - 90420000**

<b>Security Type/Description</b> <b>Dated Date/Coupon/Maturity</b>	<b>CUSIP</b>	<b>Par</b>	<b>S&amp;P Rating</b>	<b>Moody's Rating</b>	<b>Trade Date</b>	<b>Settle Date</b>	<b>Original Cost</b>	<b>YTM at Cost</b>	<b>Accrued Interest</b>	<b>Amortized Cost</b>	<b>Market Value</b>
<b>U.S. Treasury Bond / Note</b>											
US TREASURY NOTES DTD 11/30/2016 2.125% 11/30/2023	912828U57	5,625,000.00	AA+	Aaa	01/07/19	01/09/19	5,524,365.23	2.52	10,124.23	5,575,302.43	5,867,578.13
US TREASURY NOTES DTD 12/31/2018 2.625% 12/31/2023	9128285U0	270,000.00	AA+	Aaa	01/30/19	01/31/19	270,801.56	2.56	19.26	270,407.70	285,271.88
US TREASURY NOTES DTD 05/01/2017 2.000% 04/30/2024	912828X70	1,400,000.00	AA+	Aaa	06/03/19	06/05/19	1,406,343.75	1.90	4,717.39	1,403,662.44	1,462,125.00
US TREASURY NOTES DTD 06/30/2017 2.000% 06/30/2024	912828XX3	1,665,000.00	AA+	Aaa	07/01/19	07/03/19	1,682,170.31	1.78	90.49	1,675,307.83	1,741,225.70
US TREASURY NOTES DTD 07/31/2017 2.125% 07/31/2024	9128282N9	4,700,000.00	AA+	Aaa	08/01/19	08/02/19	4,765,359.38	1.83	41,660.57	4,740,325.84	4,937,203.36
US TREASURY NOTES DTD 08/31/2017 1.875% 08/31/2024	9128282U3	4,520,000.00	AA+	Aaa	09/03/19	09/03/19	4,622,759.38	1.40	28,326.77	4,585,182.35	4,714,925.00
US TREASURY NOTES DTD 10/02/2017 2.125% 09/30/2024	9128282Y5	4,035,000.00	AA+	Aaa	10/01/19	10/03/19	4,155,577.15	1.50	21,553.07	4,113,467.70	4,244,315.63
US TREASURY NOTES DTD 10/31/2017 2.250% 10/31/2024	9128283D0	2,455,000.00	AA+	Aaa	11/01/19	11/05/19	2,535,266.99	1.57	9,306.32	2,508,658.17	2,593,860.94
US TREASURY NOTES DTD 11/30/2017 2.125% 11/30/2024	9128283J7	1,545,000.00	AA+	Aaa	01/03/20	01/07/20	1,580,788.48	1.63	2,780.79	1,569,965.92	1,627,319.45
US TREASURY NOTES DTD 01/31/2020 1.375% 01/31/2025	912828Z52	4,350,000.00	AA+	Aaa	01/11/21	01/12/21	4,526,039.06	0.37	24,949.41	4,505,818.36	4,469,625.00
US TREASURY NOTES DTD 12/31/2020 0.375% 12/31/2025	91282CBC4	925,000.00	AA+	Aaa	05/06/21	05/07/21	910,113.28	0.73	9.43	910,595.19	907,367.19
US TREASURY NOTES DTD 12/31/2020 0.375% 12/31/2025	91282CBC4	5,675,000.00	AA+	Aaa	01/12/21	01/13/21	5,633,989.26	0.52	57.83	5,637,812.10	5,566,820.31
US TREASURY NOTES DTD 01/31/2021 0.375% 01/31/2026	91282CBH3	450,000.00	AA+	Aaa	05/19/21	05/20/21	440,789.06	0.82	703.90	441,014.37	441,000.00
US TREASURY NOTES DTD 01/31/2021 0.375% 01/31/2026	91282CBH3	3,230,000.00	AA+	Aaa	02/26/21	02/26/21	3,163,128.91	0.80	5,052.45	3,167,772.74	3,165,400.00





**Managed Account Detail of Securities Held**

For the Month Ending **June 30, 2021**

**CITY OF VISTA INVESTMENT PORTFOLIO - 90420000**

<b>Security Type/Description Dated Date/Coupon/Maturity</b>	<b>CUSIP</b>	<b>Par</b>	<b>S&amp;P Rating</b>	<b>Moody's Rating</b>	<b>Trade Date</b>	<b>Settle Date</b>	<b>Original Cost</b>	<b>YTM at Cost</b>	<b>Accrued Interest</b>	<b>Amortized Cost</b>	<b>Market Value</b>
<b>U.S. Treasury Bond / Note</b>											
US TREASURY NOTES DTD 01/31/2019 2.625% 01/31/2026	9128286A3	4,400,000.00	AA+	Aaa	02/04/21	02/04/21	4,870,421.88	0.46	48,178.18	4,832,467.97	4,760,250.00
US TREASURY NOTES DTD 02/28/2021 0.500% 02/28/2026	91282CBO3	300,000.00	AA+	Aaa	03/08/21	03/09/21	294,785.16	0.86	501.36	295,112.34	295,406.25
US TREASURY N/B NOTES DTD 04/30/2021 0.750% 04/30/2026	91282CBW0	750,000.00	AA+	Aaa	05/25/21	05/27/21	748,593.75	0.79	947.69	748,621.11	746,132.85
US TREASURY N/B NOTES DTD 05/31/2021 0.750% 05/31/2026	91282CCF6	4,750,000.00	AA+	Aaa	06/02/21	06/04/21	4,739,609.38	0.79	3,017.42	4,739,763.36	4,722,539.30
<b>Security Type Sub-Total</b>		<b>100,475,000.00</b>					<b>100,499,576.60</b>	<b>1.78</b>	<b>442,705.25</b>	<b>100,906,075.01</b>	<b>103,455,496.89</b>
<b>Municipal Bond / Note</b>											
CA ST TXBL GO BONDS DTD 10/26/2017 2.500% 10/01/2022	13063DDF2	2,000,000.00	AA-	Aa2	10/18/17	10/26/17	2,027,860.00	2.20	12,500.00	2,007,069.42	2,060,700.00
SAN DIEGO CCD, CA TXBL GO BONDS DTD 10/16/2019 1.996% 08/01/2023	797272ON4	700,000.00	AAA	Aaa	09/18/19	10/16/19	700,000.00	2.00	5,821.67	700,000.00	722,722.00
CA ST TXBL GO BONDS DTD 10/24/2019 2.400% 10/01/2023	13063DRJ9	1,325,000.00	AA-	Aa2	10/16/19	10/24/19	1,351,513.25	1.87	7,950.00	1,340,155.70	1,389,620.25
MD ST TXBL GO BONDS DTD 08/05/2020 0.510% 08/01/2024	574193TO1	630,000.00	AAA	Aaa	07/23/20	08/05/20	629,823.60	0.52	1,338.75	629,863.55	630,963.90
CA ST UNIV TXBL REV BONDS DTD 09/17/2020 0.685% 11/01/2024	13077DMK5	600,000.00	AA-	Aa2	08/27/20	09/17/20	600,000.00	0.69	685.00	600,000.00	595,404.00
UNIV OF CAL TXBL REV BONDS DTD 07/16/2020 0.883% 05/15/2025	91412HGE7	225,000.00	AA	Aa2	07/14/20	07/16/20	225,814.50	0.81	253.86	225,650.10	225,686.25
UNIV OF CAL TXBL REV BONDS DTD 07/16/2020 0.883% 05/15/2025	91412HGE7	515,000.00	AA	Aa2	07/10/20	07/16/20	515,000.00	0.88	581.07	515,000.00	516,570.75
FL ST BOARD OF ADMIN TXBL REV BONDS DTD 09/16/2020 1.258% 07/01/2025	341271AD6	510,000.00	AA	Aa3	09/03/20	09/16/20	513,605.70	1.11	3,207.90	513,011.97	515,084.70
FL ST BOARD OF ADMIN TXBL REV BONDS DTD 09/16/2020 1.258% 07/01/2025	341271AD6	1,310,000.00	AA	Aa3	09/03/20	09/16/20	1,310,000.00	1.26	8,239.90	1,310,000.00	1,323,060.70



**Managed Account Detail of Securities Held**

For the Month Ending **June 30, 2021**

**CITY OF VISTA INVESTMENT PORTFOLIO - 90420000**

<b>Security Type/Description</b> <b>Dated Date/Coupon/Maturity</b>	<b>CUSIP</b>	<b>Par</b>	<b>S&amp;P Rating</b>	<b>Moody's Rating</b>	<b>Trade Date</b>	<b>Settle Date</b>	<b>Original Cost</b>	<b>YTM at Cost</b>	<b>Accrued Interest</b>	<b>Amortized Cost</b>	<b>Market Value</b>
<b>Municipal Bond / Note</b>											
LOS ANGELES CCD, CA TXBL GO BONDS DTD 11/10/2020 0.773% 08/01/2025	54438CYK2	935,000.00	AA+	Aaa	10/30/20	11/10/20	935,000.00	0.77	3,011.48	935,000.00	935,261.80
NJ TURNPIKE AUTHORITY TXBL REV BONDS DTD 02/04/2021 1.047% 01/01/2026	646140DP5	505,000.00	A+	A2	01/22/21	02/04/21	505,000.00	1.05	2,159.00	505,000.00	503,323.40
<b>Security Type Sub-Total</b>		<b>9,255,000.00</b>					<b>9,313,617.05</b>	<b>1.43</b>	<b>45,748.63</b>	<b>9,280,750.74</b>	<b>9,418,397.75</b>
<b>Federal Agency Bond / Note</b>											
FREDDIE MAC NOTES (CALLABLE) DTD 08/06/2020 0.300% 02/06/2023	3134GWLD6	6,000,000.00	AA+	Aaa	08/03/20	08/06/20	6,000,000.00	0.30	7,250.00	6,000,000.00	6,001,302.00
FEDERAL HOME LOAN BANKS NOTES DTD 02/21/2020 1.375% 02/17/2023	3130AJ7E3	3,200,000.00	AA+	Aaa	02/20/20	02/21/20	3,194,112.00	1.44	16,377.78	3,196,786.40	3,261,043.20
FREDDIE MAC NOTES DTD 05/07/2020 0.375% 05/05/2023	3137EAER6	1,370,000.00	AA+	Aaa	08/03/20	08/04/20	1,376,713.00	0.20	799.17	1,374,499.85	1,373,640.09
FREDDIE MAC NOTES DTD 05/07/2020 0.375% 05/05/2023	3137EAER6	2,050,000.00	AA+	Aaa	06/02/20	06/03/20	2,053,915.50	0.31	1,195.83	2,052,471.98	2,055,446.85
FANNIE MAE NOTES DTD 05/22/2020 0.250% 05/22/2023	3135G04O3	3,695,000.00	AA+	Aaa	05/20/20	05/22/20	3,683,878.05	0.35	1,000.73	3,687,991.65	3,696,356.07
FEDERAL HOME LOAN BANKS NOTES DTD 05/09/2013 2.125% 06/09/2023	3133834G3	4,450,000.00	AA+	Aaa	07/11/19	07/12/19	4,488,092.00	1.90	5,778.82	4,468,885.95	4,612,113.50
FREDDIE MAC NOTES DTD 06/26/2020 0.250% 06/26/2023	3137EAES4	3,345,000.00	AA+	Aaa	06/24/20	06/26/20	3,335,232.60	0.35	116.15	3,338,533.00	3,345,625.52
FANNIE MAE NOTES DTD 07/10/2020 0.250% 07/10/2023	3135G05G4	3,425,000.00	AA+	Aaa	07/08/20	07/10/20	3,417,636.25	0.32	4,067.19	3,420,030.31	3,424,462.28
FREDDIE MAC NOTES DTD 08/21/2020 0.250% 08/24/2023	3137EAEV7	2,225,000.00	AA+	Aaa	08/19/20	08/21/20	2,222,730.50	0.28	1,962.33	2,223,379.52	2,224,154.50
FANNIE MAE NOTES DTD 09/14/2018 2.875% 09/12/2023	3135G0U43	3,935,000.00	AA+	Aaa	11/29/18	11/30/18	3,918,158.20	2.97	34,253.63	3,927,258.75	4,158,712.62



**Managed Account Detail of Securities Held**

For the Month Ending **June 30, 2021**

**CITY OF VISTA INVESTMENT PORTFOLIO - 90420000**

<b>Security Type/Description</b>	<b>S&amp;P</b>	<b>Moody's</b>	<b>Trade</b>	<b>Settle</b>	<b>Original</b>	<b>YTM</b>	<b>Accrued</b>	<b>Amortized</b>	<b>Market</b>		
<b>Dated Date/Coupon/Maturity</b>	<b>Par</b>	<b>Rating</b>	<b>Rating</b>	<b>Date</b>	<b>Date</b>	<b>Cost</b>	<b>at Cost</b>	<b>Cost</b>	<b>Value</b>		
<b>CUSIP</b>											
<b>Federal Agency Bond / Note</b>											
FREDDIE MAC NOTES DTD 12/04/2020 0.250% 12/04/2023	3137EAFA2	780,000.00	AA+	Aaa	12/02/20	12/04/20	779,227.80	0.28	146.25	779,375.19	778,535.94
FEDERAL HOME LOAN BANKS NOTES DTD 12/09/2013 3.375% 12/08/2023	3130A0F70	2,025,000.00	AA+	Aaa	01/30/19	01/31/19	2,084,684.63	2.72	4,366.41	2,054,977.04	2,174,600.93
FANNIE MAE NOTES DTD 02/08/2019 2.500% 02/05/2024	3135G0V34	1,575,000.00	AA+	Aaa	02/07/19	02/08/19	1,569,141.00	2.58	15,968.75	1,571,949.98	1,661,632.88
FHLB BONDS DTD 02/15/2019 2.500% 02/13/2024	3130AFW94	455,000.00	AA+	Aaa	02/14/19	02/15/19	453,389.30	2.58	4,360.42	454,154.91	480,240.22
FREDDIE MAC NOTES DTD 02/14/2020 1.500% 02/12/2025	3137EAEP0	4,655,000.00	AA+	Aaa	02/13/20	02/14/20	4,651,415.65	1.52	26,960.21	4,652,403.56	4,803,229.17
FEDERAL HOME LOAN BANK NOTES DTD 04/16/2020 0.500% 04/14/2025	3130AJHU6	2,395,000.00	AA+	Aaa	04/15/20	04/16/20	2,383,120.80	0.60	2,561.32	2,385,992.91	2,380,891.06
FANNIE MAE NOTES DTD 04/24/2020 0.625% 04/22/2025	3135G03U5	3,195,000.00	AA+	Aaa	04/22/20	04/24/20	3,188,418.30	0.67	3,827.34	3,189,980.73	3,191,066.96
FANNIE MAE NOTES DTD 04/24/2020 0.625% 04/22/2025	3135G03U5	3,955,000.00	AA+	Aaa	06/03/20	06/05/20	3,975,249.60	0.52	4,737.76	3,970,806.51	3,950,131.39
FANNIE MAE NOTES DTD 06/19/2020 0.500% 06/17/2025	3135G04Z3	2,320,000.00	AA+	Aaa	10/01/20	10/05/20	2,330,880.80	0.40	451.11	2,329,175.13	2,302,105.84
FANNIE MAE NOTES DTD 06/19/2020 0.500% 06/17/2025	3135G04Z3	2,520,000.00	AA+	Aaa	10/26/20	10/28/20	2,523,729.60	0.47	490.00	2,523,187.67	2,500,563.24
FANNIE MAE NOTES DTD 06/19/2020 0.500% 06/17/2025	3135G04Z3	3,710,000.00	AA+	Aaa	06/17/20	06/19/20	3,702,320.30	0.54	721.39	3,703,907.61	3,681,384.77
FREDDIE MAC NOTES DTD 07/23/2020 0.375% 07/21/2025	3137EAEU9	2,245,000.00	AA+	Aaa	07/21/20	07/23/20	2,233,819.90	0.48	3,741.67	2,235,922.30	2,215,464.78
FANNIE MAE NOTES DTD 08/27/2020 0.375% 08/25/2025	3135G05X7	550,000.00	AA+	Aaa	10/15/20	10/16/20	548,289.50	0.44	721.88	548,538.26	542,375.35
FANNIE MAE NOTES DTD 08/27/2020 0.375% 08/25/2025	3135G05X7	2,390,000.00	AA+	Aaa	08/25/20	08/27/20	2,378,814.80	0.47	3,136.87	2,380,703.53	2,356,867.43





**Managed Account Detail of Securities Held**

For the Month Ending **June 30, 2021**

**CITY OF VISTA INVESTMENT PORTFOLIO - 90420000**

<b>Security Type/Description</b> <b>Dated Date/Coupon/Maturity</b>	<b>CUSIP</b>	<b>Par</b>	<b>S&amp;P Rating</b>	<b>Moody's Rating</b>	<b>Trade Date</b>	<b>Settle Date</b>	<b>Original Cost</b>	<b>YTM at Cost</b>	<b>Accrued Interest</b>	<b>Amortized Cost</b>	<b>Market Value</b>
<b>Federal Agency Bond / Note</b>											
FREDDIE MAC NOTES DTD 09/25/2020 0.375% 09/23/2025	3137EAEX3	3,440,000.00	AA+	Aaa	09/23/20	09/25/20	3,429,645.60	0.44	3,511.67	3,431,229.41	3,390,009.92
FANNIE MAE NOTES DTD 11/12/2020 0.500% 11/07/2025	3135G06G3	410,000.00	AA+	Aaa	11/19/20	11/24/20	409,844.20	0.51	307.50	409,863.06	405,540.43
FANNIE MAE NOTES DTD 11/12/2020 0.500% 11/07/2025	3135G06G3	2,245,000.00	AA+	Aaa	11/09/20	11/12/20	2,236,962.90	0.57	1,683.75	2,237,982.43	2,220,581.14
<b>Security Type Sub-Total</b>		<b>72,560,000.00</b>					<b>72,569,422.78</b>	<b>0.91</b>	<b>150,495.93</b>	<b>72,549,987.64</b>	<b>73,188,078.08</b>
<b>Corporate Note</b>											
AMERICAN HONDA FINANCE DTD 07/12/2016 1.650% 07/12/2021	02665WBF7	2,565,000.00	A-	A3	08/02/17	08/03/17	2,527,089.30	2.04	19,868.06	2,564,710.20	2,564,997.44
ADOBE INC CORP NOTE DTD 02/03/2020 1.700% 02/01/2023	00724PAA7	275,000.00	A	A2	01/22/20	02/03/20	274,623.25	1.75	1,947.92	274,800.26	280,988.95
AMAZON.COM INC BONDS DTD 06/06/2018 2.400% 02/22/2023	023135AW6	1,165,000.00	AA	A1	04/11/19	04/15/19	1,153,781.05	2.66	10,019.00	1,160,214.63	1,203,417.04
PACCAR FINANCIAL CORP CORPORATE NOTES DTD 04/07/2020 2.650% 04/06/2023	69371RO74	1,800,000.00	A+	A1	05/01/20	05/05/20	1,874,484.00	1.20	11,262.50	1,844,997.84	1,871,622.00
APPLE INC CORPORATE NOTES DTD 05/11/2020 0.750% 05/11/2023	037833DV9	1,410,000.00	AA+	Aa1	05/04/20	05/11/20	1,406,164.80	0.84	1,468.75	1,407,621.83	1,421,812.98
US BANK NA CINCINNATI CORP NOTES DTD 02/04/2019 3.375% 02/05/2024	91159HHV5	4,400,000.00	A+	A1	07/18/19	07/22/19	4,607,504.00	2.27	60,225.00	4,518,698.79	4,707,947.20
PFIZER INC CORP NOTES DTD 03/11/2019 2.950% 03/15/2024	717081ES8	1,550,000.00	A+	A2	06/03/19	06/05/19	1,589,416.50	2.38	13,463.47	1,572,028.22	1,648,615.65
PFIZER INC CORP NOTES DTD 03/11/2019 2.950% 03/15/2024	717081ES8	1,874,000.00	A+	A2	04/02/19	04/04/19	1,896,675.40	2.69	16,277.77	1,886,230.43	1,993,229.50
MORGAN STANLEY CORP NOTES (CALLABLE) DTD 04/22/2021 0.731% 04/05/2024	61772BAA1	300,000.00	BBB+	A1	04/19/21	04/22/21	300,000.00	0.73	420.33	300,000.00	300,359.10



**Managed Account Detail of Securities Held**

For the Month Ending **June 30, 2021**

**CITY OF VISTA INVESTMENT PORTFOLIO - 90420000**

<b>Security Type/Description</b> <b>Dated Date/Coupon/Maturity</b>	<b>CUSIP</b>	<b>Par</b>	<b>S&amp;P Rating</b>	<b>Moody's Rating</b>	<b>Trade Date</b>	<b>Settle Date</b>	<b>Original Cost</b>	<b>YTM at Cost</b>	<b>Accrued Interest</b>	<b>Amortized Cost</b>	<b>Market Value</b>
<b>Corporate Note</b>											
MORGAN STANLEY CORP NOTES (CALLABLE) DTD 04/22/2021 0.731% 04/05/2024	61772BAA1	900,000.00	BBB+	A1	04/20/21	04/22/21	901,134.00	0.69	1,260.98	901,022.67	901,077.30
AMAZON.COM INC CORPORATE NOTES DTD 05/12/2021 0.450% 05/12/2024	023135BW5	1,040,000.00	AA	A1	05/10/21	05/12/21	1,038,481.60	0.50	637.00	1,038,550.87	1,037,735.92
WALMART INC CORPORATE NOTES DTD 04/23/2019 2.850% 07/08/2024	931142EL3	4,380,000.00	AA	Aa2	07/10/19	07/12/19	4,511,925.60	2.21	59,987.75	4,442,743.93	4,667,214.12
JPMORGAN CHASE & CO CORPORATE NOTES DTD 09/16/2020 0.653% 09/16/2024	46647PBS4	1,425,000.00	A-	A2	09/09/20	09/16/20	1,425,000.00	0.65	2,714.03	1,425,000.00	1,424,489.85
BANK OF AMERICA CORP (CALLABLE) CORPORAT DTD 10/21/2020 0.810% 10/24/2024	06051GJH3	1,850,000.00	A-	A2	10/16/20	10/21/20	1,850,000.00	0.81	2,788.88	1,850,000.00	1,855,575.90
MICROSOFT CORP (CALLABLE) NOTES DTD 02/12/2015 2.700% 02/12/2025	594918BB9	1,865,000.00	AAA	Aaa	03/15/21	03/17/21	1,991,838.65	0.92	19,442.63	1,981,775.10	1,995,702.93
TOYOTA MOTOR CREDIT CORP CORP NOTES DTD 02/13/2020 1.800% 02/13/2025	89236TGT6	775,000.00	A+	A1	05/20/20	05/26/20	782,571.75	1.58	5,347.50	780,810.57	800,177.43
TOYOTA MOTOR CREDIT CORP CORP NOTES DTD 02/13/2020 1.800% 02/13/2025	89236TGT6	1,060,000.00	A+	A1	05/20/20	05/26/20	1,070,356.20	1.58	7,314.00	1,067,947.36	1,094,436.22
MASTERCARD INC CORPORATE NOTES DTD 12/03/2019 2.000% 03/03/2025	57636OAN4	1,915,000.00	A+	A1	03/15/21	03/17/21	1,994,664.00	0.93	12,553.89	1,988,828.21	2,003,662.59
TEXAS INSTRUMENTS INC CORP NOTES DTD 03/12/2020 1.375% 03/12/2025	882508BH6	1,830,000.00	A+	A1	05/01/20	05/05/20	1,851,191.40	1.13	7,618.65	1,846,144.69	1,866,651.24
CITIGROUP INC CORPORATE NOTES DTD 05/04/2021 0.981% 05/01/2025	172967MX6	590,000.00	BBB+	A3	04/27/21	05/04/21	590,000.00	0.98	916.42	590,000.00	589,198.78
CITIGROUP INC CORPORATE NOTES DTD 05/04/2021 0.981% 05/01/2025	172967MX6	630,000.00	BBB+	A3	04/28/21	05/04/21	631,669.50	0.91	978.55	631,603.09	629,144.46



**Managed Account Detail of Securities Held**

For the Month Ending **June 30, 2021**

**CITY OF VISTA INVESTMENT PORTFOLIO - 90420000**

<b>Security Type/Description</b>	<b>Dated Date/Coupon/Maturity</b>	<b>CUSIP</b>	<b>Par</b>	<b>S&amp;P Rating</b>	<b>Moody's Rating</b>	<b>Trade Date</b>	<b>Settle Date</b>	<b>Original Cost</b>	<b>YTM at Cost</b>	<b>Accrued Interest</b>	<b>Amortized Cost</b>	<b>Market Value</b>
<b>Corporate Note</b>												
GOLDMAN SACHS GROUP INC CORPORATE NOTES DTD 05/22/2015 3.750% 05/22/2025		38148LAE6	1,635,000.00	BBB+	A2	02/12/21	02/17/21	1,826,589.30	0.94	6,642.19	1,809,077.04	1,783,667.28
BRISTOL-MYERS SQUIBB CO CORPORATE NOTES DTD 11/13/2020 0.750% 11/13/2025		110122DN5	786,000.00	A+	A2	06/17/21	06/21/21	778,171.44	0.98	786.00	778,220.19	779,921.08
BANK OF NY MELLON CORP (CALLABLE) CORP DTD 01/28/2021 0.750% 01/28/2026		06406RA00	3,060,000.00	A	A1	02/16/21	02/18/21	3,058,959.60	0.76	9,753.75	3,059,036.26	3,017,028.42
<b>Security Type Sub-Total</b>			<b>39,080,000.00</b>					<b>39,932,291.34</b>	<b>1.51</b>	<b>273,695.02</b>	<b>39,720,062.18</b>	<b>40,438,673.38</b>
<b>Certificate of Deposit</b>												
SOCIETE GENERALE NY CERT DEPOS DTD 02/19/2020 1.800% 02/14/2022		83369XDL9	2,345,000.00	A-1	P-1	02/14/20	02/19/20	2,345,000.00	1.80	16,063.25	2,345,000.00	2,366,151.90
SUMITOMO MITSUI BANK NY CERT DEPOS DTD 07/14/2020 0.700% 07/08/2022		86565CKU2	1,865,000.00	A	A1	07/10/20	07/14/20	1,865,000.00	0.70	6,309.92	1,865,000.00	1,873,761.77
DNB BANK ASA/NY LT CD DTD 12/06/2019 2.040% 12/02/2022		23341VZT1	1,755,000.00	AA-	Aa2	12/05/19	12/06/19	1,755,000.00	2.03	2,884.05	1,755,000.00	1,800,807.26
CREDIT SUISSE NEW YORK CERT DEPOS DTD 03/23/2021 0.590% 03/17/2023		22552G3C2	1,870,000.00	A+	Aa3	03/19/21	03/23/21	1,870,000.00	0.59	3,064.72	1,870,000.00	1,872,982.65
<b>Security Type Sub-Total</b>			<b>7,835,000.00</b>					<b>7,835,000.00</b>	<b>1.30</b>	<b>28,321.94</b>	<b>7,835,000.00</b>	<b>7,913,703.58</b>
<b>Asset-Backed Security</b>												
HAROT 2019-3 A3 DTD 08/27/2019 1.780% 08/15/2023		43815NAC8	1,561,846.70	AAA	Aaa	08/20/19	08/27/19	1,561,833.74	1.78	1,235.59	1,561,839.77	1,576,120.57
TAOT 2019-C A3 DTD 08/14/2019 1.910% 09/15/2023		89238UAD2	1,009,722.60	AAA	Aaa	08/06/19	08/14/19	1,009,714.32	1.91	857.14	1,009,718.13	1,020,284.80
HAROT 2020-1 A3 DTD 02/26/2020 1.610% 04/22/2024		43813RAC1	1,260,000.00	NR	Aaa	02/19/20	02/26/20	1,259,753.04	1.61	563.50	1,259,832.97	1,277,400.22





**Managed Account Detail of Securities Held**

For the Month Ending **June 30, 2021**

**CITY OF VISTA INVESTMENT PORTFOLIO - 90420000**

<b>Security Type/Description</b> <b>Dated Date/Coupon/Maturity</b>	<b>CUSIP</b>	<b>Par</b>	<b>S&amp;P Rating</b>	<b>Moody's Rating</b>	<b>Trade Date</b>	<b>Settle Date</b>	<b>Original Cost</b>	<b>YTM at Cost</b>	<b>Accrued Interest</b>	<b>Amortized Cost</b>	<b>Market Value</b>
<b>Asset-Backed Security</b>											
TAOT 2020-A A3 DTD 02/12/2020 1.660% 05/15/2024	89232HAC9	1,715,000.00	AAA	Aaa	02/04/20	02/12/20	1,714,876.18	1.66	1,265.29	1,714,916.42	1,738,024.05
CARMX 2020-1 A3 DTD 01/22/2020 1.890% 12/16/2024	14315XAC2	820,000.00	AAA	NR	01/14/20	01/22/20	819,839.12	1.89	688.80	819,886.40	836,135.30
HAROT 2021-1 A3 DTD 02/24/2021 0.270% 04/21/2025	43813GAC5	650,000.00	NR	Aaa	02/17/21	02/24/21	649,988.11	0.27	48.75	649,989.11	649,394.79
HART 2021-A A3 DTD 04/28/2021 0.380% 09/15/2025	44933LAC7	515,000.00	AAA	NR	04/20/21	04/28/21	514,945.82	0.38	86.98	514,947.99	514,862.75
CARMX 2021-1 A3 DTD 01/27/2021 0.340% 12/15/2025	14316NAC3	390,000.00	AAA	NR	01/20/21	01/27/21	389,922.94	0.34	58.93	389,929.64	389,535.94
CARMX 2021-2 A3 DTD 04/21/2021 0.520% 02/17/2026	14314OAC8	775,000.00	AAA	NR	04/13/21	04/21/21	774,832.99	0.52	179.11	774,839.72	776,025.64
<b>Security Type Sub-Total</b>		<b>8,696,569.30</b>					<b>8,695,706.26</b>	<b>1.39</b>	<b>4,984.09</b>	<b>8,695,900.15</b>	<b>8,777,784.06</b>
<b>Managed Account Sub-Total</b>		<b>237,901,569.30</b>					<b>238,845,614.03</b>	<b>1.43</b>	<b>945,950.86</b>	<b>238,987,775.72</b>	<b>243,192,133.74</b>
<b>Securities Sub-Total</b>		<b>\$237,901,569.30</b>					<b>\$238,845,614.03</b>	<b>1.43%</b>	<b>\$945,950.86</b>	<b>\$238,987,775.72</b>	<b>\$243,192,133.74</b>
<b>Accrued Interest</b>											<b>\$945,950.86</b>
<b>Total Investments</b>											<b>\$244,138,084.60</b>



**Managed Account Fair Market Value & Analytics**

For the Month Ending **June 30, 2021**

**CITY OF VISTA INVESTMENT PORTFOLIO - 90420000**

<b>Security Type/Description Dated Date/Coupon/Maturity</b>	<b>CUSIP</b>	<b>Par</b>	<b>Broker</b>	<b>Next Call Date</b>	<b>Market Price</b>	<b>Market Value</b>	<b>Unreal G/L On Cost</b>	<b>Unreal G/L Amort Cost</b>	<b>Effective Duration</b>	<b>YTM at Mkt</b>
<b>U.S. Treasury Bond / Note</b>										
US TREASURY NOTES DTD 07/31/2017 1.875% 07/31/2022	9128282P4	2,245,000.00	MORGAN_S		101.91	2,287,795.31	101,025.00	57,371.18	1.07	0.12
US TREASURY NOTES DTD 07/31/2015 2.000% 07/31/2022	912828X08	3,885,000.00	MORGAN_S		102.05	3,964,521.29	30,351.75	68,701.25	1.07	0.11
US TREASURY NOTES DTD 08/31/2015 1.875% 08/31/2022	912828L24	1,810,000.00	CITIGRP		102.05	1,847,048.53	40,795.80	37,936.86	1.15	0.12
US TREASURY NOTES DTD 09/30/2015 1.750% 09/30/2022	912828L57	4,680,000.00	RBS		102.02	4,774,331.02	285,370.08	149,571.23	1.24	0.14
US TREASURY NOTES DTD 11/02/2015 1.875% 10/31/2022	912828M49	910,000.00	BNP_PARI		102.30	930,901.61	51,116.45	31,278.64	1.32	0.15
US TREASURY NOTES DTD 10/31/2017 2.000% 10/31/2022	9128283C2	4,975,000.00	MERRILL		102.47	5,097,820.31	288,783.20	172,073.16	1.32	0.15
US TREASURY NOTES DTD 02/01/2016 1.750% 01/31/2023	912828P38	4,955,000.00	TD		102.47	5,077,326.56	358,850.39	209,002.06	1.56	0.19
US TREASURY NOTES DTD 02/29/2016 1.500% 02/28/2023	912828P79	2,480,000.00	BNP_PARI		102.16	2,533,475.00	187,162.50	101,237.40	1.65	0.20
US TREASURY NOTES DTD 03/31/2016 1.500% 03/31/2023	912828O29	4,000,000.00	TD		102.25	4,090,000.00	237,343.75	152,337.74	1.73	0.21
US TREASURY NOTES DTD 05/31/2018 2.750% 05/31/2023	9128284S6	4,730,000.00	JPM_CHAS		104.80	4,956,892.42	53,951.79	132,005.84	1.88	0.24
US TREASURY NOTES DTD 08/01/2016 1.250% 07/31/2023	912828S92	4,460,000.00	NOMURA		102.05	4,551,290.85	280,143.97	182,189.12	2.05	0.27
US TREASURY NOTES DTD 10/01/2018 2.875% 09/30/2023	9128285D8	2,740,000.00	MERRILL		105.77	2,897,977.99	85,945.96	121,268.93	2.18	0.30
US TREASURY NOTES DTD 11/15/2020 0.250% 11/15/2023	91282CAW1	1,610,000.00	JPM_CHA		99.84	1,607,484.38	(2,452.73)	(2,457.61)	2.37	0.32
US TREASURY NOTES DTD 11/15/2013 2.750% 11/15/2023	912828WE6	5,950,000.00	TD		105.72	6,290,265.63	280,068.36	309,798.01	2.31	0.33
US TREASURY NOTES DTD 11/30/2016 2.125% 11/30/2023	912828U57	5,625,000.00	BNP_PARI		104.31	5,867,578.13	343,212.90	292,275.70	2.37	0.33
US TREASURY NOTES DTD 12/31/2018 2.625% 12/31/2023	9128285U0	270,000.00	MERRILL		105.66	285,271.88	14,470.32	14,864.18	2.44	0.35
US TREASURY NOTES DTD 05/01/2017 2.000% 04/30/2024	912828X70	1,400,000.00	MORGAN_S		104.44	1,462,125.00	55,781.25	58,462.56	2.76	0.42





**Managed Account Fair Market Value & Analytics**

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**CITY OF VISTA INVESTMENT PORTFOLIO - 90420000**

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	YTM at Mkt
<b>U.S. Treasury Bond / Note</b>										
US TREASURY NOTES DTD 06/30/2017 2.000% 06/30/2024	912828XX3	1,665,000.00	RBC		104.58	1,741,225.70	59,055.39	65,917.87	2.93	0.46
US TREASURY NOTES DTD 07/31/2017 2.125% 07/31/2024	9128282N9	4,700,000.00	RBS		105.05	4,937,203.36	171,843.98	196,877.52	2.98	0.48
US TREASURY NOTES DTD 08/31/2017 1.875% 08/31/2024	9128282U3	4,520,000.00	MERRILL		104.31	4,714,925.00	92,165.62	129,742.65	3.07	0.50
US TREASURY NOTES DTD 10/02/2017 2.125% 09/30/2024	9128282Y5	4,035,000.00	HSBC		105.19	4,244,315.63	88,738.48	130,847.93	3.15	0.51
US TREASURY NOTES DTD 10/31/2017 2.250% 10/31/2024	9128283D0	2,455,000.00	MORGAN_S		105.66	2,593,860.94	58,593.95	85,202.77	3.22	0.54
US TREASURY NOTES DTD 11/30/2017 2.125% 11/30/2024	9128283J7	1,545,000.00	RBS		105.33	1,627,319.45	46,530.97	57,353.53	3.31	0.55
US TREASURY NOTES DTD 01/31/2020 1.375% 01/31/2025	912828Z52	4,350,000.00	HSBC		102.75	4,469,625.00	(56,414.06)	(36,193.36)	3.49	0.60
US TREASURY NOTES DTD 12/31/2020 0.375% 12/31/2025	91282CBC4	925,000.00	JPM_CHA		98.09	907,367.19	(2,746.09)	(3,228.00)	4.47	0.81
US TREASURY NOTES DTD 12/31/2020 0.375% 12/31/2025	91282CBC4	5,675,000.00	RBC		98.09	5,566,820.31	(67,168.95)	(70,991.79)	4.47	0.81
US TREASURY NOTES DTD 01/31/2021 0.375% 01/31/2026	91282CBH3	450,000.00	RBS		98.00	441,000.00	210.94	(14.37)	4.54	0.82
US TREASURY NOTES DTD 01/31/2021 0.375% 01/31/2026	91282CBH3	3,230,000.00	MERRILL		98.00	3,165,400.00	2,271.09	(2,372.74)	4.54	0.82
US TREASURY NOTES DTD 01/31/2019 2.625% 01/31/2026	9128286A3	4,400,000.00	BARCLAY		108.19	4,760,250.00	(110,171.88)	(72,217.97)	4.32	0.80
US TREASURY NOTES DTD 02/28/2021 0.500% 02/28/2026	91282CBO3	300,000.00	RBC		98.47	295,406.25	621.09	293.91	4.61	0.84
US TREASURY N/B NOTES DTD 04/30/2021 0.750% 04/30/2026	91282CBW0	750,000.00	NOMURA		99.48	746,132.85	(2,460.90)	(2,488.26)	4.75	0.86
US TREASURY N/B NOTES DTD 05/31/2021 0.750% 05/31/2026	91282CCF6	4,750,000.00	RBC		99.42	4,722,539.30	(17,070.08)	(17,224.06)	4.83	0.87
<b>Security Type Sub-Total</b>		<b>100,475,000.00</b>				<b>103,455,496.89</b>	<b>2,955,920.29</b>	<b>2,549,421.88</b>	<b>2.65</b>	<b>0.42</b>

**Municipal Bond / Note**



**Managed Account Fair Market Value & Analytics**

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**CITY OF VISTA INVESTMENT PORTFOLIO - 90420000**

<b>Security Type/Description</b> <b>Dated Date/Coupon/Maturity</b>	<b>CUSIP</b>	<b>Par</b>	<b>Broker</b>	<b>Next Call Date</b>	<b>Market Price</b>	<b>Market Value</b>	<b>Unreal G/L On Cost</b>	<b>Unreal G/L Amort Cost</b>	<b>Effective Duration</b>	<b>YTM at Mkt</b>
<b>Municipal Bond / Note</b>										
CA ST TXBL GO BONDS DTD 10/26/2017 2.500% 10/01/2022	13063DDF2	2,000,000.00	JPM_CHAS		103.04	2,060,700.00	32,840.00	53,630.58	1.23	0.08
SAN DIEGO CCD, CA TXBL GO BONDS DTD 10/16/2019 1.996% 08/01/2023	797272ON4	700,000.00	RBC		103.25	722,722.00	22,722.00	22,722.00	2.04	0.43
CA ST TXBL GO BONDS DTD 10/24/2019 2.400% 10/01/2023	13063DRJ9	1,325,000.00	MORGAN_S		104.88	1,389,620.25	38,107.00	49,464.55	2.20	0.23
MD ST TXBL GO BONDS DTD 08/05/2020 0.510% 08/01/2024	574193TO1	630,000.00	JPM_CHA		100.15	630,963.90	1,140.30	1,100.35	3.06	0.46
CA ST UNIV TXBL REV BONDS DTD 09/17/2020 0.685% 11/01/2024	13077DMK5	600,000.00	GOLDMAN		99.23	595,404.00	(4,596.00)	(4,596.00)	3.30	0.92
UNIV OF CAL TXBL REV BONDS DTD 07/16/2020 0.883% 05/15/2025	91412HGE7	225,000.00	JPM_CHA	04/15/25	100.31	225,686.25	(128.25)	36.15	3.73	0.80
UNIV OF CAL TXBL REV BONDS DTD 07/16/2020 0.883% 05/15/2025	91412HGE7	515,000.00	JPM_CHA	04/15/25	100.31	516,570.75	1,570.75	1,570.75	3.73	0.80
FL ST BOARD OF ADMIN TXBL REV BONDS DTD 09/16/2020 1.258% 07/01/2025	341271AD6	510,000.00	MERRILL		101.00	515,084.70	1,479.00	2,072.73	3.89	1.00
FL ST BOARD OF ADMIN TXBL REV BONDS DTD 09/16/2020 1.258% 07/01/2025	341271AD6	1,310,000.00	MERRILL		101.00	1,323,060.70	13,060.70	13,060.70	3.89	1.00
LOS ANGELES CCD, CA TXBL GO BONDS DTD 11/10/2020 0.773% 08/01/2025	54438CYK2	935,000.00	MERRILL		100.03	935,261.80	261.80	261.80	4.02	0.77
NJ TURNPIKE AUTHORITY TXBL REV BONDS DTD 02/04/2021 1.047% 01/01/2026	646140DP5	505,000.00	CITIGRP		99.67	503,323.40	(1,676.60)	(1,676.60)	4.39	1.12
<b>Security Type Sub-Total</b>		<b>9,255,000.00</b>				<b>9,418,397.75</b>	<b>104,780.70</b>	<b>137,647.01</b>	<b>2.85</b>	<b>0.57</b>
<b>Federal Agency Bond / Note</b>										
FREDDIE MAC NOTES (CALLABLE) DTD 08/06/2020 0.300% 02/06/2023	3134GWLD6	6,000,000.00	NOMURA	08/06/21	100.02	6,001,302.00	1,302.00	1,302.00	0.10	0.29
FEDERAL HOME LOAN BANKS NOTES DTD 02/21/2020 1.375% 02/17/2023	3130AJ7E3	3,200,000.00	WELLS_FA		101.91	3,261,043.20	66,931.20	64,256.80	1.61	0.20
FREDDIE MAC NOTES DTD 05/07/2020 0.375% 05/05/2023	3137EAER6	1,370,000.00	DEUTSCH		100.27	1,373,640.09	(3,072.91)	(859.76)	1.84	0.23



**Managed Account Fair Market Value & Analytics**

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<b>Federal Agency Bond / Note</b>											
FREDDIE MAC NOTES	DTD 05/07/2020 0.375% 05/05/2023	3137EAER6	2,050,000.00	WELLS_F		100.27	2,055,446.85	1,531.35	2,974.87	1.84	0.23
FANNIE MAE NOTES	DTD 05/22/2020 0.250% 05/22/2023	3135G04O3	3,695,000.00	CITIGRP		100.04	3,696,356.07	12,478.02	8,364.42	1.89	0.23
FEDERAL HOME LOAN BANKS NOTES	DTD 05/09/2013 2.125% 06/09/2023	3133834G3	4,450,000.00	MORGAN_S		103.64	4,612,113.50	124,021.50	143,227.55	1.91	0.24
FREDDIE MAC NOTES	DTD 06/26/2020 0.250% 06/26/2023	3137EAES4	3,345,000.00	TD		100.02	3,345,625.52	10,392.92	7,092.52	1.99	0.24
FANNIE MAE NOTES	DTD 07/10/2020 0.250% 07/10/2023	3135G05G4	3,425,000.00	CITIGRP		99.98	3,424,462.28	6,826.03	4,431.97	2.02	0.26
FREDDIE MAC NOTES	DTD 08/21/2020 0.250% 08/24/2023	3137EAEV7	2,225,000.00	CITIGRP		99.96	2,224,154.50	1,424.00	774.98	2.14	0.27
FANNIE MAE NOTES	DTD 09/14/2018 2.875% 09/12/2023	3135G0U43	3,935,000.00	HSBC		105.69	4,158,712.62	240,554.42	231,453.87	2.13	0.28
FREDDIE MAC NOTES	DTD 12/04/2020 0.250% 12/04/2023	3137EAFA2	780,000.00	CITIGRP		99.81	778,535.94	(691.86)	(839.25)	2.42	0.33
FEDERAL HOME LOAN BANKS NOTES	DTD 12/09/2013 3.375% 12/08/2023	3130A0F70	2,025,000.00	BARCLAYS		107.39	2,174,600.93	89,916.30	119,623.89	2.36	0.33
FANNIE MAE NOTES	DTD 02/08/2019 2.500% 02/05/2024	3135G0V34	1,575,000.00	NOMURA		105.50	1,661,632.88	92,491.88	89,682.90	2.51	0.37
FHLB BONDS	DTD 02/15/2019 2.500% 02/13/2024	3130AFW94	455,000.00	BARCLAYS		105.55	480,240.22	26,850.92	26,085.31	2.53	0.37
FREDDIE MAC NOTES	DTD 02/14/2020 1.500% 02/12/2025	3137EAEP0	4,655,000.00	WELLS_FA		103.18	4,803,229.17	151,813.52	150,825.61	3.52	0.61
FEDERAL HOME LOAN BANK NOTES	DTD 04/16/2020 0.500% 04/14/2025	3130AJHU6	2,395,000.00	CITIGRP		99.41	2,380,891.06	(2,229.74)	(5,101.85)	3.75	0.66
FANNIE MAE NOTES	DTD 04/24/2020 0.625% 04/22/2025	3135G03U5	3,195,000.00	CITIGRP		99.88	3,191,066.96	2,648.66	1,086.23	3.77	0.66
FANNIE MAE NOTES	DTD 04/24/2020 0.625% 04/22/2025	3135G03U5	3,955,000.00	HSBC		99.88	3,950,131.39	(25,118.21)	(20,675.12)	3.77	0.66
FANNIE MAE NOTES	DTD 06/19/2020 0.500% 06/17/2025	3135G04Z3	2,320,000.00	NOMURA		99.23	2,302,105.84	(28,774.96)	(27,069.29)	3.93	0.70
FANNIE MAE NOTES	DTD 06/19/2020 0.500% 06/17/2025	3135G04Z3	2,520,000.00	WELLS_F		99.23	2,500,563.24	(23,166.36)	(22,624.43)	3.93	0.70





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<b>Federal Agency Bond / Note</b>										
FANNIE MAE NOTES DTD 06/19/2020 0.500% 06/17/2025	3135G04Z3	3,710,000.00	NOMURA		99.23	3,681,384.77	(20,935.53)	(22,522.84)	3.93	0.70
FREDDIE MAC NOTES DTD 07/23/2020 0.375% 07/21/2025	3137EAEU9	2,245,000.00	WELLS_F		98.68	2,215,464.78	(18,355.12)	(20,457.52)	4.02	0.70
FANNIE MAE NOTES DTD 08/27/2020 0.375% 08/25/2025	3135G05X7	550,000.00	CITIGRP		98.61	542,375.35	(5,914.15)	(6,162.91)	4.12	0.71
FANNIE MAE NOTES DTD 08/27/2020 0.375% 08/25/2025	3135G05X7	2,390,000.00	BARCLAY		98.61	2,356,867.43	(21,947.37)	(23,836.10)	4.12	0.71
FREDDIE MAC NOTES DTD 09/25/2020 0.375% 09/23/2025	3137EAEX3	3,440,000.00	CITIGRP		98.55	3,390,009.92	(39,635.68)	(41,219.49)	4.20	0.72
FANNIE MAE NOTES DTD 11/12/2020 0.500% 11/07/2025	3135G06G3	410,000.00	NOMURA		98.91	405,540.43	(4,303.77)	(4,322.63)	4.31	0.75
FANNIE MAE NOTES DTD 11/12/2020 0.500% 11/07/2025	3135G06G3	2,245,000.00	BARCLAY		98.91	2,220,581.14	(16,381.76)	(17,401.29)	4.31	0.75
<b>Security Type Sub-Total</b>		<b>72,560,000.00</b>				<b>73,188,078.08</b>	<b>618,655.30</b>	<b>638,090.44</b>	<b>2.73</b>	<b>0.46</b>
<b>Corporate Note</b>										
AMERICAN HONDA FINANCE DTD 07/12/2016 1.650% 07/12/2021	02665WBF7	2,565,000.00	CITIGRP		100.00	2,564,997.44	37,908.14	287.24	0.03	1.64
ADOBE INC CORP NOTE DTD 02/03/2020 1.700% 02/01/2023	00724PAA7	275,000.00	MERRILL		102.18	280,988.95	6,365.70	6,188.69	1.56	0.32
AMAZON.COM INC BONDS DTD 06/06/2018 2.400% 02/22/2023	023135AW6	1,165,000.00	DAIWA		103.30	1,203,417.04	49,635.99	43,202.41	1.61	0.39
PACCAR FINANCIAL CORP CORPORATE NOTES DTD 04/07/2020 2.650% 04/06/2023	69371RO74	1,800,000.00	MITSU		103.98	1,871,622.00	(2,862.00)	26,624.16	1.73	0.39
APPLE INC CORPORATE NOTES DTD 05/11/2020 0.750% 05/11/2023	037833DV9	1,410,000.00	JPM_CHA		100.84	1,421,812.98	15,648.18	14,191.15	1.85	0.30
US BANK NA CINCINNATI CORP NOTES DTD 02/04/2019 3.375% 02/05/2024	91159HHV5	4,400,000.00	US_BANCO		107.00	4,707,947.20	100,443.20	189,248.41	2.48	0.65
PFIZER INC CORP NOTES DTD 03/11/2019 2.950% 03/15/2024	717081ES8	1,550,000.00	SUNTRUST	02/15/24	106.36	1,648,615.65	59,199.15	76,587.43	2.53	0.58



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<b>Corporate Note</b>										
PFIZER INC CORP NOTES DTD 03/11/2019 2.950% 03/15/2024	717081ES8	1,874,000.00	BNP_PARI	02/15/24	106.36	1,993,229.50	96,554.10	106,999.07	2.53	0.58
MORGAN STANLEY CORP NOTES (CALLABLE) DTD 04/22/2021 0.731% 04/05/2024	61772BAA1	300,000.00	MORGAN_	04/05/23	100.12	300,359.10	359.10	359.10	1.75	0.69
MORGAN STANLEY CORP NOTES (CALLABLE) DTD 04/22/2021 0.731% 04/05/2024	61772BAA1	900,000.00	GOLDMAN	04/05/23	100.12	901,077.30	(56.70)	54.63	1.75	0.69
AMAZON.COM INC CORPORATE NOTES DTD 05/12/2021 0.450% 05/12/2024	023135BW5	1,040,000.00	JPM_CHA		99.78	1,037,735.92	(745.68)	(814.95)	2.85	0.53
WALMART INC CORPORATE NOTES DTD 04/23/2019 2.850% 07/08/2024	931142EL3	4,380,000.00	MKTX	04/15/23	106.56	4,667,214.12	155,288.52	224,470.19	1.74	0.66
JPMORGAN CHASE & CO CORPORATE NOTES DTD 09/16/2020 0.653% 09/16/2024	46647PBS4	1,425,000.00	JPM_CHA		99.96	1,424,489.85	(510.15)	(510.15)	3.18	0.66
BANK OF AMERICA CORP (CALLABLE) CORPORAT DTD 10/21/2020 0.810% 10/24/2024	06051GJH3	1,850,000.00	MERRILL	10/24/23	100.30	1,855,575.90	5,575.90	5,575.90	2.30	0.72
MICROSOFT CORP (CALLABLE) NOTES DTD 02/12/2015 2.700% 02/12/2025	594918BB9	1,865,000.00	GOLDMAN	11/12/24	107.01	1,995,702.93	3,864.28	13,927.83	3.21	0.73
TOYOTA MOTOR CREDIT CORP CORP NOTES DTD 02/13/2020 1.800% 02/13/2025	89236TGT6	775,000.00	CITIGRP		103.25	800,177.43	17,605.68	19,366.86	3.50	0.89
TOYOTA MOTOR CREDIT CORP CORP NOTES DTD 02/13/2020 1.800% 02/13/2025	89236TGT6	1,060,000.00	CITIGRP		103.25	1,094,436.22	24,080.02	26,488.86	3.50	0.89
MASTERCARD INC CORPORATE NOTES DTD 12/03/2019 2.000% 03/03/2025	57636OAN4	1,915,000.00	US_BANC		104.63	2,003,662.59	8,998.59	14,834.38	3.54	0.72
TEXAS INSTRUMENTS INC CORP NOTES DTD 03/12/2020 1.375% 03/12/2025	882508BH6	1,830,000.00	CITIGRP		102.00	1,866,651.24	15,459.84	20,506.55	3.61	0.82
CITIGROUP INC CORPORATE NOTES DTD 05/04/2021 0.981% 05/01/2025	172967MX6	590,000.00	CITIGRP		99.86	589,198.78	(801.22)	(801.22)	3.77	1.02
CITIGROUP INC CORPORATE NOTES DTD 05/04/2021 0.981% 05/01/2025	172967MX6	630,000.00	GOLDMAN		99.86	629,144.46	(2,525.04)	(2,458.63)	3.77	1.02



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<b>Corporate Note</b>										
GOLDMAN SACHS GROUP INC CORPORATE NOTES DTD 05/22/2015 3.750% 05/22/2025	38148LAE6	1,635,000.00	MORGAN_	02/22/25	109.09	1,783,667.28	(42,922.02)	(25,409.76)	3.44	1.35
BRISTOL-MYERS SQUIBB CO CORPORATE NOTES DTD 11/13/2020 0.750% 11/13/2025	110122DN5	786,000.00	CITIGRP	10/13/25	99.23	779,921.08	1,749.64	1,700.89	4.22	0.93
BANK OF NY MELLON CORP (CALLABLE) CORPORATE DTD 01/28/2021 0.750% 01/28/2026	06406RAO0	3,060,000.00	BMO	12/28/25	98.60	3,017,028.42	(41,931.18)	(42,007.84)	4.41	1.07
<b>Security Type Sub-Total</b>		<b>39,080,000.00</b>				<b>40,438,673.38</b>	<b>506,382.04</b>	<b>718,611.20</b>	<b>2.61</b>	<b>0.78</b>
<b>Certificate of Deposit</b>										
SOCIETE GENERALE NY CERT DEPOS DTD 02/19/2020 1.800% 02/14/2022	83369XDL9	2,345,000.00	MERRILL		100.90	2,366,151.90	21,151.90	21,151.90	0.62	0.35
SUMITOMO MITSUI BANK NY CERT DEPOS DTD 07/14/2020 0.700% 07/08/2022	86565CKU2	1,865,000.00	SMBC		100.47	1,873,761.77	8,761.77	8,761.77	1.02	0.24
DNB BANK ASA/NY LT CD DTD 12/06/2019 2.040% 12/02/2022	23341VZT1	1,755,000.00	GOLDMAN		102.61	1,800,807.26	45,807.26	45,807.26	1.42	0.20
CREDIT SUISSE NEW YORK CERT DEPOS DTD 03/23/2021 0.590% 03/17/2023	22552G3C2	1,870,000.00	CSFB		100.16	1,872,982.65	2,982.65	2,982.65	1.71	0.49
<b>Security Type Sub-Total</b>		<b>7,835,000.00</b>				<b>7,913,703.58</b>	<b>78,703.58</b>	<b>78,703.58</b>	<b>1.15</b>	<b>0.32</b>
<b>Asset-Backed Security</b>										
HAROT 2019-3 A3 DTD 08/27/2019 1.780% 08/15/2023	43815NAC8	1,561,846.70	SOCGEN		100.91	1,576,120.57	14,286.83	14,280.80	0.61	1.34
TAOT 2019-C A3 DTD 08/14/2019 1.910% 09/15/2023	89238UAD2	1,009,722.60	JPM_CHAS		101.05	1,020,284.80	10,570.48	10,566.67	0.56	1.43
HAROT 2020-1 A3 DTD 02/26/2020 1.610% 04/22/2024	43813RAC1	1,260,000.00	JPM_CHAS		101.38	1,277,400.22	17,647.18	17,567.25	1.08	1.11
TAOT 2020-A A3 DTD 02/12/2020 1.660% 05/15/2024	89232HAC9	1,715,000.00	MITSU		101.34	1,738,024.05	23,147.87	23,107.63	0.93	1.18





**Managed Account Fair Market Value & Analytics**

For the Month Ending **June 30, 2021**

**CITY OF VISTA INVESTMENT PORTFOLIO - 90420000**

<b>Security Type/Description</b> <b>Dated Date/Coupon/Maturity</b>	<b>CUSIP</b>	<b>Par</b>	<b>Broker</b>	<b>Next Call Date</b>	<b>Market Price</b>	<b>Market Value</b>	<b>Unreal G/L On Cost</b>	<b>Unreal G/L Amort Cost</b>	<b>Effective Duration</b>	<b>YTM at Mkt</b>
<b>Asset-Backed Security</b>										
CARMX 2020-1 A3 DTD 01/22/2020 1.890% 12/16/2024	14315XAC2	820,000.00	MERRILL		101.97	836,135.30	16,296.18	16,248.90	1.12	1.31
HAROT 2021-1 A3 DTD 02/24/2021 0.270% 04/21/2025	43813GAC5	650,000.00	JPM_CHA		99.91	649,394.79	(593.32)	(594.32)	1.86	0.29
HART 2021-A A3 DTD 04/28/2021 0.380% 09/15/2025	44933LAC7	515,000.00	BARCLAY		99.97	514,862.75	(83.07)	(85.24)	2.12	0.39
CARMX 2021-1 A3 DTD 01/27/2021 0.340% 12/15/2025	14316NAC3	390,000.00	MITSU		99.88	389,535.94	(387.00)	(393.70)	2.10	0.37
CARMX 2021-2 A3 DTD 04/21/2021 0.520% 02/17/2026	14314OAC8	775,000.00	MERRILL		100.13	776,025.64	1,192.65	1,185.92	2.36	0.49
<b>Security Type Sub-Total</b>		<b>8,696,569.30</b>				<b>8,777,784.06</b>	<b>82,077.80</b>	<b>81,883.91</b>	<b>1.19</b>	<b>1.03</b>
<b>Managed Account Sub-Total</b>		<b>237,901,569.30</b>				<b>243,192,133.74</b>	<b>4,346,519.71</b>	<b>4,204,358.02</b>	<b>2.58</b>	<b>0.51</b>
<b>Securities Sub-Total</b>		<b>\$237,901,569.30</b>				<b>\$243,192,133.74</b>	<b>\$4,346,519.71</b>	<b>\$4,204,358.02</b>	<b>2.58</b>	<b>0.51%</b>
<b>Accrued Interest</b>						<b>\$945,950.86</b>				
<b>Total Investments</b>						<b>\$244,138,084.60</b>				



**Managed Account Security Transactions & Interest**

For the Month Ending **June 30, 2021**

**CITY OF VISTA INVESTMENT PORTFOLIO - 90420000**

Transaction Type					Principal	Accrued		Realized G/L	Realized G/L	Sale
Trade	Settle	Security Description	CUSIP	Par	Proceeds	Interest	Total	Cost	Amort Cost	Method
<b>BUY</b>										
06/02/21	06/04/21	US TREASURY N/B NOTES DTD 05/31/2021 0.750% 05/31/2026	91282CCF6	4,750,000.00	(4,739,609.38)	(389.34)	(4,739,998.72)			
06/17/21	06/21/21	BRISTOL-MYERS SQUIBB CO CORPORATE NOTES DTD 11/13/2020 0.750% 11/13/2025	110122DN5	786,000.00	(778,171.44)	(622.25)	(778,793.69)			
<b>Transaction Type Sub-Total</b>				<b>5,536,000.00</b>	<b>(5,517,780.82)</b>	<b>(1,011.59)</b>	<b>(5,518,792.41)</b>			
<b>INTEREST</b>										
06/02/21	06/02/21	DNB BANK ASA/NY LT CD DTD 12/06/2019 2.040% 12/02/2022	23341VZT1	1,755,000.00	0.00	18,099.90	18,099.90			
06/02/21	06/02/21	MONEY MARKET FUND	MONEY0002	0.00	0.00	1.46	1.46			
06/04/21	06/04/21	FREDDIE MAC NOTES DTD 12/04/2020 0.250% 12/04/2023	3137EAF2	780,000.00	0.00	975.00	975.00			
06/08/21	06/08/21	FEDERAL HOME LOAN BANKS NOTES DTD 12/09/2013 3.375% 12/08/2023	3130A0F70	2,025,000.00	0.00	34,171.88	34,171.88			
06/09/21	06/09/21	FEDERAL HOME LOAN BANKS NOTES DTD 05/09/2013 2.125% 06/09/2023	3133834G3	4,450,000.00	0.00	47,281.25	47,281.25			
06/15/21	06/15/21	CARMX 2021-2 A3 DTD 04/21/2021 0.520% 02/17/2026	14314OAC8	775,000.00	0.00	335.83	335.83			
06/15/21	06/15/21	TAOT 2020-A A3 DTD 02/12/2020 1.660% 05/15/2024	89232HAC9	1,715,000.00	0.00	2,372.42	2,372.42			
06/15/21	06/15/21	CARMX 2020-1 A3 DTD 01/22/2020 1.890% 12/16/2024	14315XAC2	820,000.00	0.00	1,291.50	1,291.50			
06/15/21	06/15/21	HART 2021-A A3 DTD 04/28/2021 0.380% 09/15/2025	44933LAC7	515,000.00	0.00	163.08	163.08			
06/15/21	06/15/21	HAROT 2019-3 A3 DTD 08/27/2019 1.780% 08/15/2023	43815NAC8	1,670,000.00	0.00	2,477.17	2,477.17			
06/15/21	06/15/21	TAOT 2019-C A3 DTD 08/14/2019 1.910% 09/15/2023	89238UAD2	1,093,902.14	0.00	1,741.13	1,741.13			
06/15/21	06/15/21	CARMX 2021-1 A3 DTD 01/27/2021 0.340% 12/15/2025	14316NAC3	390,000.00	0.00	110.50	110.50			
06/17/21	06/17/21	FANNIE MAE NOTES DTD 06/19/2020 0.500% 06/17/2025	3135G04Z3	8,550,000.00	0.00	21,375.00	21,375.00			





**Managed Account Security Transactions & Interest**

For the Month Ending **June 30, 2021**

**CITY OF VISTA INVESTMENT PORTFOLIO - 90420000**

Transaction Type		Security Description	CUSIP	Par	Principal Proceeds	Accrued Interest	Total	Realized G/L Cost	Realized G/L Amort Cost	Sale Method
Trade	Settle									
<b>INTEREST</b>										
06/21/21	06/21/21	HAROT 2021-1 A3 DTD 02/24/2021 0.270% 04/21/2025	43813GAC5	650,000.00	0.00	146.25	146.25			
06/21/21	06/21/21	HAROT 2020-1 A3 DTD 02/26/2020 1.610% 04/22/2024	43813RAC1	1,260,000.00	0.00	1,690.50	1,690.50			
06/26/21	06/26/21	FREDDIE MAC NOTES DTD 06/26/2020 0.250% 06/26/2023	3137EAES4	3,345,000.00	0.00	4,181.25	4,181.25			
06/30/21	06/30/21	US TREASURY NOTES DTD 12/31/2020 0.375% 12/31/2025	91282CBC4	6,600,000.00	0.00	12,375.00	12,375.00			
06/30/21	06/30/21	US TREASURY NOTES DTD 06/30/2017 2.000% 06/30/2024	912828XX3	1,665,000.00	0.00	16,650.00	16,650.00			
06/30/21	06/30/21	US TREASURY NOTES DTD 12/31/2018 2.625% 12/31/2023	9128285U0	270,000.00	0.00	3,543.75	3,543.75			
<b>Transaction Type Sub-Total</b>				<b>38,328,902.14</b>	<b>0.00</b>	<b>168,982.87</b>	<b>168,982.87</b>			
<b>PAYDOWNS</b>										
06/15/21	06/15/21	TAOT 2019-C A3 DTD 08/14/2019 1.910% 09/15/2023	89238UAD2	84,179.54	84,179.54	0.00	84,179.54	0.69	0.00	
06/15/21	06/15/21	HAROT 2019-3 A3 DTD 08/27/2019 1.780% 08/15/2023	43815NAC8	108,153.30	108,153.30	0.00	108,153.30	0.90	0.00	
<b>Transaction Type Sub-Total</b>				<b>192,332.84</b>	<b>192,332.84</b>	<b>0.00</b>	<b>192,332.84</b>	<b>1.59</b>	<b>0.00</b>	
<b>SELL</b>										
06/02/21	06/04/21	FEDERAL HOME LOAN BANK DTD 06/01/2012 2.125% 06/10/2022	313379O69	4,465,000.00	4,558,898.95	45,859.27	4,604,758.22	65,948.05	84,152.91	FIFO
06/17/21	06/21/21	BRISTOL-MYERS SQUIBB CO CORPORATE NOTES DTD 07/15/2020 3.875% 08/15/2025	110122DC9	691,000.00	770,084.95	9,371.69	779,456.64	(19,506.93)	(4,087.35)	FIFO
<b>Transaction Type Sub-Total</b>				<b>5,156,000.00</b>	<b>5,328,983.90</b>	<b>55,230.96</b>	<b>5,384,214.86</b>	<b>46,441.12</b>	<b>80,065.56</b>	
<b>Managed Account Sub-Total</b>					<b>3,535.92</b>	<b>223,202.24</b>	<b>226,738.16</b>	<b>46,442.71</b>	<b>80,065.56</b>	
<b>Total Security Transactions</b>					<b>\$3,535.92</b>	<b>\$223,202.24</b>	<b>\$226,738.16</b>	<b>\$46,442.71</b>	<b>\$80,065.56</b>	



Customer Service  
PO Box 11813  
Harrisburg, PA 17108-1813

**ACCOUNT STATEMENT**

**CITY OF VISTA**

For the Month Ending  
**June 30, 2021**

**Client Management Team**

**Ellen Clark**

Director  
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**Teshome Gebremedhin**

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**Contents**

- Cover/Disclosures
- Summary Statement
- Individual Accounts

**Accounts included in Statement**

54578499	CITY OF VISTA 115 TRUST
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CITY OF VISTA  
MR. MIKE SYLVIA  
200 CIVIC CENTER DRIVE  
VISTA, CA 92084

**Online Access** [www.pfm.com](http://www.pfm.com)

**Customer Service** 1-717-232-2723





Important Disclosures

Important Disclosures

This statement is for general information purposes only and is not intended to provide specific advice or recommendations. PFM Asset Management LLC ("PFM") is an investment advisor registered with the Securities and Exchange Commission, and is required to maintain a written disclosure statement of our background and business experience. If you would like to receive a copy of our current disclosure statement, please contact Service Operations at the address below.

**Proxy Voting** PFM does not normally receive proxies to vote on behalf of its clients. However, it does on occasion receive consent requests. In the event a consent request is received the portfolio manager contacts the client and then proceeds according to their instructions. PFM's Proxy Voting Policy is available upon request by contacting Service Operations at the address below.

**Questions About an Account** PFM's monthly statement is intended to detail our investment advisory activity as well as the activity of any accounts held by clients in pools that are managed by PFM. The custodian bank maintains the control of assets and executes (i.e., settles) all investment transactions. The custodian statement is the official record of security and cash holdings and transactions. PFM recognizes that clients may use these reports to facilitate record keeping and that the custodian bank statement and the PFM statement should be reconciled and differences resolved. Many custodians use a settlement date basis which may result in the need to reconcile due to a timing difference.

**Account Control** PFM does not have the authority to withdraw funds from or deposit funds to the custodian. Our clients retain responsibility for their internal accounting policies; implementing and enforcing internal controls and generating ledger entries or otherwise recording transactions.

**Market Value** Generally, PFM's market prices are derived from closing bid prices as of the last business day of the month as supplied by Refinitiv or Bloomberg. Where prices are not available from generally recognized sources the securities are priced using a yield-based matrix system to arrive at an estimated market value. Prices that fall between data points are interpolated. Non-negotiable FDIC-insured bank certificates of deposit are priced at par. Although PFM believes the prices to be reliable, the values of the securities do not always represent the prices at which the securities could have been bought or sold. Explanation of the valuation methods for a registered investment company, local government investment program, or TERM funds is contained in the appropriate fund offering documentation or information statement.

**Amortized Cost** The original cost of the principal of the security is adjusted for the amount of the periodic reduction of any discount or premium from the purchase date until the date of the report. Discount or premium with respect to short term securities (those with less than one year to maturity at time of issuance) is amortized on a straightline basis. Such discount or premium with respect to longer term securities is amortized using the constant yield basis.

**Tax Reporting** Cost data and realized gains / losses are provided for informational purposes only. Please review for accuracy and consult your tax advisor to determine the tax consequences of your security transactions. PFM does not report such information to the IRS or other taxing authorities and is not responsible for the accuracy of such information that may be required to be reported to federal, state or other taxing authorities.

**Financial Situation** In order to better serve you, PFM should be promptly notified of any material change in your investment objective or financial situation.

**Callable Securities** Securities subject to redemption prior to maturity may be redeemed in whole or in part before maturity, which could affect the yield represented.

**Portfolio** The securities in this portfolio, including shares of mutual funds, are not guaranteed or otherwise protected by PFM, the FDIC (except for certain non-negotiable certificates of deposit) or any government agency. Investment in securities involves risks, including the possible loss of the amount invested. Actual settlement values, accrued interest, and amortized cost amounts may vary for securities subject to an adjustable interest rate or subject to principal paydowns. Any changes to the values shown may be reflected within the next monthly statement's beginning values.

**Rating** Information provided for ratings is based upon a good faith inquiry of selected sources, but its accuracy and completeness cannot be guaranteed.

Shares of some money market and TERM funds are marketed through representatives of PFM's wholly owned subsidiary, PFM Fund Distributors, Inc. PFM Fund Distributors, Inc. is registered with the SEC as a broker/dealer and is a member of the Financial Industry Regulatory Authority ("FINRA") and the Municipal Securities Rulemaking Board ("MSRB"). You may reach the FINRA by calling the FINRA Regulator Public Disclosure Hotline at 1-888-289-9999 or at the FINRA Regulation Internet website address [www.nasd.com](http://www.nasd.com). A brochure describing the FINRA Regulation Public Disclosure Program is also available from the FINRA upon request.

**Key Terms and Definitions**

**Dividends** on money market funds consist of interest earned, plus any discount ratably amortized to the date of maturity, plus all realized gains and losses on the sale of securities prior to maturity, less ratably amortization of any premium and all accrued expenses to the fund. Dividends are accrued daily and may be paid either monthly or quarterly. The monthly earnings on this statement represent the estimated dividend accrued for the month for any program that distributes earnings on a quarterly basis. There is no guarantee that the estimated amount will be paid on the actual distribution date.

**Current Yield** is the net change, exclusive of capital changes and income other than investment income, in the value of a hypothetical fund account with a balance of one share over the seven-day base period including the statement date, expressed as a percentage of the value of one share (normally \$1.00 per share) at the beginning of the seven-day period. This resulting net change in account value is then annualized by multiplying it by

365 and dividing the result by 7. The yields quoted should not be considered a representation of the yield of the fund in the future, since the yield is not fixed. **Average maturity** represents the average maturity of all securities and investments of a portfolio, determined by multiplying the par or principal value of each security or investment by its maturity (days or years), summing the products, and dividing the sum by the total principal value of the portfolio. The stated maturity date of mortgage backed or callable securities are used in this statement. However the actual maturity of these securities could vary depending on the level or prepayments on the underlying mortgages or whether a callable security has or is still able to be called.

**Monthly distribution yield** represents the net change in the value of one share (normally \$1.00 per share) resulting from all dividends declared during the month by a fund expressed as a percentage of the value of one share at the beginning of the month. This resulting net change is then annualized by multiplying it by 365 and dividing it by the number of calendar days in the month. **YTM at Cost** The yield to maturity at cost is the expected rate of return, based on the original cost, the annual interest receipts, maturity value and the time period from purchase date to maturity, stated as a percentage, on an annualized basis.

**YTM at Market** The yield to maturity at market is the rate of return, based on the current market value, the annual interest receipts, maturity value and the time period remaining until maturity, stated as a percentage, on an annualized basis. **Managed Account** A portfolio of investments managed discretely by PFM according to the client's specific investment policy and requirements. The investments are directly owned by the client and held by the client's custodian. **Unsettled Trade** A trade which has been executed however the final consummation of the security transaction and payment has not yet taken place.

Please review the detail pages of this statement carefully. If you think your statement is wrong, missing account information, or if you need more information about a transaction, please contact PFM within 60 days of receipt. If you have other concerns or questions regarding your account you should contact a member of your client management team or PFM Service Operations at the address below.

PFM Asset Management LLC  
Attn: Service Operations  
213 Market Street  
Harrisburg, PA 17101



### Managed Account Summary Statement

For the Month Ending **June 30, 2021**

CITY OF VISTA 115 TRUST - 54578499

#### Transaction Summary - Managed Account

<b>Opening Market Value</b>	<b>\$6,811,198.68</b>
Maturities/Calls	0.00
Principal Dispositions	(2,917.41)
Principal Acquisitions	37,729.49
Unsettled Trades	0.00
Change in Current Value	55,801.05
<b>Closing Market Value</b>	<b>\$6,901,811.81</b>

#### Cash Transactions Summary - Managed Account

Maturities/Calls	0.00
Sale Proceeds	2,917.41
Coupon/Interest/Dividend Income	37,729.49
Principal Payments	0.00
Security Purchases	(37,729.49)
Net Cash Contribution	(2,917.41)
Reconciling Transactions	0.00

#### Earnings Reconciliation (Cash Basis) - Managed Account

Interest/Dividends/Coupons Received	37,729.49
Less Purchased Interest Related to Interest/Coupons	0.00
Plus Net Realized Gains/Losses	0.00
<b>Total Cash Basis Earnings</b>	<b>\$37,729.49</b>

#### Cash Balance

<b>Closing Cash Balance</b>	<b>\$0.00</b>
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#### Earnings Reconciliation (Accrual Basis)

	<b>Total</b>
Ending Amortized Value of Securities	6,227,234.11
Ending Accrued Interest	0.00
Plus Proceeds from Sales	2,917.41
Plus Proceeds of Maturities/Calls/Principal Payments	0.00
Plus Coupons/Dividends Received	37,729.49
Less Cost of New Purchases	(37,729.49)
Less Beginning Amortized Value of Securities	(6,192,422.03)
Less Beginning Accrued Interest	0.00
<b>Total Accrual Basis Earnings</b>	<b>\$37,729.49</b>







**Detail of Securities Held & Market Analytics**

For the Month Ending **June 30, 2021**

CITY OF VISTA 115 TRUST - 54578499

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Ticker	Shares	Average Cost/Share	Original Cost	Market Price	Market Value	Unreal G/L on Cost	Percentage
<b>Exchange-Traded Fund - Bond</b>									
ISHARES INTERMEDIATE-TERM CO	464288638	IGIB	185.00	61.01	11,286.85	60.52	11,196.20	(90.65)	0.16
ISHARES INTERMEDIATE-TERM CO	464288638	IGIB	278.00	61.13	16,994.14	60.52	16,824.56	(169.58)	0.24
ISHARES INTERMEDIATE-TERM CO	464288638	IGIB	2,537.00	57.84	146,732.73	60.52	153,539.24	6,806.51	2.22
ISHARES J.P. MORGAN USD EMERGING MARKETS	464288281	EMB	282.00	108.22	30,517.96	112.46	31,713.72	1,195.76	0.46
ISHARES J.P. MORGAN USD EMERGING MARKETS	464288281	EMB	407.00	108.05	43,976.07	112.46	45,771.22	1,795.15	0.66
ISHARES J.P. MORGAN USD EMERGING MARKETS	464288281	EMB	58.00	113.00	6,554.00	112.46	6,522.68	(31.32)	0.09
<b>Security Type Sub-Total</b>			<b>3,747.00</b>		<b>256,061.75</b>	<b>518.94</b>	<b>265,567.62</b>	<b>9,505.87</b>	<b>3.83</b>
<b>Exchange-Traded Fund - Equity</b>									
ISHARES CORE S&P SMALL-CAP E	464287804	IJR	1,250.00	90.96	113,700.38	112.98	141,225.00	27,524.62	2.06
VANGUARD TOTAL INTL STOCK	921909768	VXUS	5,447.00	59.25	322,722.22	65.68	357,758.96	35,036.74	5.18
VANGUARD TOTAL INTL STOCK	921909768	VXUS	134.00	59.52	7,975.68	65.68	8,801.12	825.44	0.13
VANGUARD TOTAL STOCK MKT ETF	922908769	VTI	7,920.00	190.08	1,505,433.60	222.82	1,764,734.40	259,300.80	25.57
VANGUARD TOTAL STOCK MKT ETF	922908769	VTI	33.00	171.32	5,653.56	222.82	7,353.06	1,699.50	0.11
VANGUARD TOTAL STOCK MKT ETF	922908769	VTI	152.00	190.87	29,012.24	222.82	33,868.64	4,856.40	0.49
VANGUARD TOTAL STOCK MKT ETF	922908769	VTI	298.00	153.37	45,704.23	222.82	66,400.36	20,696.13	0.96
<b>Security Type Sub-Total</b>			<b>15,234.00</b>		<b>2,030,201.91</b>	<b>1,135.62</b>	<b>2,380,141.54</b>	<b>349,939.63</b>	<b>34.50</b>
<b>Money Market Mutual Fund</b>									
WELLS FARGO ADVANTAGE GOVT MM FUND	VP4560000	WAGMM	19,217.38	1.00	19,217.38	1.00	19,217.38	0.00	0.28
<b>Security Type Sub-Total</b>			<b>19,217.38</b>		<b>19,217.38</b>	<b>1.00</b>	<b>19,217.38</b>	<b>0.00</b>	<b>0.28</b>
<b>Mutual Fund - Bond</b>									
BAIRD CORE PLUS BOND - INST	057071870	BCOIX	62,164.15	11.53	716,975.56	11.88	738,510.12	21,534.56	10.70
DOUBLELINE CORE FIXED INCOME I	258620301	DBLFX	30,667.70	10.75	329,700.25	11.13	341,331.53	11,631.28	4.95
MAINSTAY MACKAY HIGH YIELD CORP BD R6	56063N881	MHYSX	12,339.67	5.65	69,720.23	5.68	70,089.33	369.10	1.02



**Detail of Securities Held & Market Analytics**

For the Month Ending **June 30, 2021**

**CITY OF VISTA 115 TRUST - 54578499**

<b>Security Type/Description Dated Date/Coupon/Maturity</b>	<b>CUSIP</b>	<b>Ticker</b>	<b>Shares</b>	<b>Average Cost/Share</b>	<b>Original Cost</b>	<b>Market Price</b>	<b>Market Value</b>	<b>Unreal G/L on Cost</b>	<b>Percentage</b>
<b>Mutual Fund - Bond</b>									
PRUDENTIAL TOTAL RETRN BND-Q	74440B884	PTROX	61,297.85	14.69	900,317.20	14.69	900,465.39	148.19	13.05
VOYA INTERMEDIATE BOND R6	92913L569	IIBZX	46,369.36	10.66	494,236.09	10.38	481,314.01	(12,922.08)	6.97
<b>Security Type Sub-Total</b>			<b>212,838.74</b>		<b>2,510,949.33</b>	<b>53.76</b>	<b>2,531,710.38</b>	<b>20,761.05</b>	<b>36.69</b>
<b>Mutual Fund - Equity</b>									
ARTISAN INTL SMALL-MID	04314H576	APHJX	2,998.45	19.31	57,900.00	21.76	65,246.19	7,346.19	0.95
HARDING LOEVNER INTERNATIONAL EQUITY POR	412295107	HLMIX	9,185.65	23.45	215,385.84	30.21	277,498.58	62,112.74	4.02
HRTFRD SCHR EM MRKT EQ-SDR	41665H789	SEMTX	6,532.97	17.41	113,740.78	21.70	141,765.42	28,024.64	2.05
JENSEN QUALITY GROWTH-Y	476313408	JENYX	3,963.34	48.10	190,640.44	61.14	242,318.38	51,677.94	3.51
JOHCM INTERNATIONAL SELECT I	00770G847	JOHIX	8,582.61	22.68	194,666.78	31.50	270,352.14	75,685.36	3.92
TOUCHSTONE MID CAP FUND INSTITUTIONAL	89155T649	TMPIX	2,026.78	36.37	73,722.65	48.48	98,258.12	24,535.47	1.42
VANGUARD TOT INST ST IDX - ADM	921909818	VTIAX	0.00	30.00	0.03	35.24	0.04	0.01	0.00
VIRTUS KAR INTL SMALL CAP	92828W551	VRISX	3,515.00	21.96	77,185.87	25.19	88,542.76	11,356.89	1.28
<b>Security Type Sub-Total</b>			<b>36,804.79</b>		<b>923,242.39</b>	<b>275.22</b>	<b>1,183,981.63</b>	<b>260,739.24</b>	<b>17.15</b>
<b>Mutual Fund - Other</b>									
COHEN & STEERS INST REALTY SHARES	19247U106	CSRIX	2,668.75	43.79	116,860.18	52.22	139,362.36	22,502.18	2.02
INVESCO OPTM YD DVRS CDTY STRA NO K1 ETF	46090F100	PDBC	6,106.00	19.05	116,319.30	20.09	122,669.54	6,350.24	1.78
PIMCO COMMODITY REAL RET STRAT INSTL	722005667	PCRIX	19,449.34	6.99	136,022.85	6.07	118,057.48	(17,965.37)	1.71
PRINCIPAL RE SECURITIES INST FUND	74253Q580	PIREX	4,534.19	26.10	118,359.02	31.12	141,103.88	22,744.86	2.04
<b>Security Type Sub-Total</b>			<b>32,758.28</b>		<b>487,561.35</b>	<b>109.50</b>	<b>521,193.26</b>	<b>33,631.91</b>	<b>7.55</b>
<b>Managed Account Sub-Total</b>			<b>320,600.18</b>		<b>6,227,234.11</b>	<b>2,094.04</b>	<b>6,901,811.81</b>	<b>674,577.70</b>	<b>100.00</b>



**Detail of Securities Held & Market Analytics**

For the Month Ending **June 30, 2021**

CITY OF VISTA 115 TRUST - 54578499

<b>Securities Sub-Total</b>	<b>\$320,600.18</b>	<b>\$6,227,234.11</b>	<b>\$2,094.04</b>	<b>\$6,901,811.81</b>	<b>\$674,577.70</b>	<b>100.00%</b>
<b>Accrued Interest</b>				<b>\$0.00</b>		
<b>Total Investments</b>				<b>\$6,901,811.81</b>		





**Security Transactions & Dividends**

For the Month Ending **June 30, 2021**

**CITY OF VISTA 115 TRUST - 54578499**

<b>Transaction Type</b>										
Trade	Settle	Security Description	CUSIP	Ticker	Shares	Price	Transaction Amount	Interest/ Dividend	Realized G/L Cost	Sale Method
<b>BUY</b>										
06/07/21	06/07/21	WELLS FARGO ADVANTAGE GOVT MM FUND	VP4560000	WAGMM	610.48	1.00	(610.48)	0.00		
06/09/21	06/09/21	PIMCO COMMODITY REAL RET STRAT INSTL	722005667	PCRIX	3,400.59	6.08	(20,675.58)	0.00		
06/14/21	06/14/21	PIMCO COMMODITY REAL RET STRAT INSTL	722005667	PCRIX	40.40	6.12	(247.27)	0.00		
06/14/21	06/14/21	JENSEN QUALITY GROWTH-Y	476313408	JENYX	9.31	60.12	(559.78)	0.00		
06/16/21	06/16/21	WELLS FARGO ADVANTAGE GOVT MM FUND	VP4560000	WAGMM	316.30	1.00	(316.30)	0.00		
06/22/21	06/22/21	PRINCIPAL RE SECURITIES INST FUND	74253Q580	PIREX	14.23	31.42	(447.02)	0.00		
06/24/21	06/24/21	WELLS FARGO ADVANTAGE GOVT MM FUND	VP4560000	WAGMM	2,873.10	1.00	(2,873.10)	0.00		
06/29/21	06/29/21	WELLS FARGO ADVANTAGE GOVT MM FUND	VP4560000	WAGMM	5,674.55	1.00	(5,674.55)	0.00		
06/29/21	06/29/21	BAIRD CORE PLUS BOND - INST	057071870	BCOIX	120.39	11.82	(1,423.06)	0.00		
06/30/21	06/30/21	MAINSTAY MACKAY HIGH YIELD CORP BD R6	56063N881	MHYSX	54.72	5.68	(310.81)	0.00		
06/30/21	06/30/21	VOYA INTERMEDIATE BOND R6	92913L569	IIBZX	120.57	10.38	(1,251.56)	0.00		
06/30/21	06/30/21	COHEN & STEERS INST REALTY SHARES	19247U106	CSRIX	10.64	52.22	(555.55)	0.00		
06/30/21	06/30/21	DOUBLELINE CORE FIXED INCOME I	258620301	DBLFX	72.78	11.13	(810.06)	0.00		
06/30/21	06/30/21	PRUDENTIAL TOTAL RETRN BND-Q	74440B884	PTROX	134.40	14.69	(1,974.26)	0.00		
06/30/21	06/30/21	WELLS FARGO ADVANTAGE GOVT MM FUND	VP4560000	WAGMM	0.11	1.00	(0.11)	0.00		

<b>Transaction Type Sub-Total</b>					<b>13,452.57</b>		<b>(37,729.49)</b>	<b>0.00</b>		
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**INTEREST, DIVIDENDS & CAPITAL GAINS DISTRIBUTIONS**

06/07/21	06/07/21	ISHARES J.P. MORGAN USD EMERGING MARKETS	464288281	EMB	0.00		0.00	267.26		
06/07/21	06/07/21	ISHARES INTERMEDIATE-TERM CO	464288638	IGIB	0.00		0.00	343.22		
06/09/21	06/09/21	PIMCO COMMODITY REAL RET STRAT INSTL	722005667	PCRIX	0.00		0.00	20,675.58		
06/14/21	06/14/21	JENSEN QUALITY GROWTH-Y	476313408	JENYX	0.00		0.00	559.78		



**Security Transactions & Dividends**

For the Month Ending **June 30, 2021**

**CITY OF VISTA 115 TRUST - 54578499**

<b>Transaction Type</b>										
Trade	Settle	Security Description	CUSIP	Ticker	Shares	Price	Transaction Amount	Interest/ Dividend	Realized G/L Cost	Sale Method
<b>INTEREST, DIVIDENDS &amp; CAPITAL GAINS DISTRIBUTIONS</b>										
06/14/21	06/14/21	PIMCO COMMODITY REAL RET STRAT INSTL	722005667	PCRIX	0.00		0.00	247.27		
06/16/21	06/16/21	ISHARES CORE S&P SMALL-CAP E	464287804	IJR	0.00		0.00	316.30		
06/22/21	06/22/21	PRINCIPAL RE SECURITIES INST FUND	74253Q580	PIREX	0.00		0.00	447.02		
06/24/21	06/24/21	VANGUARD TOTAL INTL STOCK	921909768	VXUS	0.00		0.00	2,873.10		
06/29/21	06/29/21	VANGUARD TOTAL STOCK MKT ETF	922908769	VTI	0.00		0.00	5,674.55		
06/29/21	06/29/21	BAIRD CORE PLUS BOND - INST	057071870	BCOIX	0.00		0.00	1,423.06		
06/30/21	06/30/21	VOYA INTERMEDIATE BOND R6	92913L569	IIBZX	0.00		0.00	1,251.56		
06/30/21	06/30/21	COHEN & STEERS INST REALTY SHARES	19247U106	CSRIX	0.00		0.00	555.55		
06/30/21	06/30/21	MAINSTAY MACKAY HIGH YIELD CORP BD R6	56063N881	MHYSX	0.00		0.00	310.81		
06/30/21	06/30/21	WELLS FARGO ADVANTAGE GOVT MM FUND	VP4560000	WAGMM	0.00		0.00	0.11		
06/30/21	06/30/21	DOUBLELINE CORE FIXED INCOME I	258620301	DBLFX	0.00		0.00	810.06		
06/30/21	06/30/21	PRUDENTIAL TOTAL RETRN BND-Q	74440B884	PTROX	0.00		0.00	1,974.26		
<b>Transaction Type Sub-Total</b>					<b>0.00</b>		<b>0.00</b>	<b>37,729.49</b>		
<b>SELL</b>										
06/14/21	06/14/21	WELLS FARGO ADVANTAGE GOVT MM FUND	VP4560000	WAGMM	1,019.79	1.00	1,019.79	0.00	0.00	FIFO
06/14/21	06/14/21	WELLS FARGO ADVANTAGE GOVT MM FUND	VP4560000	WAGMM	0.19	1.00	0.19	0.00	0.00	FIFO
06/14/21	06/14/21	WELLS FARGO ADVANTAGE GOVT MM FUND	VP4560000	WAGMM	146.88	1.00	146.88	0.00	0.00	FIFO
06/14/21	06/14/21	WELLS FARGO ADVANTAGE GOVT MM FUND	VP4560000	WAGMM	0.11	1.00	0.11	0.00	0.00	FIFO
06/14/21	06/14/21	WELLS FARGO ADVANTAGE GOVT MM FUND	VP4560000	WAGMM	1,333.77	1.00	1,333.77	0.00	0.00	FIFO
06/21/21	06/21/21	WELLS FARGO ADVANTAGE GOVT MM FUND	VP4560000	WAGMM	416.67	1.00	416.67	0.00	0.00	FIFO
<b>Transaction Type Sub-Total</b>					<b>2,917.41</b>		<b>2,917.41</b>	<b>0.00</b>	<b>0.00</b>	



### Security Transactions & Dividends

For the Month Ending **June 30, 2021**

CITY OF VISTA 115 TRUST - 54578499

Transaction Type										
Trade	Settle	Security Description	CUSIP	Ticker	Shares	Price	Transaction Amount	Interest/ Dividend	Realized G/L Cost	Sale Method
Managed Account Sub-Total							(34,812.08)	37,729.49	0.00	
Total Security Transactions							(\$34,812.08)	\$37,729.49	\$0.00	

**CITY OF VISTA**  
**CASH AND INVESTMENTS BY FUND PER GENERAL LEDGER**  
**(EXCLUDING FUNDS MANAGED BY FISCAL AGENTS)**  
**June 30, 2021**

FUND	FUND NAME	CASH & INVESTMENTS
001	GENERAL FUND	\$ 114,264,911.96
002	GENERAL FUND CIP	\$ 2,490,796.01
102	PARK DEVELOPMENT	\$ 12,903,027.88
103	COMM DEVELOPMENT BLOCK GRANT	\$ (255,806.94)
104	COMM DEVELOPMENT BLOCK GRANT CIP	\$ -
106	GAS TAX	\$ 2,271,433.51
107	SENIOR CITIZEN NUTRITION PROGRAM	\$ (174,343.48)
108	1972 LIGHTING & LANDSCAPE MAINTENANCE	\$ 293,779.83
109	PLANNED LOCAL DRAINAGE	\$ 613,376.12
110	DEVELOPMENT	\$ 10,003,429.96
111	PROPOSITION "A" SALES TAX	\$ (249,871.87)
113	SOUTH MELROSE LANDSCAPE AD	\$ 56,493.70
114	LA MIRADA CANYON MAINT DIST	\$ 350,057.23
117	GRANTS	\$ (1,699,980.34)
120	1982 ACT STREET MAINTENANCE	\$ 250,132.80
122	VISTA HOUSING AUTHORITY	\$ 8,671,376.50
123	HOUSING AUTH BOND FUNDS	\$ (448,709.34)
124	HOME PROGRAM	\$ 1,896,866.95
125	STATE COPS GRANT	\$ 323,836.36
126	CALHOME PROGRAM	\$ 588,430.00
129	GAS TAX CIP	\$ 2,263,919.55
301	San Diego County Sherriffs Dept (Sept)	\$ 15,952,612.51
305	PASEO SANTA FE FACILITIES PROJ	\$ 9,713,478.60
310	REDEVELOPMENT SCHOOL SAFETY	\$ 3,703.62
418	JPFA 1997 SERIES "A" HACIENDA	\$ -
423	JPFA 2015 LEASE REV BONDS	\$ (6,457,412.60)
501	CITY SEWER REVENUE	\$ 30,873,809.88
502	CITY CAPITAL EXPANSION	\$ 86,549.72
503	CITY CAPITAL REPAIRS AND REPLACEMENT	\$ 21,077,873.90
504	BUENA SEWER REVENUE	\$ 11,146,911.79
505	BUENA CAPITAL EXPANSION	\$ 149.00
506	BUENA CAPITAL REPAIRS AND REPLACEMENT	\$ 32,105,407.75
507	WAVE WATERPARK	\$ 613,236.47
509	CITY SEWER CAPITAL FACILITY	\$ 1,434,402.16
510	BUENA SEWER CAPITAL FACILITY	\$ 560,612.60
511	CMCT	\$ 1,323,687.81
601	RISK MANAGEMENT	\$ 4,206,237.29
602	BUILDING AND FACILITIES MAINT	\$ 869,669.18
603	VEHICLE MAINTENANCE	\$ 3,132,213.04
604	INFORMATION TECHNOLOGY	\$ 3,758,083.20
701	TRUST DEPOSITS	\$ 1,989,066.59
704	CFD 1993 "A" NORTH CO. SQUARE	\$ 1,494,791.44
708	DEFERRED STREET CONSTRUCTION	\$ 5,870,667.68
709	RSWA AGENCY FUND	\$ 501,651.39
710	RETIREE HEALTH	\$ 487,352.36
	<b>TOTAL</b>	<b>\$ 295,157,911.77</b>



**CITY OF VISTA**  
**FUNDS MANAGED BY FISCAL AGENTS AND HELD IN TRUST**  
**June 30, 2021**

DESCRIPTION	MARKET VALUE
<i>FUNDS HELD BY FISCAL AGENTS - MANAGED BY U.S. BANK:</i>	
2005 Hidden Valley (Vista Redevelopment Project)	0.15
2010 CDC Tax Allocation Bonds (Vista Redevelopment Project) <sup>1</sup>	1,141,024.26
2011 CDC Tax Allocation Bonds (Vista Redevelopment Project) <sup>1</sup>	1,552,065.63
2015 Subordinate Tax Allocation Refunding Bonds Series A, B-1, B-2	4.03
2015 JPFA Refunding Lease Revenue Bonds <sup>2</sup>	6.39
2016 CFD 92-1 (N. County Square) Special Tax Refunding Bonds	0.20
<b>TOTAL FUNDS MANAGED BY FISCAL AGENTS</b>	<b><u>\$ 2,693,100.66</u></b>

<sup>1</sup> Majority of balance held as bond reserve

<sup>2</sup> Majority of balance held for next principal and interest payment due

DESCRIPTION	MARKET VALUE
<i>FUNDS HELD IN TRUST - MANAGED BY PFM ASSET MANAGEMENT:</i>	
SECTION 115 PENSION TRUST	\$ 6,901,811.81
<b>TOTAL FUNDS HELD IN TRUST</b>	<b><u>\$ 6,901,811.81</u></b>

Item 5.B.



# CITY OF NATIONAL CITY MEMORANDUM

TO: James H. Eggart, RSWA General Manager/General Counsel

FROM: Phillip Davis, Financial Analyst

DATE: September 30, 2021

SUBJECT: Regional Solid Waste Association's Investment Report

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## **BACKGROUND**

A quarterly investment report shall be submitted to the Regional Solid Waste Association (RSWA) Board. The report shall include information in accordance with Section 53646(b) of the California Government Code.

## **RESULTS**

The invested RSWA cash balance as of September 30, 2021 was \$497,184. The Investment report for August 2021 is going for City Council acceptance on October 5 2021. As of August 31, 2021, the Average Market Yield to Maturity was 0.45%

The City of National City received an electronic funds transfer of \$711,827.89 from the City of Vista on July 30, 2021. The cash activities of RSWA are accounted for in a separate fund (Fund 730) within the City of National City's general ledger. Investment earnings are allocated to RSWA on a monthly basis, based upon RSWA's cash balance as a percentage of the overall City's cash balances.

RSWA's cash balance is available on an "on demand" basis with immediate liquidity. The most recently Council approved City of National City's Treasurer's Cash Report for the month ended September 30, 2021 is attached as a reference.

RSWA is in compliance with its adopted investment policy and California Government Code.

**CITY OF NATIONAL CITY, CALIFORNIA  
COUNCIL AGENDA STATEMENT**

**MEETING DATE:** October 5, 2021

**AGENDA ITEM NO.:**

**ITEM TITLE:**

Investment transactions for the month ended August 31, 2021.

**PREPARED BY:** *Ron Gutlay*

**PHONE:** 619-336-4346

**DEPARTMENT:** Finance

**APPROVED BY:** *Molly Brown*

**EXPLANATION:**

In accordance with California Government Code Section 53646 and Section XIIA of the City of National City's investment policy, a monthly report shall be submitted to the legislative body accounting for transactions made during the reporting period.

The attached listing reflects investment transactions of the City of National City's investment portfolio for the month ending August 31, 2021.

**FINANCIAL STATEMENT:**

**ACCOUNT NO.**  
NA

**APPROVED:** *Patricia Simpson* **FINANCE**

**APPROVED:** \_\_\_\_\_ **MIS**

**ENVIRONMENTAL REVIEW:**

This is not a project and, therefore, not subject to environmental review.

**ORDINANCE:** INTRODUCTION  FINAL ADOPTION

**STAFF RECOMMENDATION:**

Accept and file the Investment Transaction Ledger for the month ended August 31, 2021.

**BOARD / COMMISSION RECOMMENDATION:**

NA

**ATTACHMENTS:**

Investment Transaction Ledger



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# City of National City Consolidated - Account #10218

## MONTHLY ACCOUNT STATEMENT

AUGUST 1, 2021 THROUGH AUGUST 31, 2021

**Chandler Team:**

For questions about your account, please call (800) 317-4747,  
or contact [operations@chandlerasset.com](mailto:operations@chandlerasset.com)

**CHANDLER ASSET MANAGEMENT**  
chandlerasset.com

*Information contained herein is confidential. We urge you to compare this statement to the one you receive from your qualified custodian. Please see Important Disclosures.*



**PORTFOLIO CHARACTERISTICS**

Average Modified Duration	0.65
Average Coupon	0.88%
Average Purchase YTM	0.82%
Average Market YTM	0.45%
Average S&P/Moody Rating	AA+/Aa1
Average Final Maturity	0.72 yrs
Average Life	0.66 yrs

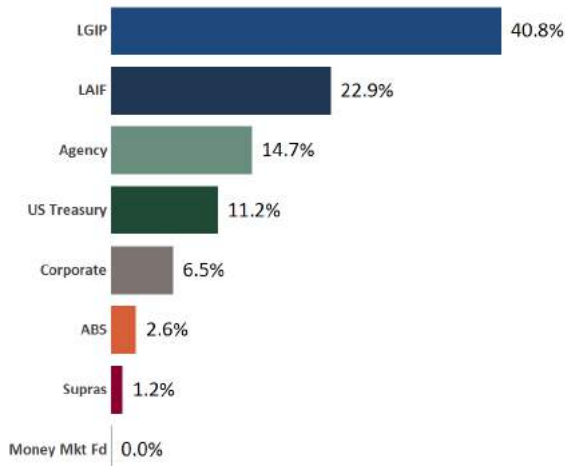
**ACCOUNT SUMMARY**

	Beg. Values as of 7/31/21	End Values as of 8/31/21
Market Value	89,297,910	89,196,496
Accrued Interest	109,072	119,994
<b>Total Market Value</b>	<b>89,406,982</b>	<b>89,316,490</b>
Income Earned	45,122	43,048
Cont/WD		-2,325
Par	88,664,597	88,608,868
Book Value	88,869,968	88,821,059
Cost Value	88,869,968	88,821,059

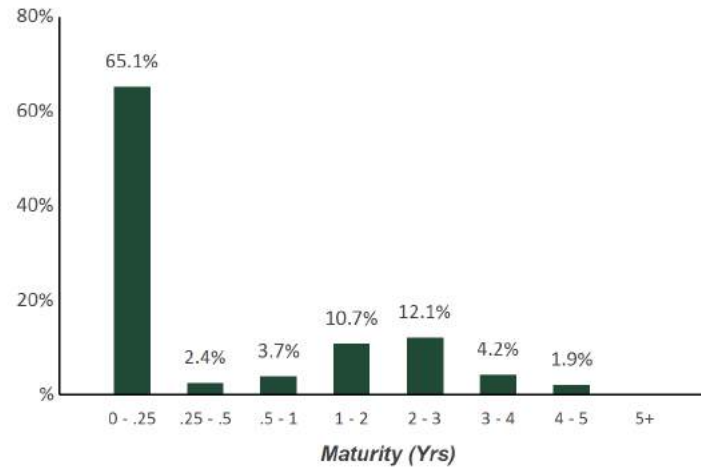
**TOP ISSUERS**

County of San Diego Pooled Inve	40.8%
Local Agency Investment Fund	22.9%
Government of United States	11.2%
Federal Home Loan Mortgage Corp	5.7%
Federal Home Loan Bank	4.4%
Federal National Mortgage Assoc	4.0%
Intl Bank Recon and Development	0.9%
Toyota ABS	0.9%
<b>Total</b>	<b>90.8%</b>

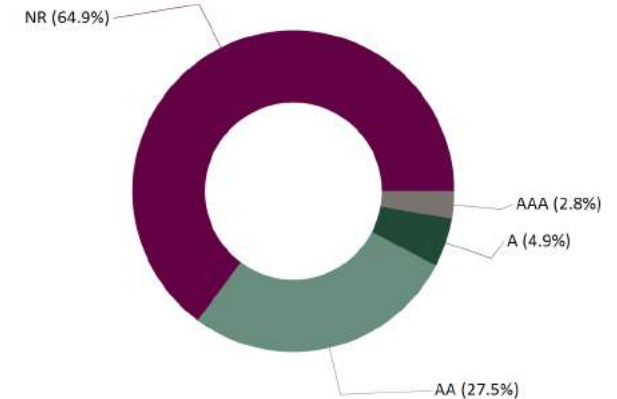
**SECTOR ALLOCATION**



**MATURITY DISTRIBUTION**



**CREDIT QUALITY (S&P)**



**PERFORMANCE REVIEW**

TOTAL RATE OF RETURN	Annualized								
	1M	3M	YTD	1YR	2YRS	3YRS	5YRS	10YRS	12/31/2013
City of National City Consolidated	0.00%	0.03%	0.07%	0.16%	-0.29%	0.82%	0.83%	N/A	0.74%
California Local Agency Investment Fund	0.02%	0.06%	0.22%	0.42%	1.05%	1.47%	1.35%	N/A	1.00%



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
<b>ABS</b>									
43815HAC1	Honda Auto Receivables Trust 2018-3 A3 2.95% Due 8/22/2022	22,113.31	08/21/2018 2.98%	22,110.28 22,110.28	100.32 0.23%	22,183.28 18.12	0.02% 73.00	Aaa / NR AAA	0.98 0.12
43815NAC8	Honda Auto Receivables Trust 2019-3 A3 1.78% Due 8/15/2023	78,316.33	08/20/2019 1.79%	78,315.68 78,315.68	100.81 0.20%	78,952.96 61.96	0.09% 637.28	Aaa / AAA NR	1.96 0.51
477870AC3	John Deere Owner Trust 2019-B A3 2.21% Due 12/15/2023	70,228.22	07/16/2019 2.23%	70,213.31 70,213.31	101.11 0.11%	71,010.49 68.98	0.08% 797.18	Aaa / NR AAA	2.29 0.53
43813RAC1	Honda Auto Receivables 2020-1 A3 1.61% Due 4/22/2024	70,000.00	02/19/2020 1.62%	69,986.28 69,986.28	101.22 0.17%	70,854.49 31.31	0.08% 868.21	Aaa / NR AAA	2.64 0.85
89232HAC9	Toyota Auto Receivable Own 2020-A A3 1.66% Due 5/15/2024	240,000.00	06/17/2020 0.68%	245,531.25 245,531.25	101.07 0.22%	242,571.12 177.07	0.27% (2,960.13)	Aaa / AAA NR	2.71 0.74
65479JAD5	Nissan Auto Receivables Owner 2019-C A3 1.93% Due 7/15/2024	323,776.20	10/16/2019 1.94%	323,759.11 323,759.11	100.99 0.33%	326,985.79 277.73	0.37% 3,226.68	Aaa / AAA NR	2.87 0.62
47789KAC7	John Deere Owner Trust 2020-A A3 1.1% Due 8/15/2024	170,000.00	03/04/2020 1.11%	169,989.61 169,989.61	100.77 0.20%	171,302.20 83.11	0.19% 1,312.59	Aaa / NR AAA	2.96 0.85
89237VAB5	Toyota Auto Receivables Trust 2020-C A3 0.44% Due 10/15/2024	155,000.00	07/21/2020 0.44%	154,988.07 154,988.07	100.24 0.20%	155,370.76 30.31	0.17% 382.69	Aaa / AAA NR	3.13 1.02
43813KAC6	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	250,000.00	09/22/2020 0.38%	249,963.28 249,963.28	100.15 0.25%	250,372.75 33.40	0.28% 409.47	NR / AAA AAA	3.13 1.24
47787NAC3	John Deere Owner Trust 2020-B A3 0.51% Due 11/15/2024	75,000.00	07/14/2020 0.52%	74,988.57 74,988.57	100.20 0.32%	75,149.10 17.00	0.08% 160.53	Aaa / NR AAA	3.21 1.03
58769KAD6	Mercedes-Benz Auto Lease Trust 2021- B A3 0.4% Due 11/15/2024	155,000.00	06/22/2021 0.40%	154,988.30 154,988.30	100.14 0.33%	155,218.09 27.56	0.17% 229.79	NR / AAA AAA	3.21 1.89
89236XAC0	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	100,000.00	10/06/2020 0.36%	99,981.37 99,981.37	100.13 0.24%	100,126.20 15.56	0.11% 144.83	NR / AAA AAA	3.38 1.15
43813GAC5	Honda Auto Receivables Trust 2021-1 A3 0.27% Due 4/21/2025	80,000.00	02/17/2021 0.27%	79,998.54 79,998.54	99.99 0.28%	79,988.56 6.00	0.09% (9.98)	Aaa / NR AAA	3.64 1.41



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<b>ABS</b>									
89240BAC2	Toyota Auto Receivables Owners 2021-A A3 0.26% Due 5/15/2025	290,000.00	02/02/2021 0.27%	289,946.18 289,946.18	100.00 0.26%	290,009.57 33.51	0.32% 63.39	Aaa / NR AAA	3.71 1.27
47788UAC6	John Deere Owner Trust 2021-A A3 0.36% Due 9/15/2025	115,000.00	03/02/2021 0.37%	114,977.90 114,977.90	100.04 0.34%	115,042.78 18.40	0.13% 64.88	Aaa / NR AAA	4.04 1.95
47789QAC4	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	135,000.00	07/13/2021 0.52%	134,987.96 134,987.96	100.15 0.46%	135,198.18 78.00	0.15% 210.22	Aaa / NR AAA	4.54 2.49
<b>Total ABS</b>		<b>2,329,434.06</b>	<b>0.84%</b>	<b>2,334,725.69</b> <b>2,334,725.69</b>	<b>0.27%</b>	<b>2,340,336.32</b> <b>978.02</b>	<b>2.62%</b> <b>5,610.63</b>	<b>Aaa / AAA</b> <b>AAA</b>	<b>3.17</b> <b>1.14</b>
<b>AGENCY</b>									
3130AABG2	FHLB Note 1.875% Due 11/29/2021	565,000.00	03/08/2017 2.11%	559,044.90 559,044.90	100.44 0.06%	567,504.08 2,707.29	0.64% 8,459.18	Aaa / AA+ AAA	0.25 0.24
3137EADB2	FHLMC Note 2.375% Due 1/13/2022	575,000.00	Various 1.94%	585,310.00 585,310.00	100.84 0.07%	579,855.88 1,820.83	0.65% (5,454.12)	Aaa / AA+ AAA	0.37 0.37
313378WG2	FHLB Note 2.5% Due 3/11/2022	600,000.00	03/13/2018 2.65%	596,646.00 596,646.00	101.28 0.07%	607,684.20 7,083.33	0.69% 11,038.20	Aaa / AA+ NR	0.53 0.52
3133ELWD2	FFCB Note 0.375% Due 4/8/2022	565,000.00	04/03/2020 0.45%	564,163.80 564,163.80	100.20 0.05%	566,110.23 841.61	0.63% 1,946.43	Aaa / AA+ AAA	0.60 0.60
313379Q69	FHLB Note 2.125% Due 6/10/2022	600,000.00	06/20/2017 1.87%	607,110.00 607,110.00	101.56 0.10%	609,387.00 2,868.75	0.69% 2,277.00	Aaa / AA+ AAA	0.78 0.77
3135G0W33	FNMA Note 1.375% Due 9/6/2022	625,000.00	09/05/2019 1.49%	622,825.00 622,825.00	101.33 0.07%	633,286.25 4,177.52	0.71% 10,461.25	Aaa / AA+ AAA	1.02 1.00
3135G0T78	FNMA Note 2% Due 10/5/2022	500,000.00	12/12/2017 2.25%	494,215.00 494,215.00	102.05 0.12%	510,254.00 4,055.56	0.58% 16,039.00	Aaa / AA+ AAA	1.10 1.08
3130A3KM5	FHLB Note 2.5% Due 12/9/2022	575,000.00	09/10/2019 1.60%	591,174.75 591,174.75	103.04 0.11%	592,462.75 3,274.31	0.67% 1,288.00	Aaa / AA+ NR	1.27 1.25
3137EAER6	FHLMC Note 0.375% Due 5/5/2023	600,000.00	05/05/2020 0.39%	599,748.00 599,748.00	100.31 0.19%	601,849.80 725.00	0.67% 2,101.80	Aaa / AA+ AAA	1.68 1.67
3135G04Q3	FNMA Note 0.25% Due 5/22/2023	645,000.00	05/20/2020 0.35%	643,058.55 643,058.55	100.14 0.17%	645,912.67 443.44	0.72% 2,854.12	Aaa / AA+ AAA	1.72 1.72
3137EAEN5	FHLMC Note 2.75% Due 6/19/2023	600,000.00	11/26/2018 3.02%	593,214.00 593,214.00	104.56 0.21%	627,333.00 3,300.00	0.71% 34,119.00	Aaa / AA+ AAA	1.80 1.76





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<b>AGENCY</b>									
3135G05G4	FNMA Note 0.25% Due 7/10/2023	560,000.00	07/08/2020 0.32%	558,796.00 558,796.00	100.09 0.20%	560,514.64 198.33	0.63% 1,718.64	Aaa / AA+ AAA	1.86 1.85
3137EAEV7	FHLMC Note 0.25% Due 8/24/2023	900,000.00	08/27/2020 0.29%	899,037.00 899,037.00	100.03 0.23%	900,278.10 43.75	1.01% 1,241.10	Aaa / AA+ AAA	1.98 1.97
3137EAEY1	FHLMC Note 0.125% Due 10/16/2023	630,000.00	10/14/2020 0.25%	627,650.10 627,650.10	99.78 0.23%	628,607.70 295.31	0.70% 957.60	Aaa / AA+ AAA	2.13 2.12
3137EAEZ8	FHLMC Note 0.25% Due 11/6/2023	650,000.00	11/17/2020 0.28%	649,467.00 649,467.00	100.00 0.25%	649,992.20 519.10	0.73% 525.20	Aaa / AA+ AAA	2.18 2.17
3135G06H1	FNMA Note 0.25% Due 11/27/2023	580,000.00	11/23/2020 0.29%	579,338.80 579,338.80	100.06 0.22%	580,344.52 378.61	0.65% 1,005.72	Aaa / AA+ AAA	2.24 2.23
3130A0F70	FHLB Note 3.375% Due 12/8/2023	525,000.00	01/30/2019 2.71%	540,734.25 540,734.25	107.00 0.28%	561,762.08 4,085.16	0.63% 21,027.83	Aaa / AA+ AAA	2.27 2.19
3130A1XJ2	FHLB Note 2.875% Due 6/14/2024	580,000.00	Various 1.96%	605,009.60 605,009.60	106.83 0.41%	619,607.04 3,566.60	0.70% 14,597.44	Aaa / AA+ NR	2.79 2.68
3130A2UW4	FHLB Note 2.875% Due 9/13/2024	300,000.00	10/29/2019 1.76%	315,474.00 315,474.00	107.45 0.40%	322,335.00 4,025.00	0.37% 6,861.00	Aaa / AA+ AAA	3.04 2.89
3135G0X24	FNMA Note 1.625% Due 1/7/2025	625,000.00	01/16/2020 1.69%	623,050.00 623,050.00	103.98 0.43%	649,860.63 1,523.44	0.73% 26,810.63	Aaa / AA+ AAA	3.36 3.26
3137EAEPO	FHLMC Note 1.5% Due 2/12/2025	625,000.00	06/04/2020 0.55%	652,443.75 652,443.75	103.42 0.50%	646,401.25 494.79	0.72% (6,042.50)	Aaa / AA+ AAA	3.45 3.36
3137EAEX3	FHLMC Note 0.375% Due 9/23/2025	450,000.00	09/24/2020 0.43%	448,857.00 448,857.00	98.96 0.63%	445,328.10 740.63	0.50% (3,528.90)	Aaa / AA+ AAA	4.07 4.01
<b>Total Agency</b>		<b>12,875,000.00</b>	<b>1.26%</b>	<b>12,956,367.50</b>	<b>0.22%</b>	<b>13,106,671.12</b> <b>47,168.36</b>	<b>14.73%</b> <b>150,303.62</b>	<b>Aaa / AA+</b> <b>AAA</b>	<b>1.81</b> <b>1.78</b>
<b>CORPORATE</b>									
06406RAA5	Bank of NY Mellon Corp Callable Note Cont 1/7/2022 2.6% Due 2/7/2022	400,000.00	08/14/2018 3.20%	392,152.00 392,152.00	100.85 0.17%	403,397.60 693.33	0.45% 11,245.60	A1 / A AA-	0.44 0.35
69353RFE3	PNC Bank Callable Note Cont 6/28/2022 2.45% Due 7/28/2022	400,000.00	04/24/2018 3.35%	385,792.00 385,792.00	101.87 0.18%	407,480.80 898.33	0.46% 21,688.80	A2 / A A+	0.91 0.82
46625HJE1	JP Morgan Chase Note 3.25% Due 9/23/2022	400,000.00	12/19/2019 2.02%	413,076.00 413,076.00	103.21 0.22%	412,822.40 5,705.56	0.47% (253.60)	A2 / A- AA-	1.06 1.04



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<b>CORPORATE</b>									
06051GEU9	Bank of America Corp Note 3.3% Due 1/11/2023	400,000.00	12/27/2018 3.74%	393,500.00 393,500.00	104.10 0.28%	416,394.00 1,833.33	0.47% 22,894.00	A2 / A- AA-	1.36 1.34
084670BR8	Berkshire Hathaway Callable Note Cont 1/15/2023 2.75% Due 3/15/2023	400,000.00	08/26/2019 1.87%	412,068.00 412,068.00	103.41 0.26%	413,641.20 5,072.22	0.47% 1,573.20	Aa2 / AA A+	1.54 1.34
037833AK6	Apple Inc Note 2.4% Due 5/3/2023	700,000.00	Various 2.33%	700,739.00 700,739.00	103.56 0.26%	724,945.90 5,506.67	0.82% 24,206.90	Aa1 / AA+ NR	1.67 1.64
89236TFS9	Toyota Motor Credit Corp Note 3.35% Due 1/8/2024	400,000.00	05/20/2019 2.69%	411,444.00 411,444.00	106.57 0.53%	426,298.00 1,972.78	0.48% 14,854.00	A1 / A+ A+	2.36 2.27
24422EUR8	John Deere Capital Corp Note 3.45% Due 1/10/2024	400,000.00	03/14/2019 2.95%	408,860.00 408,860.00	106.91 0.50%	427,643.20 1,955.00	0.48% 18,783.20	A2 / A A	2.36 2.27
24422EVN6	John Deere Capital Corp Note 0.45% Due 1/17/2024	275,000.00	03/01/2021 0.47%	274,804.75 274,804.75	100.05 0.43%	275,146.85 151.25	0.31% 342.10	A2 / A A	2.38 2.36
808513BN4	Charles Schwab Corp Callable Note Cont 2/18/2024 0.75% Due 3/18/2024	485,000.00	Various 0.59%	487,196.50 487,196.50	100.68 0.47%	488,293.64 1,646.98	0.55% 1,097.14	A2 / A A	2.55 2.43
023135BW5	Amazon.com Inc Note 0.45% Due 5/12/2024	255,000.00	05/10/2021 0.50%	254,627.70 254,627.70	100.04 0.44%	255,093.59 347.44	0.29% 465.89	A1 / AA AA-	2.70 2.67
14913R2L0	Caterpillar Financial Service Note 0.45% Due 5/17/2024	405,000.00	05/10/2021 0.50%	404,457.30 404,457.30	100.06 0.43%	405,257.58 526.50	0.45% 800.28	A2 / A A	2.71 2.69
79466LAG9	Salesforce.com Inc Callable Note Cont 7/15/2022 0.625% Due 7/15/2024	65,000.00	06/29/2021 0.64%	64,966.85 64,966.85	100.22 0.37%	65,141.83 55.30	0.07% 174.98	A2 / A+ NR	2.87 0.87
91159HHX1	US Bancorp Callable Note Cont 6/28/2024 2.4% Due 7/30/2024	450,000.00	03/25/2021 0.69%	475,276.50 475,276.50	105.29 0.51%	473,805.00 930.00	0.53% (1,471.50)	A2 / A+ A+	2.92 2.74
69371RR40	Paccar Financial Corp Note 0.5% Due 8/9/2024	155,000.00	08/03/2021 0.52%	154,916.30 154,916.30	99.85 0.55%	154,771.22 47.36	0.17% (145.08)	A1 / A+ NR	2.94 2.91
<b>Total Corporate</b>		<b>5,590,000.00</b>	<b>1.91%</b>	<b>5,633,876.90</b>	<b>0.36%</b>	<b>5,750,132.81</b> <b>27,342.05</b>	<b>6.47%</b> <b>116,255.91</b>	<b>A1 / A+</b> <b>A+</b>	<b>1.93</b> <b>1.83</b>



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<b>LAIF</b>									
90LAIF\$00	Local Agency Investment Fund State Pool	20,488,065.41	Various 0.22%	20,488,065.41 20,488,065.41	1.00 0.22%	20,488,065.41 8,053.15	22.95% 0.00	NR / NR NR	0.00 0.00
<b>Total LAIF</b>		<b>20,488,065.41</b>	<b>0.22%</b>	<b>20,488,065.41</b>	<b>0.22%</b>	<b>20,488,065.41</b> <b>8,053.15</b>	<b>22.95%</b> <b>0.00</b>	<b>NR / NR</b> <b>NR</b>	<b>0.00</b> <b>0.00</b>
<b>LOCAL GOV INVESTMENT POOL</b>									
90SDCP\$00	County of San Diego Pooled Investment Pool	36,414,000.00	Various 0.74%	36,414,000.00 36,414,000.00	1.00 0.74%	36,414,000.00 0.00	40.77% 0.00	NR / NR AAA	0.00 0.00
<b>Total Local Gov Investment Pool</b>		<b>36,414,000.00</b>	<b>0.74%</b>	<b>36,414,000.00</b>	<b>0.74%</b>	<b>36,414,000.00</b> <b>0.00</b>	<b>40.77%</b> <b>0.00</b>	<b>NR / NR</b> <b>AAA</b>	<b>0.00</b> <b>0.00</b>
<b>MONEY MARKET FUND</b>									
60934N807	Federated Investors Govt Oblig Fund Inst.	42,368.90	Various 0.01%	42,368.90 42,368.90	1.00 0.01%	42,368.90 0.00	0.05% 0.00	Aaa / AAA AAA	0.00 0.00
<b>Total Money Market Fund</b>		<b>42,368.90</b>	<b>0.01%</b>	<b>42,368.90</b>	<b>0.01%</b>	<b>42,368.90</b> <b>0.00</b>	<b>0.05%</b> <b>0.00</b>	<b>Aaa / AAA</b> <b>AAA</b>	<b>0.00</b> <b>0.00</b>
<b>SUPRANATIONAL</b>									
459058JM6	Intl. Bank Recon & Development Note 0.25% Due 11/24/2023	320,000.00	11/17/2020 0.32%	319,312.00 319,312.00	99.92 0.29%	319,734.40 215.56	0.36% 422.40	Aaa / AAA AAA	2.23 2.22
459058GQ0	Intl. Bank Recon & Development Note 2.5% Due 3/19/2024	450,000.00	01/26/2021 0.26%	481,473.00 481,473.00	105.39 0.37%	474,250.50 5,062.50	0.54% (7,222.50)	Aaa / AAA AAA	2.55 2.46
45950KCR9	International Finance Corp Note 1.375% Due 10/16/2024	300,000.00	07/12/2021 0.54%	308,070.00 308,070.00	102.69 0.51%	308,062.80 1,546.88	0.35% (7.20)	Aaa / AAA NR	3.13 3.05
<b>Total Supranational</b>		<b>1,070,000.00</b>	<b>0.36%</b>	<b>1,108,855.00</b>	<b>0.39%</b>	<b>1,102,047.70</b> <b>6,824.94</b>	<b>1.24%</b> <b>(6,807.30)</b>	<b>Aaa / AAA</b> <b>AAA</b>	<b>2.62</b> <b>2.55</b>



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
<b>US TREASURY</b>									
912828G53	US Treasury Note 1.875% Due 11/30/2021	600,000.00	08/28/2017 1.67%	604,994.20 604,994.20	100.45 0.07%	602,696.40 2,858.61	0.68% (2,297.80)	Aaa / AA+ AAA	0.25 0.25
912828V72	US Treasury Note 1.875% Due 1/31/2022	450,000.00	09/17/2018 2.87%	435,744.14 435,744.14	100.73 0.11%	453,304.80 733.70	0.51% 17,560.66	Aaa / AA+ AAA	0.42 0.42
912828ZA1	US Treasury Note 1.125% Due 2/28/2022	700,000.00	03/24/2020 0.39%	709,925.78 709,925.78	100.52 0.09%	703,609.20 21.75	0.79% (6,316.58)	Aaa / AA+ AAA	0.50 0.50
912828W89	US Treasury Note 1.875% Due 3/31/2022	600,000.00	04/26/2018 2.75%	580,593.75 580,593.75	101.04 0.09%	606,234.60 4,733.61	0.68% 25,640.85	Aaa / AA+ AAA	0.58 0.58
912828L24	US Treasury Note 1.875% Due 8/31/2022	450,000.00	09/06/2018 2.74%	435,445.31 435,445.31	101.77 0.11%	457,945.20 23.31	0.51% 22,499.89	Aaa / AA+ AAA	1.00 0.99
912828TY6	US Treasury Note 1.625% Due 11/15/2022	600,000.00	03/03/2020 0.72%	614,484.38 614,484.38	101.82 0.11%	610,921.80 2,887.91	0.69% (3,562.58)	Aaa / AA+ AAA	1.21 1.19
912828M80	US Treasury Note 2% Due 11/30/2022	600,000.00	04/11/2019 2.31%	593,554.69 593,554.69	102.34 0.12%	614,062.80 3,049.18	0.69% 20,508.11	Aaa / AA+ AAA	1.25 1.23
912828ZD5	US Treasury Note 0.5% Due 3/15/2023	675,000.00	03/24/2020 0.43%	676,476.56 676,476.56	100.55 0.14%	678,744.23 1,559.10	0.76% 2,267.67	Aaa / AA+ AAA	1.54 1.53
9128284D9	US Treasury Note 2.5% Due 3/31/2023	450,000.00	12/27/2018 2.58%	448,505.86 448,505.86	103.70 0.16%	466,663.95 4,733.61	0.53% 18,158.09	Aaa / AA+ AAA	1.58 1.55
912828WE6	US Treasury Note 2.75% Due 11/15/2023	600,000.00	07/22/2019 1.78%	624,000.00 624,000.00	105.47 0.26%	632,812.80 4,887.23	0.71% 8,812.80	Aaa / AA+ AAA	2.21 2.14
91282CBA8	US Treasury Note 0.125% Due 12/15/2023	700,000.00	12/28/2020 0.18%	698,824.22 698,824.22	99.66 0.27%	697,648.70 186.48	0.78% (1,175.52)	Aaa / AA+ AAA	2.29 2.28
91282CBE0	US Treasury Note 0.125% Due 1/15/2024	550,000.00	01/28/2021 0.19%	548,990.23 548,990.23	99.61 0.29%	547,873.15 89.67	0.61% (1,117.08)	Aaa / AA+ AAA	2.38 2.37
912828W71	US Treasury Note 2.125% Due 3/31/2024	225,000.00	12/05/2019 1.63%	229,640.63 229,640.63	104.64 0.32%	235,432.58 2,011.78	0.27% 5,791.95	Aaa / AA+ AAA	2.58 2.50
91282CBV2	US Treasury Note 0.375% Due 4/15/2024	650,000.00	04/29/2021 0.34%	650,583.98 650,583.98	100.10 0.34%	650,635.05 925.72	0.73% 51.07	Aaa / AA+ AAA	2.62 2.60
91282CCG4	US Treasury Note 0.25% Due 6/15/2024	300,000.00	06/24/2021 0.46%	298,160.16 298,160.16	99.70 0.36%	299,085.90 159.84	0.34% 925.74	Aaa / AA+ AAA	2.79 2.78
912828ZT0	US Treasury Note 0.25% Due 5/31/2025	675,000.00	02/25/2021 0.60%	665,112.30 665,112.30	98.80 0.57%	666,931.73 428.79	0.75% 1,819.43	Aaa / AA+ AAA	3.75 3.72



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
<b>US TREASURY</b>									
9128284Z0	US Treasury Note 2.75% Due 8/31/2025	675,000.00	06/17/2021 0.70%	732,111.33 732,111.33	108.43 0.61%	731,926.80 51.28	0.82% (184.53)	Aaa / AA+ AAA	4.00 3.81
91282CAZ4	US Treasury Note 0.375% Due 11/30/2025	300,000.00	08/25/2021 0.72%	295,652.34 295,652.34	98.78 0.67%	296,343.60 285.86	0.33% 691.26	Aaa / AA+ AAA	4.25 4.20
<b>Total US Treasury</b>		<b>9,800,000.00</b>	<b>1.20%</b>	<b>9,842,799.86</b>	<b>0.25%</b>	<b>9,952,873.29</b> <b>29,627.43</b>	<b>11.18%</b> <b>110,073.43</b>	<b>Aaa / AA+</b> <b>AAA</b>	<b>1.90</b> <b>1.87</b>
<b>TOTAL PORTFOLIO</b>		<b>88,608,868.37</b>	<b>0.82%</b>	<b>88,821,059.26</b>	<b>0.45%</b>	<b>89,196,495.55</b> <b>119,993.95</b>	<b>100.00%</b> <b>375,436.29</b>	<b>Aa1 / AA+</b> <b>AAA</b>	<b>0.72</b> <b>0.65</b>
<b>TOTAL MARKET VALUE PLUS ACCRUED</b>						<b>89,316,489.50</b>			



Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
<b>ACQUISITIONS</b>										
Purchase	08/03/2021	60934N807	2.08	Federated Investors Govt Oblig Fund Inst.	1.000	0.01%	2.08	0.00	2.08	0.00
Purchase	08/05/2021	60934N807	100,622.33	Federated Investors Govt Oblig Fund Inst.	1.000	0.01%	100,622.33	0.00	100,622.33	0.00
Purchase	08/07/2021	60934N807	5,200.00	Federated Investors Govt Oblig Fund Inst.	1.000	0.01%	5,200.00	0.00	5,200.00	0.00
Purchase	08/09/2021	69371RR40	155,000.00	Paccar Financial Corp Note 0.5% Due 8/9/2024	99.946	0.52%	154,916.30	0.00	154,916.30	0.00
Purchase	08/12/2021	60934N807	4,687.50	Federated Investors Govt Oblig Fund Inst.	1.000	0.01%	4,687.50	0.00	4,687.50	0.00
Purchase	08/16/2021	60934N807	31.88	Federated Investors Govt Oblig Fund Inst.	1.000	0.01%	31.88	0.00	31.88	0.00
Purchase	08/16/2021	60934N807	34.50	Federated Investors Govt Oblig Fund Inst.	1.000	0.01%	34.50	0.00	34.50	0.00
Purchase	08/16/2021	60934N807	62.83	Federated Investors Govt Oblig Fund Inst.	1.000	0.01%	62.83	0.00	62.83	0.00
Purchase	08/16/2021	60934N807	56.83	Federated Investors Govt Oblig Fund Inst.	1.000	0.01%	56.83	0.00	56.83	0.00
Purchase	08/16/2021	60934N807	29.17	Federated Investors Govt Oblig Fund Inst.	1.000	0.01%	29.17	0.00	29.17	0.00
Purchase	08/16/2021	60934N807	332.00	Federated Investors Govt Oblig Fund Inst.	1.000	0.01%	332.00	0.00	332.00	0.00
Purchase	08/16/2021	60934N807	51.67	Federated Investors Govt Oblig Fund Inst.	1.000	0.01%	51.67	0.00	51.67	0.00
Purchase	08/16/2021	60934N807	155.83	Federated Investors Govt Oblig Fund Inst.	1.000	0.01%	155.83	0.00	155.83	0.00
Purchase	08/16/2021	60934N807	7,437.35	Federated Investors Govt Oblig Fund Inst.	1.000	0.01%	7,437.35	0.00	7,437.35	0.00
Purchase	08/16/2021	60934N807	6,386.27	Federated Investors Govt Oblig Fund Inst.	1.000	0.01%	6,386.27	0.00	6,386.27	0.00
Purchase	08/16/2021	60934N807	26,620.55	Federated Investors Govt Oblig Fund Inst.	1.000	0.01%	26,620.55	0.00	26,620.55	0.00





Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
<b>ACQUISITIONS</b>										
Purchase	08/17/2021	60934N807	1,500.00	Federated Investors Govt Oblig Fund Inst.	1.000	0.01%	1,500.00	0.00	1,500.00	0.00
Purchase	08/17/2021	60934N807	240,000.00	Federated Investors Govt Oblig Fund Inst.	1.000	0.01%	240,000.00	0.00	240,000.00	0.00
Purchase	08/18/2021	60934N807	77.08	Federated Investors Govt Oblig Fund Inst.	1.000	0.01%	77.08	0.00	77.08	0.00
Purchase	08/18/2021	60934N807	2,347.01	Federated Investors Govt Oblig Fund Inst.	1.000	0.01%	2,347.01	0.00	2,347.01	0.00
Purchase	08/23/2021	60934N807	18.00	Federated Investors Govt Oblig Fund Inst.	1.000	0.01%	18.00	0.00	18.00	0.00
Purchase	08/23/2021	60934N807	93.92	Federated Investors Govt Oblig Fund Inst.	1.000	0.01%	93.92	0.00	93.92	0.00
Purchase	08/23/2021	60934N807	9,963.17	Federated Investors Govt Oblig Fund Inst.	1.000	0.01%	9,963.17	0.00	9,963.17	0.00
Purchase	08/24/2021	60934N807	1,125.00	Federated Investors Govt Oblig Fund Inst.	1.000	0.01%	1,125.00	0.00	1,125.00	0.00
Purchase	08/26/2021	91282CAZ4	300,000.00	US Treasury Note 0.375% Due 11/30/2025	98.551	0.72%	295,652.34	267.42	295,919.76	0.00
Purchase	08/31/2021	60934N807	17,437.50	Federated Investors Govt Oblig Fund Inst.	1.000	0.01%	17,437.50	0.00	17,437.50	0.00
<b>Subtotal</b>			<b>879,272.47</b>				<b>874,841.11</b>	<b>267.42</b>	<b>875,108.53</b>	<b>0.00</b>
Security Contribution	08/05/2021	60934N807	2,325.40	Federated Investors Govt Oblig Fund Inst.	1.000		2,325.40	0.00	2,325.40	0.00
Security Contribution	08/26/2021	60934N807	4,080.44	Federated Investors Govt Oblig Fund Inst.	1.000		4,080.44	0.00	4,080.44	0.00
Security Contribution	08/30/2021	90LAIF\$00	2,000,000.00	Local Agency Investment Fund State Pool	1.000		2,000,000.00	0.00	2,000,000.00	0.00
<b>Subtotal</b>			<b>2,006,405.84</b>				<b>2,006,405.84</b>	<b>0.00</b>	<b>2,006,405.84</b>	<b>0.00</b>



Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
<b>ACQUISITIONS</b>										
Short Sale	08/09/2021	60934N807	-154,916.30	Federated Investors Govt Oblig Fund Inst.	1.000		-154,916.30	0.00	-154,916.30	0.00
<b>Subtotal</b>			<b>-154,916.30</b>				<b>-154,916.30</b>	<b>0.00</b>	<b>-154,916.30</b>	<b>0.00</b>
<b>TOTAL ACQUISITIONS</b>			<b>2,730,762.01</b>				<b>2,726,330.65</b>	<b>267.42</b>	<b>2,726,598.07</b>	<b>0.00</b>
<b>DISPOSITIONS</b>										
Closing Purchase	08/09/2021	60934N807	-154,916.30	Federated Investors Govt Oblig Fund Inst.	1.000		-154,916.30	0.00	-154,916.30	0.00
<b>Subtotal</b>			<b>-154,916.30</b>				<b>-154,916.30</b>	<b>0.00</b>	<b>-154,916.30</b>	<b>0.00</b>
Sale	08/05/2021	3135G0N82	100,000.00	FNMA Note 1.25% Due 8/17/2021	100.039	0.08%	100,039.00	583.33	100,622.33	3,347.00
Sale	08/09/2021	60934N807	154,916.30	Federated Investors Govt Oblig Fund Inst.	1.000	0.01%	154,916.30	0.00	154,916.30	0.00
Sale	08/26/2021	60934N807	295,919.76	Federated Investors Govt Oblig Fund Inst.	1.000	0.01%	295,919.76	0.00	295,919.76	0.00
<b>Subtotal</b>			<b>550,836.06</b>				<b>550,875.06</b>	<b>583.33</b>	<b>551,458.39</b>	<b>3,347.00</b>
Paydown	08/16/2021	43815NAC8	7,310.34	Honda Auto Receivables Trust 2019-3 A3 1.78% Due 8/15/2023	100.000		7,310.34	127.01	7,437.35	0.06
Paydown	08/16/2021	477870AC3	6,245.43	John Deere Owner Trust 2019-B A3 2.21% Due 12/15/2023	100.000		6,245.43	140.84	6,386.27	1.33
Paydown	08/16/2021	47787NAC3	0.00	John Deere Owner Trust 2020-B A3 0.51% Due 11/15/2024	100.000		0.00	31.88	31.88	0.00
Paydown	08/16/2021	47788UAC6	0.00	John Deere Owner Trust 2021-A A3 0.36% Due 9/15/2025	100.000		0.00	34.50	34.50	0.00
Paydown	08/16/2021	47789KAC7	0.00	John Deere Owner Trust 2020-A A3 1.1% Due 8/15/2024	100.000		0.00	155.83	155.83	0.00



Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
<b>DISPOSITIONS</b>										
Paydown	08/16/2021	58769KAD6	0.00	Mercedes-Benz Auto Lease Trust 2021-B A3 0.4% Due 11/15/2024	100.000		0.00	51.67	51.67	0.00
Paydown	08/16/2021	65479JAD5	26,057.90	Nissan Auto Receivables Owner 2019-C A3 1.93% Due 7/15/2024	100.000		26,057.90	562.65	26,620.55	1.38
Paydown	08/16/2021	89232HAC9	0.00	Toyota Auto Receivable Own 2020-A A3 1.66% Due 5/15/2024	100.000		0.00	332.00	332.00	0.00
Paydown	08/16/2021	89236XAC0	0.00	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	100.000		0.00	29.17	29.17	0.00
Paydown	08/16/2021	89237VAB5	0.00	Toyota Auto Receivables Trust 2020-C A3 0.44% Due 10/15/2024	100.000		0.00	56.83	56.83	0.00
Paydown	08/16/2021	89240BAC2	0.00	Toyota Auto Receivables Owners 2021-A A3 0.26% Due 5/15/2025	100.000		0.00	62.83	62.83	0.00
Paydown	08/18/2021	43813KAC6	0.00	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	100.000		0.00	77.08	77.08	0.00
Paydown	08/18/2021	43814UAG4	2,341.14	Honda Auto Receivables Trust 2018-2 A3 3.01% Due 5/18/2022	100.000		2,341.14	5.87	2,347.01	0.05
Paydown	08/23/2021	43813GAC5	0.00	Honda Auto Receivables Trust 2021-1 A3 0.27% Due 4/21/2025	100.000		0.00	18.00	18.00	0.00
Paydown	08/23/2021	43813RAC1	0.00	Honda Auto Receivables 2020-1 A3 1.61% Due 4/22/2024	100.000		0.00	93.92	93.92	0.00
Paydown	08/23/2021	43815HAC1	9,884.51	Honda Auto Receivables Trust 2018-3 A3 2.95% Due 8/22/2022	100.000		9,884.51	78.66	9,963.17	1.36
<b>Subtotal</b>			<b>51,839.32</b>				<b>51,839.32</b>	<b>1,858.74</b>	<b>53,698.06</b>	<b>4.18</b>



Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
<b>DISPOSITIONS</b>										
Maturity	08/17/2021	3135G0N82	240,000.00	FNMA Note 1.25% Due 8/17/2021	100.000		240,000.00	0.00	240,000.00	7,939.20
<b>Subtotal</b>			<b>240,000.00</b>				<b>240,000.00</b>	<b>0.00</b>	<b>240,000.00</b>	<b>7,939.20</b>
Security Withdrawal	08/05/2021	60934N807	2,325.40	Federated Investors Govt Oblig Fund Inst.	1.000		2,325.40	0.00	2,325.40	0.00
Security Withdrawal	08/05/2021	60934N807	2,325.40	Federated Investors Govt Oblig Fund Inst.	1.000		2,325.40	0.00	2,325.40	0.00
Security Withdrawal	08/17/2021	90LAIF\$00	2,000,000.00	Local Agency Investment Fund State Pool	1.000		2,000,000.00	0.00	2,000,000.00	0.00
Security Withdrawal	08/26/2021	60934N807	4,080.44	Federated Investors Govt Oblig Fund Inst.	1.000		4,080.44	0.00	4,080.44	0.00
Security Withdrawal	08/31/2021	90SDCP\$00	90,000.00	County of San Diego Pooled Investment Pool	1.000		90,000.00	0.00	90,000.00	0.00
<b>Subtotal</b>			<b>2,098,731.24</b>				<b>2,098,731.24</b>	<b>0.00</b>	<b>2,098,731.24</b>	<b>0.00</b>
<b>TOTAL DISPOSITIONS</b>			<b>2,786,490.32</b>				<b>2,786,529.32</b>	<b>2,442.07</b>	<b>2,788,971.39</b>	<b>11,290.38</b>
<b>OTHER TRANSACTIONS</b>										
Interest	08/07/2021	06406RAA5	400,000.00	Bank of NY Mellon Corp Callable Note Cont 1/7/2022 2.6% Due 2/7/2022	0.000		5,200.00	0.00	5,200.00	0.00
Interest	08/12/2021	3137EAEPO	625,000.00	FHLMC Note 1.5% Due 2/12/2025	0.000		4,687.50	0.00	4,687.50	0.00
Interest	08/17/2021	3135G0N82	240,000.00	FNMA Note 1.25% Due 8/17/2021	0.000		1,500.00	0.00	1,500.00	0.00
Interest	08/24/2021	3137EAEV7	900,000.00	FHLMC Note 0.25% Due 8/24/2023	0.000		1,125.00	0.00	1,125.00	0.00
Interest	08/31/2021	9128284Z0	675,000.00	US Treasury Note 2.75% Due 8/31/2025	0.000		9,281.25	0.00	9,281.25	0.00
Interest	08/31/2021	912828L24	450,000.00	US Treasury Note 1.875% Due 8/31/2022	0.000		4,218.75	0.00	4,218.75	0.00

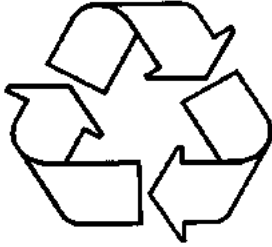
As of August 31, 2021



Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
<b>OTHER TRANSACTIONS</b>										
Interest	08/31/2021	912828ZA1	700,000.00	US Treasury Note 1.125% Due 2/28/2022	0.000		3,937.50	0.00	3,937.50	0.00
<b>Subtotal</b>			<b>3,990,000.00</b>				<b>29,950.00</b>	<b>0.00</b>	<b>29,950.00</b>	<b>0.00</b>
Dividend	08/03/2021	60934N807	71,257.89	Federated Investors Govt Oblig Fund Inst.	0.000		2.08	0.00	2.08	0.00
<b>Subtotal</b>			<b>71,257.89</b>				<b>2.08</b>	<b>0.00</b>	<b>2.08</b>	<b>0.00</b>
<b>TOTAL OTHER TRANSACTIONS</b>			<b>4,061,257.89</b>				<b>29,952.08</b>	<b>0.00</b>	<b>29,952.08</b>	<b>0.00</b>

Item 5.C.





# **REGIONAL SOLID WASTE ASSOCIATION**

Member Agencies: Cities of Del Mar, Encinitas, Escondido,  
National City, Poway, Solana Beach, and Vista

To: RSWA Board Members  
From: General Manager James H. Eggart  
Subject: First Quarter FY 2021/2022 Budget and Expenditure Status – Item 5.C.  
Date: RSWA Agenda October 7, 2021

Attached for your information are the following reports:

The Financial Status Report as of September 30, 2021 (First Quarter FY 2021/2022) which shows a Fund Balance for the First Quarter in the amount of \$500,973.

The RSWA Cash Activity Report as of September 30, 2021.

# CITY OF NATIONAL CITY

## MEMORANDUM

TO: James H. Eggart, RSWA General Manager/General Counsel

FROM: Phillip Davis, Financial Analyst

DATE: September 30, 2021

SUBJECT: Regional Solid Waste Association's Budget Status

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Attached are the Financial Status Report and Cash Activity Report for the Regional Solid Waste Association (RSWA) for the fiscal year (FY) 2021-22. The attached reports present activity from July 1, 2021 through September 30, 2021.

### **REVENUES**

Year-to-date (YTD) activity includes actual revenue of \$281,740 which represents 82.03 percent of the FY 2021-22 budgeted revenue. Interest earnings have been posted through July 31, 2021. Actual revenues are consistent with what would be expected at this time.

### **EXPENDITURES**

The YTD activity includes actual expenditures through September 30, 2021, totaling \$252,123, which represents 58.13 percent of the FY 2021-22 budgeted amount. The actual expenditures are consistent with what would be expected at this time.

### **FUND BALANCE**

The total fund balance as September 30, 2021 is \$500,973 which includes a balance of \$80,000 set aside and reserved for two household hazardous waste facility closures in the future.

**REGIONAL SOLID WASTE ASSOCIATION  
FY 2021-2022 FINANCIAL STATUS REPORT  
AS OF SEPTEMBER 30, 2021**

	<u>ANNUAL BUDGET</u>	<u>ACTUAL THRU 9/30/2021</u>	<u>% of Budget</u>
<b>BEGINNING BALANCE (6-30-2021 Fund Balance)</b>	<b>\$ 464,807</b>	<b>\$ 464,807</b>	
GASB 31 FAIR MARKET VALUE ADJ		6,549	
<b>ADJUSTED BEGINNING BALANCE</b>		<u>471,356</u>	
 <b>REVENUES</b>			
DISPOSAL AGREEMENT VOLUME REBATE	287,478	287,478	100.00%
HHW NON-MEMBER	50,000	-	0.00%
INVESTMENT EARNINGS	6,000	811	*
GASB 31 FAIR MARKET VALUE ADJ	-	(6,549)	0.00%
<b>TOTAL REVENUES</b>	<u>343,478</u>	<u>281,740</u>	82.03%
 <b>EXPENDITURES</b>			
DIRECTOR STIPENDS/PAYROLL PROCESSING	8,000	-	0.00%
INSURANCE	7,000	2,481	35.44%
TRAINING, TRAVEL AND MEETINGS	8,000	-	0.00%
AUDIT SERVICES	3,600	-	0.00%
LEGAL SERVICES	24,000	5,735	23.90%
CONSULTANT SERVICES	40,000	8,250	20.63%
REIMBURSEMENTS	1,000	-	0.00%
HHW & ORGANIC EDUCATION	49,000	49,000	100.00%
HHW PERMITS	2,300	984	42.78%
HHW FACILITY SECURITY & PHONE	3,200	2,448	76.50%
WEBSITE MAINTENANCE	1,250	1,110	88.80%
SPECIAL PROGRAMS (HHW & ORGANICS)	3,000	-	0.00%
SB 1383 COMPLIANCE FUNDING	68,000	32,115	47.23%
EQUITY ADJUSTMENT PAYMENT	43,373	-	0.00%
ENVIRONMENTAL ENHANCEMENT PROGRAM	150,000	150,000	100.00%
TREASURER/CONTROLLER	12,000	-	0.00%
CONTINGENCY	10,000	-	0.00%
<b>TOTAL EXPENDITURES</b>	<u>433,723</u>	<u>252,123</u>	58.13%
 <b>TOTAL ENDING FUND BALANCE</b>	<u><b>\$ 374,562</b></u>	<u><b>\$ 500,973</b></u>	
 CASH		\$ 497,184	
CASH - PAYROLL		3,789	
<b>TOTAL ASSETS</b>		<u><b>\$ 500,973</b></u>	
 ACCOUNTS PAYABLE		\$ -	
<b>TOTAL LIABILITIES</b>		<u><b>\$ -</b></u>	
 FUND BALANCE, UNRESERVED/UNDESIGNATED		\$ 420,973	
VISTA HHW FACILITY CLOSURE DESIGNATION		40,000	
POWAY HHW FACILITY CLOSURE DESIGNATION		40,000	
<b>TOTAL FUND BALANCE</b>		<u><b>\$ 500,973</b></u>	
 <b>TOTAL LIABILITIES AND FUND BALANCE</b>		<u><b>\$ 500,973</b></u>	

\* Investment earnings through 7/31/2021

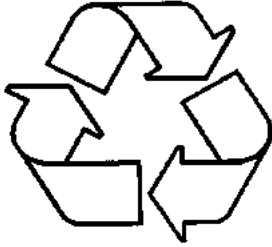
**RSWA Cash Account  
JULY 1, 2021 - JUNE 30, 2022**

Date	Check #	Name	Description	Deposit	Disbursement	Cash Balance
7/1/2021		BALANCE CARRIED FORWARD FROM 6/30/2021				497,464.54
7/8/2021	130824	SOLANA CENTER FOR ENVIRON INNOVATION	SB1383 COMPLIANCE SUPP SVCS (JUNE)		15,590.00	481,874.54
7/8/2021	130563	COUNTY OF SAN DIEGO - DEPARTMENT OF	UNIFIED PROGRAM FACILITY PERMIT		984.00	480,890.54
7/15/2021		EDCO	EDCO CONTRACT VOLUME REBATE	287,478.14		768,368.68
7/22/2021	131113	WOODRUFF, SPRADLIN & SMART	GENERAL MANAGER SVCS (JUNE)		20,743.50	747,625.18
7/22/2021	131139	PRIETO, ANTHONY	WEBSITE MAINT/HOSTING/DOMAIN (21/22)		1,109.98	746,515.20
7/22/2021	131146	WOODRUFF, SPRADLIN & SMART	LEGAL SERVICES (JUL-SEP)		7,750.00	738,765.20
7/29/2021	131176	GREEN, JOSEPH	REIMB-WASTE EXPO TRAVEL (6/27-7/1)		1,291.91	737,473.29
7/29/2021	131198	MORRISON, RON	REIMB-WASTE EXPO TRAVEL (6/27-7/1)		1,655.24	735,818.05
7/31/2021		INTEREST ALLOCATION	INTEREST ALLOCATION	811.00		736,629.05
8/26/2021	131839	DAVIS FARR LLP	CITY AUDIT FYE 20/21 (INTERIM WORK)		750.00	735,879.05
9/7/2021	354602	SOLANA CENTER FOR ENVIRON INNOVATION	SB1383 COMPLIANCE SUPP SVCS (JULY)		17,725.00	718,154.05
9/7/2021	354614	WOODRUFF, SPRADLIN & SMART	GENERAL MANAGER SVCS (JULY)		2,750.00	715,404.05
9/21/2021	354699	CITY OF DEL MAR	ENVIRONMENTAL FUND DIST FY 21/22		3,659.55	711,744.50
9/21/2021	354699	CITY OF DEL MAR	HHW EDUC APPROPRIATION FY21/22		7,000.00	704,744.50
9/21/2021	354700	CITY OF ENCINITAS	ENVIRONMENTAL FUND DIST FY 21/22		33,647.92	671,096.58
9/21/2021	354700	CITY OF ENCINITAS	HHW EDUC APPROPRIATION FY21/22		7,000.00	664,096.58
9/21/2021	354701	CITY OF ESCONDIDO	HHW EDUC APPROPRIATION FY21/22		7,000.00	657,096.58
9/21/2021	354702	CITY OF NATIONAL CITY	ENVIRONMENTAL FUND DIST FY 21/22		23,297.62	633,798.96
9/21/2021	354702	CITY OF NATIONAL CITY	HHW EDUC APPROPRIATION FY21/22		7,000.00	626,798.96
9/21/2021	354703	CITY OF POWAY	ENVIRONMENTAL FUND DIST FY 21/22		29,642.58	597,156.38
9/21/2021	354703	CITY OF POWAY	HHW EDUC APPROPRIATION FY21/22		7,000.00	590,156.38
9/21/2021	354704	CITY OF SOLANA BEACH	ENVIRONMENTAL FUND DIST FY 21/22		6,186.38	583,970.00
9/21/2021	354704	CITY OF SOLANA BEACH	HHW EDUC APPROPRIATION FY21/22		7,000.00	576,970.00
9/21/2021	354705	CITY OF VISTA	ENVIRONMENTAL FUND DIST FY 21/22		53,565.95	523,404.05
9/21/2021	354705	CITY OF VISTA	HHW EDUC APPROPRIATION FY21/22		7,000.00	516,404.05
9/21/2021	354751	SOLANA CENTER FOR ENVIRON INNOVATION	SB1383 COMPLIANCE SUPP SVCS (AUG)		14,390.00	502,014.05
9/21/2021	354768	WOODRUFF, SPRADLIN & SMART	GENERAL MANAGER SVCS (AUG)		2,750.00	499,264.05
9/21/2021	354769	WOODRUFF, SPRADLIN & SMART	REIMB-WASTE EXPO CONF FEE (6/27-7/1)		1,345.00	497,919.05
9/21/2021	354770	WOODRUFF, SPRADLIN & SMART	LEGAL SERVICES THROUGH JULY 31, 2021		735.48	497,183.57
<b>TOTALS</b>				<b>288,289.14</b>	<b>288,570.11</b>	<b>497,183.57</b>

**RSWA PAYROLL ACCOUNT  
JULY 1, 2021 - JUNE 30, 2022**

Date	Check #	Name	Description	Deposit	Disbursement	Cash Balance
7/1/2021		BALANCE CARRIED FORWARD FROM 6/30/21				4,186.85
7/12/2021		U.S. TREASURY	PAYROLL TAX		252.40	3,934.45
7/19/2021		CAP FORGE	PAYROLL PROCESSING		145.00	3,789.45
<b>TOTALS</b>				<b>-</b>	<b>397.40</b>	<b>3,789.45</b>

Item 5.D.



# **REGIONAL SOLID WASTE ASSOCIATION**

Member Agencies: Cities of Del Mar, Encinitas, Escondido,  
National City, Poway, Solana Beach, and Vista

To: RSWA Board Members  
From: General Manager James H. Eggart  
Subject: FY 2021/2022 Environmental Enhancement Program and HHW & Organics Education Distributions  
Date: October 7, 2021

## **HISTORY/BACKGROUND**

Pursuant to its Environmental Enhancement Program, the Regional Solid Waste Association (RSWA) provides funding to its six (6) permanent members on an annual basis to be utilized for household hazardous waste programs, organic waste diversion initiatives, recycling, or other solid waste matters. For Fiscal Year 2021/2022, the RSWA Board allocated \$150,000 for the Environmental Enhancement Program.

For the past several years, RSWA has also appropriated separate funds to each of its seven (7) members specifically for use in supporting education related to disposal of household hazardous waste (HHW) and the diversion of organic waste from landfills. For Fiscal Year 2021/2022, the RSWA Board allocated \$49,000 for HHW and Organic Waste Education.

## **DISCUSSION**

The Environmental Enhancement Program funding amount allocated to each member city is based on the city's prior year's solid waste tonnage as a percentage of the total RSWA generated solid waste tonnage. This year's distribution to each member city will be as shown in the following table:



<b>CITY</b>	<b>CITY TONNAGE</b>	<b>TOTAL RSWA TONNAGE</b>	<b>CITY % OF TOTAL</b>	<b>TOTAL ALLOCATION</b>	<b>ALLOCATION TO CITY</b>
<b>Vista</b>	87,771.01	245,783.97	35.71%	\$150,000	<b>\$53,565.95</b>
<b>Encinitas</b>	55,134.13	245,783.97	22.43%	\$150,000	<b>\$33,647.92</b>
<b>Poway</b>	48,571.14	245,783.97	19.76%	\$150,000	<b>\$29,642.58</b>
<b>National City</b>	37,174.54	245,783.97	15.53%	\$150,000	<b>\$23,297.62</b>
<b>Solana Beach</b>	10,136.76	245,783.97	4.12%	\$150,000	<b>\$6,186.38</b>
<b>Del Mar</b>	5,996.39	245,783.97	2.44%	\$150,000	<b>\$3,659.55</b>

The Environmental Enhancement Program allows each member city to utilize the funding allocation for environmental programs that the individual city determines are most beneficial to that jurisdiction.

In addition, each member city will receive a \$7,000 Educational Appropriation. This funding is provided on the condition that it be used to support education related to HHW disposal and organic waste diversion.

The Environmental Enhancement Program and Educational Appropriation funding is being provided to the member cities in October. At the end of the fiscal year, each member city will be asked to provide a letter to the RSWA Board outlining how the city utilized the funding.

### **RECOMMENDATION**

It is recommended that the Board receive and file the Report.

Item 6.A.

## **Item 6.A.**

**HHW Reports will be provided  
prior to the October 7, 2021  
Board meeting**

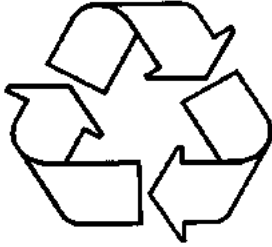
Item 6.B.

## **Item 6.B.**

**Legislative Update information  
will be provided  
prior to the October 7, 2021  
Board meeting**

Item 6.C.





# REGIONAL SOLID WASTE ASSOCIATION

Member Agencies: Cities of Del Mar, Encinitas, Escondido,  
National City, Poway, Solana Beach, and Vista

To: RSWA Board Members  
From: General Manager James H. Eggart  
Subject: SB 1383 Compliance Support Services Update  
Date: October 7, 2021

## **BACKGROUND/DISCUSSION**

On March 25, 2021, RSWA entered into an Agreement for Professional Consultant Services with Solana Center for Environmental Innovation ("Solana Center") to provide specified SB 1383 compliance services to the six regular RSWA Member Cities. San Diego Food System Alliance ("SDFSA") is a subcontractor under the Agreement. The Board received an update from the consultants at the June 24, 2021 RSWA meeting.

Solana Center and SDFSA have completed significant additional work since the June 4<sup>th</sup> RSWA meeting. Monthly Progress Status Reports detailing the work performed in furtherance of each task in June, July and August are attached to this Report. The following deliverables prepared by the consultants are also attached for the Board's information:

- June 30, 2021 Report Regarding Food Recovery Campaign Research & Recommendations (Task 2.1)
- July 29, 2021 Report summarizing information provided to each participating RSWA city regarding food recovery agencies (Task 2.3). Each City received its own detailed spreadsheet with lists of Food Recovery Services, Food Recovery Organizations, and Food Distribution Agencies available to businesses within the City.

The September Status Report and any additional deliverable received prior to the meeting will be provided to the Board as a supplement agenda packet.

The consultants will be available at the meeting to provide an oral report and answer any questions the Board has regarding the services provided to date.

Also attached to this Report is list of "next steps" identified by Solana Center that the RSWA cities will need to address going forward to fully comply with the State's requirements. Many of the items identified by Solana Center are not within the current scope of work of the RSWA/Solana Center Agreement.

Solana Center will give a presentation at the meeting providing additional information regarding the “gaps” and “next steps” it has identified.

**ATTACHMENTS**

1. August Progress Report
2. July Progress Report
3. June Progress Report
4. June 30, 2021 Report Regarding Food Recovery Campaign Research & Recommendations (Task 2.1)
5. July 29, 2021 Report Regarding Summary of Food Recovery Agencies (Task 2.3)
6. Next Steps Identified by Solana Center for RSWA Cities

Item 6.C.

August Monthly  
Progress Report  
SB 1383

# August Monthly Progress Report

SB 1383 Compliance Support Services



# General Progress

- Tier 1 classification work is complete and sent out to cities for review. To help cities in their outreach and education implementation, additional steps were taken to verify businesses were in existence either through phone calls or website verification. Businesses that could not be reached in spite of repeated attempts are noted.
- Next steps that need to be taken by the cities are compiled and included in the Tier Identification document under “Next Steps” tab. Tasks that could be supported in the future by either Solana Center or SDFSA are identified in the list. The list of next steps was reviewed and verified with CalRecycle.
- Edible Food Capacity Planning Tools were reviewed on behalf of the RSWA cities. Follow up communication is ongoing with CalRecycle and the County to make tool recommendations for RSWA cities.
- Planning has been completed for the remaining core deliverables:

Task	Date
Tier Identification	end of Sept 2021
Inspection Checklist	end of Sept 2021
Edible Food Recovery Packet	end of Oct 2021
Compliance Resource Packets	end of Oct 2021
Edible Food Recovery Webinar	mid Nov 2021
Edible Food Recovery Annual Mailer	mid Dec 2021

**Concerns/Risk Mitigation Needed:**

None

*This material was developed for RSWA and associated cities in partnership with Solana Center for Environmental Innovation and San Diego Food System Alliance.*



# Task 1 Conduct Outreach and Education (Solana Center)

## Task 1.1 Identify Tier 1 and Tier 2 generators

Status: On track. Completion on target for Sept 30, 2021.

Progress:

- Tier 1 list was completed and sent to cities for review. Initial review was held with the Cities of Encinitas and Solana Beach and their recommendations were incorporated into the documents.
  - Criteria used for tier identification work is based on the guidance document created by CalRecycle.
  - Unexpected amount of work was encountered when working with DEH (Dept of Environmental Health), CDPH (CA Dept of Public Health), and NAICS (North American Industry Classification System) databases and lists. A lot of the information was outdated. Attempts were made to address this for Tier 1 customers and to ensure they were all still in business. Due to the extensive workload associated with this work, in-depth verification support for Tier 2 customers will be offered as an optional task or can be conducted prior to inspections. Basic checking for the validity of data will still occur for Tier 2.
- We hold ongoing discussions (emails and meetings) with CalRecycle to get clarification on grey areas and receive buy-in on proposed judgement calls.
- Tier 2 classifications that are complete are:
  - Local Education Agencies
  - Health Agencies
  - Hotels
  - Large Events
  - State Agencies
- Tier 2 classifications that are in-progress are:
  - Restaurants
  - Large Venues

Concerns:

- Every attempt was made to verify Tier 1 businesses were still in existence. Businesses that could not be reached, in spite of repeated attempts, are noted in the spreadsheet.

*This material was developed for RSWA and associated cities in partnership with Solana Center for Environmental Innovation and San Diego Food System Alliance.*





- Most businesses are not willing to share their contact information over the phone. As recommended by the Cities of Encinitas and Solana Beach, EDCO has been approached to provide the contact information.
- There are many grey areas that require judgement calls as CalRecycle’s guidance gives room for interpretation. These will be reviewed with the cities to agree on final classification.

**Task 1.2 Create baseline and compliance resources**

Status: Review of regulations and research ongoing. Outline for food generators and grocers compliance packets started. Completion will be at the end of Oct 2021.

Progress: N/A

Concerns: N/A

**Task 1.3 Create inspection plan and protocol for inspections**

Status: Regulation requirements reviewed and follow up meeting held with CalRecycle to verify inspection expectations. Outline for inspection checklist in-place. Outreaching to certain Northern California cities to review best practices in place. Completion will be at the end of Sept 2021.

Progress: N/A

Concerns: N/A

## Task 2 Edible Food Recovery Program (SDFSA)

**Task 2.1 Plan food recovery educational campaign to educate top generators**

Status: Completed.

**Task 2.2 Develop and deliver food recovery resources to generators**

Status: On track to be completed by late Oct to early Nov.

Progress: Completed content development for all 6 materials which will be included in the food recovery packet, including: (1) Overview of food recovery requirements for Tier 1 and Tier 2 under SB 1383 + importance of source reduction and prevention solutions, (2) Liability

*This material was developed for RSWA and associated cities in partnership with Solana Center for Environmental Innovation and San Diego Food System Alliance.*



protections + food safety, (3) Food recovery logistics (example from the field) + Sample Food Recovery Standard Operating Procedures + Staff Training Guide, (4) Enhanced Tax Deductions and Cost Savings, (5) Sample contract/agreement language between a food recovery organization and food donor, (6) Customizable Poster. Began design of Item #1, which will be reviewed with Solana Center to ensure consistency with brand guidelines. Continued planning for the food recovery webinar, including developing an outline and coordinating with video case study interviewees.

Concerns: N/A

**Task 2.3 Compile a preliminary list of food recovery organizations and service names**

Status: Completed.

**Task 2.4 Develop a food recovery newsletter/mailer for annual education**

Status: Not to be started until Oct 2021. Completion will be Dec 2021.

Progress: N/A

Concerns: N/A

*This material was developed for RSWA and associated cities in partnership with Solana Center for Environmental Innovation and San Diego Food System Alliance.*



Item 6.C.

July Monthly  
Progress Report  
SB 1383

# July Monthly Progress Report

SB 1383 Compliance Support Services



## General Progress

- Solana Center created RSWA specific branding to deliver a cohesive theme across all internal and external facing contract deliverables. This branding theme will be used for both SDFSA and Solana Center deliverables to deliver a uniform look to all materials.
- Tier 1 classification work is complete and will be distributed to individual cities in early August.
- Planning has been completed for the remaining core deliverables:

Task	Date
Tier Identification	end of Sept/2021
Inspection Checklist	end of Sept/2021
Edible Food Recovery Packet	end of Oct/2021
Compliance Resource Packets	end of Oct/2021
Edible Food Recovery Webinar	mid Nov/2021
Edible Food Recovery Annual mailer	mid Dec/2021

- The extended list of optional tasks offered in the contract will be sent out to the cities for review to determine if any additional support is needed.

### Concerns/Risk Mitigation Needed:

None

## Task 1 Conduct Outreach and Education (Solana Center)

### Task 1.1 Identify Tier 1 and Tier 2 generators

Status: On track. Completion on target for Sept 30, 2021.

*This material was developed for RSWA and associated cities in partnership with Solana Center for Environmental Innovation and San Diego Food System Alliance.*



Progress:

- Ongoing consultation with the County and City of San Diego to adopt similar criteria for grey areas in tier classification process to ensure consistent regional classification where possible. For example, all Target stores region wide to be uniformly classified either as grocery or non-grocery stores.
- Continuous discussions (emails and meetings) with CalRecycle to get clarification on grey areas and receive buy-in on proposed judgement calls.
- Generator classifications completed to date are:
  - Supermarkets, Grocery Stores, Wholesalers, Distributors, Food Service Providers
- Tier 2 classifications that are complete are:
  - Local Education Agencies
- Tier 2 classifications that are work in progress are:
  - Restaurants, Hotels, Health Facilities, Large Venues, Large Events, and State Agencies are in progress.

Concerns:

- There are many grey areas that require judgement calls as CalRecycle's guidance gives room for interpretation. In an effort to ensure the judgement calls are uniform and consistent across the region, Solana staff are consulting with the City and County staff.

**Task 1.2 Create baseline and compliance resources**

Status: Review of regulations and research started in July 2021. Outline of compliance packets for food generators and grocers started. Completion will be at the end of Oct 2021.

Progress: N/A

Concerns: N/A

**Task 1.3 Create inspection plan and protocol for inspections**

Status: Review of regulations and research started in July 2021. Outline for inspection checklist started. Completion will be at the end of Sept 2021.

Progress: N/A

Concerns: N/A

*This material was developed for RSWA and associated cities in partnership with Solana Center for Environmental Innovation and San Diego Food System Alliance.*



## Task 2 Edible Food Recovery Program (SDFSA)

### Task 2.1 Plan food recovery educational campaign to educate top generators

Status: Completed.

### Task 2.2 Develop and deliver food recovery resources to generators

Status: On track to be completed by late Oct/ early Nov.

Progress: Created outlines for all 6 materials which will be included in the food recovery packet, including: (1) Overview of food recovery requirements for T1 & T2 under SB 1383 + importance of source reduction & prevention solutions, (2) Liability protections + food safety, (3) Food recovery logistics (example from the field) + Sample Food Recovery Standard Operating Procedures + Staff Training Guide, (4) Enhanced Tax Deductions & Cost Savings, (5) Sample contract/agreement language between a food recovery organization and food donor, (6) Customizable Poster. Began planning for the food recovery webinar.

Concerns: N/A

### Task 2.3 Compile a preliminary list of food recovery organizations and service names

Status: Completed.

### Task 2.4 Develop a food recovery newsletter/mailer for annual education

Status: Not to be started until Oct 2021. Completion will be Dec 2021.

Progress: N/A

Concerns: N/A

*This material was developed for RSWA and associated cities in partnership with Solana Center for Environmental Innovation and San Diego Food System Alliance.*





Item 6.C.

June Quarterly  
Progress Report  
SB 1383

# June Quarterly Progress Report

## SB 1383 Compliance Support Services

### General Progress

- Tasks that are delivered at the end of this quarter include
  - List of Food Recovery Organizations and Service names
  - Food Recovery educational campaign report
- Tier 1 classification work is nearly complete and will be distributed to individual cities for initial feedback by mid July.
- Solana Center has hired two bilingual staff who speak Spanish and Vietnamese to aid in future education and training.
- Approval was received from James on behalf of RSWA to allow resources created under the RSWA contract to be shared with the bigger San Diego County region. Files to be shared only in pdf format with Solana Center, SDFSA and RSWA logos in place. If non-RSWA cities would like customized versions of the materials they need to be referred to Solana Center for support.

### Concerns/Risk Mitigation Needed:

None

### Task1 Conduct Outreach and Education (Solana Center)

#### Task1.1 Identify Tier 1 and Tier 2 generators

Status: On track. Completion on target for Sept 30, 2021.

Progress:

- Ongoing consultation with the County and City of San Diego to adopt similar criteria for grey areas in tier classification process to ensure consistent regional classification where possible. For example, all Target stores region wide to be uniformly classified either as grocery or non-grocery stores.
- Continuous discussions (emails and meetings) with CalRecycle to get clarification on grey areas and receive buy-in on proposed judgement calls.
- Data sources obtained and being processed include
  - Commercial business database with NAICS and SIC business code listings
  - EDCO commercial business' list
  - Department of Environmental Health food facility registrations
  - Spectrum commercial business list (purchased)
  - CalRecycle convenience zones supermarket listings
  - California Department of Public Health (CDPH) health facility listings
  - SANDAG hotel data listings



- Jurisdictional data on large events, venues and business listings
- Priority given to Tier 1 generators for completion going forward. Generator classifications completed to date are:
  - Supermarkets
  - Grocery Stores
  - Wholesalers
  - Distributors
  - Food Service Providers
- Tier 2 classifications that are complete are:
  - Schools
- Restaurants, Hotels, Health Facilities, Large Venues, Large Events, Local Education Agencies, and State Agencies are in progress.

Concerns:

- There are many grey areas that require judgement calls as CalRecycle’s guidance gives room for interpretation. In an effort to ensure the judgement calls are uniform and consistent across the region, Solana staff are consulting with the City and County staff.

**Task1.2 Create baseline and compliance resources**

Status: Not to be started until July 2021. Completion will be Oct 2021.

Progress: N/A

Concerns: N/A

**Task1.3 Create inspection plan and protocol for inspections**

Status: Not to be started until July 2021. Completion will be Oct 2021.

Progress: N/A

Concerns: N/A

**Task 2 Edible Food Recovery Program (SDFSA)**

**Task 2.1 Plan food recovery educational campaign to educate top generators**

Status: Completed.

Progress:

Wrapped up final interviews and research, and finished the first draft of the report in mid-June. The draft report was provided to all cities for review. Incorporated all city feedback and edits and finalized the report.



Concerns: N/A

**Task 2.2 Develop and deliver food recovery resources to generators**

Status: Not to be started until July 2021. Completion will be Dec 2021.

Progress: N/A

Concerns: N/A

**Task 2.3 Compile a preliminary list of food recovery organizations and service names**

Status: Completed.

Progress: Completed the lists for all cities, and provided each city with the final list deliverable. .

Concerns: N/A

**Task 2.4 Develop a food recovery newsletter/mailer for annual education**

Status: Not to be started until Oct 2021. Completion will be Dec 2021.

Progress: N/A

Concerns: N/A



Item 6.C.

Report: Food Recovery  
Educational Campaign  
Research and  
Recommendations  
SB 1383

# Report: Food Recovery Educational Campaign Research & Recommendations

June 30, 2021



Developed by San Diego Food System Alliance,  
as a subcontractor to Solana Center.



Created under contract for Regional Solid Waste Association (RSWA) cities of Del Mar, Encinitas, National City, Poway, Solana Beach and Vista. This report is the deliverable for SB 1383 Compliance Support Services, Task 2.1.

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## Executive Summary

The intention of this report is to document research, interview outcomes, analysis, and recommendations for a successful food recovery educational campaign strategy to meet SB 1383 requirements, with a focus on identifying optimal messaging, media channels and partnerships that can be utilized across all RSWA cities to educate Tier One and Tier Two generators.

This report is created under contract for Regional Solid Waste Association (RSWA) cities of Del Mar, Encinitas, National City, Poway, Solana Beach and Vista at the direction of Solana Center. This report is the deliverable for SB 1383 Compliance Support Services, Task 2.1.

## SB 1383 Overview

Signed into law in 2016, [SB 1383](#) establishes statewide targets to reduce organic waste disposal by 50% by 2020 and 75% by 2025, and to increase edible food recovery by 20% by 2025. The regulation seeks to address the intersection of three key issues that have historically been independent: climate change, solid waste, and food insecurity. Not only is SB 1383 the first legislation of its kind in the United States to establish quantifiable targets for food waste reduction and edible food recovery, it also includes unique provisions for regulation enforcement and penalties for non-compliance.

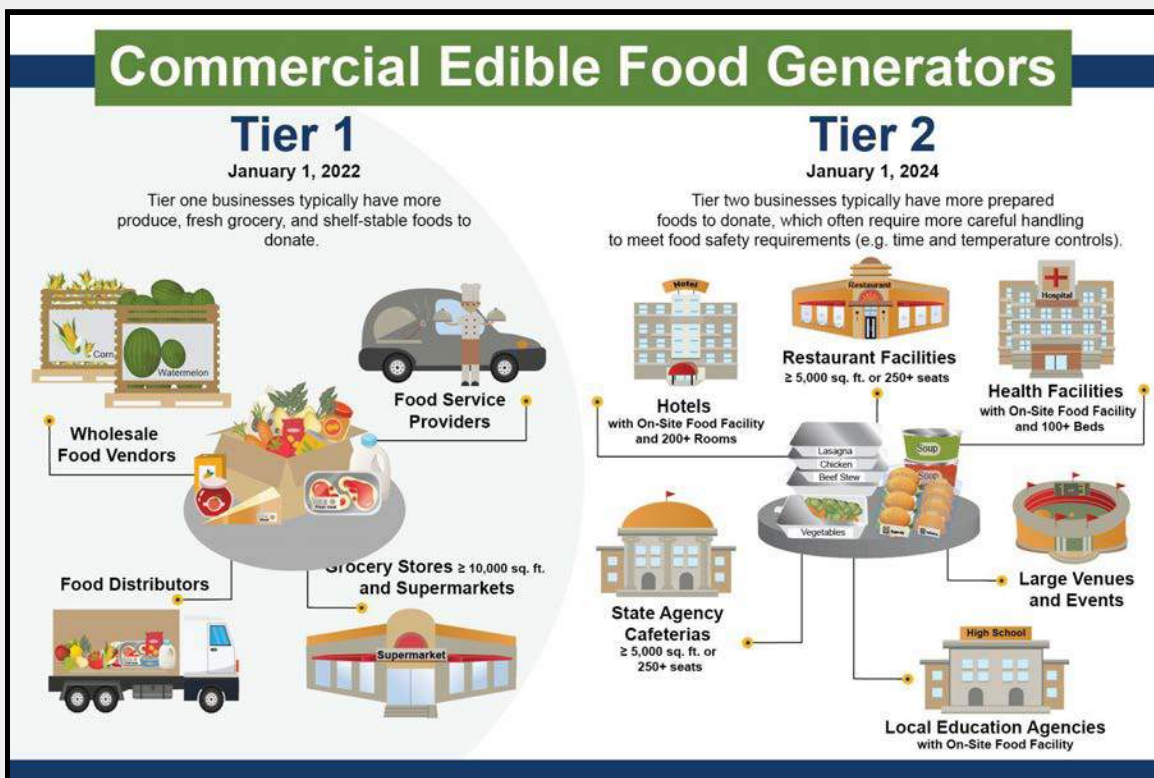
In order to achieve this statewide food recovery target, SB 1383 regulations require jurisdictions to implement an edible food recovery program for specific food businesses, known as Commercial Edible Food Generators. These generators are categorized into two groups: Tier One and Tier Two. Tier One generators include supermarkets, grocery stores, food service providers, food service distributors, and wholesale vendors. Tier Two generators include restaurants, hotels, health facilities, large venues, state agencies, and local education agencies that meet specific size and capacity thresholds. This classification system is important in the development of edible food recovery programs, as businesses in each Tier have different types and quantities of food available for recovery (see Figure 1). Additionally, enforcement of the regulation is also dependent on a business' Tier: Tier One commercial edible food generators must comply with edible food recovery requirements by January 1, 2022, and Tier Two generators must comply by January 1, 2024.

The edible food recovery program requirements include educating generators about food recovery options, increasing access to food recovery organizations and services, evaluating edible food recovery capacity, increasing edible food recovery capacity, monitoring generators,

and reporting edible food recovery to California Department of Resources Recycling and Recovery (CalRecycle). These [edible food recovery program](#) requirements place new responsibilities on jurisdictions who have a limited historic role in the food recovery space and limited staff capacity.

Education is a critical component for the establishment of a successful edible food recovery program. While some identified Tier One and Tier Two generators within a jurisdiction may already be involved in donating their excess edible food, many businesses will be unfamiliar with food donation and recovery processes. Food recovery is often a complex system that involves transportation and delivery logistics, food safety requirements and guidelines, and ongoing coordination between food donors and recipients. Additionally, food recovery often crosses jurisdictional boundaries, adding additional challenges for coordination. The complexities of the food recovery system may prove to be difficult for newly identified Tier One and Tier Two generators to navigate. **Providing sufficient education about the importance and benefits of edible food recovery will be the first step to the development of edible food recovery programs.**

Figure 1 – Commercial Edible Food Generators



Source: [CalRecycle](#).

*Developed by San Diego Food System Alliance.  
Created under contract for RSWA, as a subcontractor to Solana Center.*

## Recommendations

The research and analysis uncovered ten top recommendations for implementing food recovery education campaigns. Described below, these recommendations fall into three broad categories: Messaging, Media Channels, and Education Types. Findings will be incorporated into the next stages of SB 1383 compliance services delivered to RSWA. In addition, they are intended to help member cities when implementing commercial edible food recovery programs.

### Messaging

While compliance with SB 1383 is mandatory, successful messaging strategies that frame food donation as an opportunity and benefit rather than just a regulatory requirement will help compel businesses into action. Food donation at the generator level is typically far removed from the recovery and distribution processes that emerge from that donation. Messaging that showcases the impacts of donated food can bridge this gap and encourage donors to establish, or continue, a food donation program. Furthermore, dynamic messaging that is easily adaptable for different food industry sectors is critical: while local restaurants may be motivated to reduce their food waste from a cost-savings perspective, a large grocery store may be more interested in setting up a food donation program to provide their excess food to a local hunger-relief organization. Identifying the motivators for different food industry sectors and developing messaging specific to those sectors will be instrumental in a successful educational campaign.

Messaging Recommendations	Sector-Specific?
1. Educate about liability protection and emphasize food safety.	Applies to all generators.
2. Focus on hunger-relief impact and share stories about where the food goes.	Applies to all generators, but may resonate most with Tier One sectors.
3. Provide cost savings examples.	Applies to all generators, but may resonate most with Tier Two generators.

#### **1. Educate about liability protection and emphasize food safety.**

In multiple conversations, interviewees expressed concerns and fears about liability. **“There is still fear that donating things will make people sick.”** This fear holds back food businesses from starting donation programs, because they worry they can be sued for issues resulting from

food donations that were improperly handled. It became clear through the interviews that most foodservice stakeholders are unaware of the liability protections in place through the Bill Emerson Good Samaritan Food Donation Act and the California Health and Safety Code.

The fear of liability also limits the amount of food that is donated at establishments that already have donation programs in place. An interviewee mentioned that they believed their business could donate much more product than they currently donate, but fears about liability amongst the staff inhibit additional food donations of prepared food.

In addition to educating commercial edible food generators about the laws protecting them from liability, it's equally as important to communicate the food safety measures that are followed after food leaves the establishment. Instilling confidence that food is handled, transported, stored, and distributed according to the highest food safety standards is critical for educating commercial edible food generators about food recovery programs.

## **2. Focus on hunger-relief impact and share stories about where the food goes.**

*(Applies to all generators, but may resonate most with Tier One sectors.)*

Across several interviews, stakeholders mentioned that messaging about the problem of food insecurity and hunger were key motivating messages for rallying staff participation in a food recovery program. The environmental impacts of food waste were also cited as less of a motivating factor.

It's important to note that hunger-relief may not be a motivating message for decision makers representing smaller businesses as they may be more concerned initially with potential cost impacts (see recommendation #3). This perspective was communicated during an interview with stakeholders representing Tier Two generators, who may experience greater challenges in implementing programs because of their commercial kitchen settings.

One interviewee mentioned that even though there was a food donation program in place at their establishment, the staff did not know where the food was actually going. The interviewee recommended that showcasing the work of food recovery organizations more, and sharing stories about how they help fight hunger, would help to bolster food recovery efforts.

**“When staff are involved in social causes and get to participate, that helps strengthen the program. Hearing from the food rescue organizations helps to bridge the gap and tell the story of where food is going.”**

### **3. Provide cost savings examples.**

*(Applies to all generators, but may resonate most with Tier Two generators.)*

**“We don’t like to waste food, and we don’t like to waste money.”**

The food industry is notorious for running on slim margins. This holds true especially for sectors like the restaurant and hospitality industry. Due to the COVID-19 pandemic, **“restaurants got decimated,”** along with other hospitality industries like hotels and caterers. Interviewees representing Tier Two businesses expressed that much of their focus at this time is on cost-savings and ways to stay afloat. As such they recommended providing information on specific cost savings from food donation tax deductions, for example **“I need to know if I donate ‘X’ amount of food per year, what are my tax savings?”**

Beyond tax deductions, savings can also be realized through reduced trash service levels. Program managers for the County of LA FoodDROP program mentioned that businesses that started donating were able to lower their trash services, thereby reducing costs. Similarly, an EDCO representative mentioned that one of the key strategies EDCO will employ when setting up generators with organics recycling is providing technical assistance for ways to right-size services and reduce costs.

## **Media Channels**

In addition to developing the right messaging, it is also important to select the best media channels to ensure that this messaging reaches commercial edible food generators. The food service industry is known to be difficult to reach, particularly because of their long and busy hours of operation. While the COVID-19 pandemic has necessitated the use of virtual platforms for communications, leveraging direct, in person communication remains a strong medium for connecting with food businesses and will be important to utilize for food donation education. Furthermore, larger businesses, particularly retailers that are part of a corporate chain, may have multiple levels of staff and management that need to be engaged in the food donation process. Utilizing a variety of media channels can help ensure that all food recovery and donation stakeholders within a business receive educational messaging.

<b>Media Channel Recommendations</b>	<b>Sector-Specific?</b>
4. In-person site visits are best.	Applies to all generators.
5. Leverage associations and existing partners.	Applies to all generators.
6. Mailers, email, social media, and phone calls/texts are other methods for potentially reaching generators, though less effective.	Applies to all generators.

*Developed by San Diego Food System Alliance.  
Created under contract for RSWA, as a subcontractor to Solana Center.*

#### **4. In-person site visits are best.**

Across all interviews, in-person site visits were cited as the best way to actually get in touch with a decision-maker at a food establishment. This was true for interviewees representing both Tier One and Tier Two sectors. Interviewees suggested that in-person visits should be sensitive to busy times of day for each food industry type. Visiting a restaurant during lunch, for example, would be poorly received.

#### **5. Leverage associations and existing partners.**

Partnering with trusted sources that already communicate with food industry sectors to distribute educational materials is a great strategy for ensuring that communications will actually reach generators. Restaurateurs interviewed mentioned that they barely have time to read their emails, but if they see an email come through from trusted associations or organizations, they will take a look. Associations and networks vary per food sector and industry (see “Industry Associations” section under “Research.”) One interviewee mentioned that some of these industry associations require membership dues, which may be prohibitive, so equitable access to these networks may be limited.

The industry associations can serve as a good resource, but they are not the only organizations with a broad reach in the food sector. Other potential partners include the County Health Department, waste haulers, and city-specific chamber of commerce organizations and economic development departments (see “Other Potential Partners” section under “Research.”).

Another potential partner that was mentioned by both grocery store and restaurant stakeholders are food distributors. Food distributors themselves are obviously a Tier One generator, but they also are in the unique position of servicing many of the other generator sectors.

#### **6. Mailers, email, social media, and phone calls/texts are other methods for potentially reaching generators, though less effective.**

Interviewees all agreed that in-person visits are best, but there were differing opinions about which other methods are most effective for reaching generators. For the grocery sector, email was identified as the best option, while for restaurateurs, that was the one of the lowest rated methods. Because Tier One generators are generally larger, with more desk staff, email may be better. Tier Two generators generally have more active staff focused on preparing and serving food, so text messages or phone calls may have more success in reaching Tier Two sectors. Overall, interviewees recognized that preferences for each establishment will differ, and that by using a varied approach spreading the word using various channels, all bases should be covered.

## Education Types

Once the messaging and media channels are identified, the final step involves selecting the most impactful educational materials that will best facilitate the establishment of a food donation program. In addition to anecdotal and word-of-mouth success stories from other businesses, inviting generators to see the food donation and recovery process in action is a powerful way to obtain buy-in. Utilizing educational materials that are visual and allow business owners, chefs, and kitchen staff to see how other businesses are operating food donation programs connects the social, environmental, and economic benefits of food donation to a tangible process. The type of educational materials selected must not only be accessible to all food donation stakeholders within a business, but exploring opportunities for information and resource sharing between businesses can help establish a peer-based model for food donation.

Education Type Recommendations	Sector-Specific?
7. Focus on showcasing examples through tours, site visits, and videos.	Applies to all generators.
8. Posters and other staff training materials are most helpful.	Applies to all generators, but may resonate most with Tier Two generators.
9. Create a centralized resource hub and cohesion across cities.	Applies to all generators.
10. Generate broad awareness by using existing communications channels, and consider events to increase engagement and generate media attention.	Applies to all generators.

### **7. Focus on showcasing examples through tours, site visits, and videos.**

In the words of one interviewee, “**seeing is believing.**” Specifically in the food industry, seeing programs in action is one of the most effective forms of education. This sentiment was echoed across the board in interviews. Because the food industry is notoriously busy and focused on day-to-day operations, interviewees mentioned that they usually don’t have the time to read emails or information booklets. They mentioned it would be much more interesting and worth their time to visit another site for a tour of their food recovery program, or to attend a webinar or watch a video that showcases local programs in action. There are still many misconceptions in the food industry about food recovery (e.g. liability concerns), and witnessing examples from leaders within the industry can help to dispel these fears.



## **8. Posters and other staff training materials are most helpful.**

*Applies to all generators, but may resonate most with Tier Two generators.*

Training materials for staff, including posters and videos, was another type of useful education that was brought up by several interviewees. Implementing a food recovery program will require staff training and participation, so any training tools like nicely designed posters or training videos/guides were identified as helpful. Because Tier Two generators may have more place-based staff, these training materials may be more relevant for kitchen settings or other establishments with significant back-of-house operations.

## **9. Create a centralized resource hub and cohesion across cities.**

**“I like the idea of a centralized hub. As a region, we don’t have a good central resource. It would be great to have one place for information, and different local partners could help spread the word.”**

Several interviewees expressed confusion about where to find information about SB 1383 and food waste. They shared that they lacked an understanding of who would be regulating and enforcing SB 1383. They didn’t know where to look for information within their cities (such as which departments’ websites to consult), and they also didn’t know where to find information beyond their cities, for the region. Because several food businesses span across cities, consistency in how cities share resources can help to create cohesion in education.

## **10. Generate broad awareness by using existing communications channels, and consider events to increase engagement and generate media attention.**

At the start of this research project, SDFSA gathered information from all of the RSWA cities about existing communication channels. The majority of cities had social media channels, newsletters, or other publications that are provided to residents/subscribers. These existing mediums are more relevant for residents, as opposed to having the ability to directly target Tier One or Two business owners and management. The general education that can be achieved through social media and newsletters is still very important for generating high-level awareness about SB 1383, which can serve as a starting point for more specific education.

One of the organizations interviewed, LA County Food DROP, organized large events called “[A Day Without Hunger](#)” to raise awareness about food donation and food insecurity. The events were very successful in garnering media attention and engaging both businesses and residents. Events like these can serve as an opportunity to broadly engage the community in food recovery, helping to generate excitement and buy-in for food donation programs.

## Research

For this report, SDFSA was tasked with researching food recovery education campaign best practices, including identifying recommendations for messaging and campaign distribution methods that can be leveraged across all RSWA cities. As a part of this research, SDFSA consulted reports and existing programs/resources, and also conducted interviews. Lessons learned from this research and quotes from interviews are included throughout this report. A list of all resources reviewed and interviews conducted is included in the Appendix section.

## Industry Associations

SB 1383 affects specific food industries and sectors that fall under the Tier One or Tier Two categorization. Leveraging existing industry-specific associations or network organizations is a simple way to target these industry audiences. Industry associations often provide webinars, newsletters, and other educational tools as resources for their members. SB 1383 education can therefore easily be incorporated into existing educational programming offered by Associations. The table below outlines the Industry Associations identified serving each Tier One and Two sector.

Tier	State or local?	Type	Name	Link
Grocery Stores / Supermarkets	State	Association	California Grocers Association	<a href="https://www.cagrocers.com/">https://www.cagrocers.com/</a>
Food Distributors	State	Association	California Distributors Association	<a href="https://californiadistributorsassociation.com/">https://californiadistributorsassociation.com/</a>
Food Service Providers	None			
Wholesale Food Vendors	State	Association	California League Food Producers	<a href="https://clfp.com/">https://clfp.com/</a>
Hotels	San Diego Chapter	Association	Hospitality Sales & Marketing Association International	<a href="https://www.hsmaisd.org/">https://www.hsmaisd.org/</a>
	Local	Association	San Diego County Hotel-Motel Association	<a href="http://sdhma.com/">http://sdhma.com/</a>

Tier	State or local?	Type	Name	Link
Restaurant Facilities	Local	Association	California Restaurant Association: San Diego Chapter	<a href="https://www.calrest.org/post/san-diego-chapter">https://www.calrest.org/post/san-diego-chapter</a>
	Local	Regulator	San Diego County Department of Environmental Health and Quality	<a href="https://www.sandiegocounty.gov/content/sdc/deh/fhd/food/food.html">https://www.sandiegocounty.gov/content/sdc/deh/fhd/food/food.html</a>
	Local	Association (Chefs)	Chefs De Cuisine Association of San Diego	<a href="https://sdchefs.org/">https://sdchefs.org/</a>
	Local	Association	Food & Beverage Association of San Diego	<a href="https://foodnbeverage.org/">https://foodnbeverage.org/</a>
Health Facilities	Regional - San Diego + Imperial Counties	Association	Hospital Association of San Diego & Imperial Counties	<a href="https://hasdic.org/">https://hasdic.org/</a>
	Local	Association	Independent Living Association (by Community Health Improvement Partners)	<a href="https://ilacalifornia.org/san-diego-county/">https://ilacalifornia.org/san-diego-county/</a>
	Local	Working group	Nutrition in Healthcare Leadership Team (by Community Health Improvement Partners)	<a href="https://f2icenter.org/collaboratives/nutrition-in-healthcare-leadership-team/">https://f2icenter.org/collaboratives/nutrition-in-healthcare-leadership-team/</a>
State Agency Cafeterias	None			
Large Venues and Events	None			
Local Education Agencies	Local	Working group	Farm to School Taskforce (by Community Health Improvement Partners)	<a href="https://f2icenter.org/collaboratives/farm-to-school-taskforce/">https://f2icenter.org/collaboratives/farm-to-school-taskforce/</a>

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Created under contract for RSWA, as a subcontractor to Solana Center.*

## Other Potential Partners

**Food Distributors** – Food distributors regularly interact with the foodservice sector and can be a conduit for info to generators. Food distributors are a Tier One generator, and also play the unique role of servicing all other Tier One and Two generators with food. Distributors often have newsletters that go out to clients to share about weekly deals, which could be a good place to include SB 1383 education. A few of the large distributors servicing San Diego County are located in RSWA cities. Others are located outside of San Diego County.

Food Distributors Servicing SD County	
Located in RSWA City?	Name, Address, Website
Poway	Sysco 12180 Parnell Ct, Poway, CA 92064 <a href="https://sysco.com/Contact/Contact/Our-Locations/San-Diego">https://sysco.com/Contact/Contact/Our-Locations/San-Diego</a>
Vista	US Foods 1201 Park Center Dr, Vista, CA 92081 <a href="https://www.usfoods.com/locations/restaurant-supplier-in-san-diego--ca.html">https://www.usfoods.com/locations/restaurant-supplier-in-san-diego--ca.html</a>
National City	Harvest Food Distributors 1000 Bay Marina Drive, National City, CA 91950 <a href="https://harvestfooddistributors.com/distribution-areas/southern-california/">https://harvestfooddistributors.com/distribution-areas/southern-california/</a>
No	Specialty Produce 1929 Hancock St #150, San Diego, CA 92110 <a href="https://www.specialtyproduce.com/">https://www.specialtyproduce.com/</a>
No	Vesta Foodservice 13527 Orden Dr, Santa Fe Springs, CA 90670 <a href="http://www.vestafoodservice.com/">http://www.vestafoodservice.com/</a>
No	Shamrock Foods 12400 Riverside Dr, Eastvale, CA 91752 <a href="https://www.shamrockfoodservice.com/">https://www.shamrockfoodservice.com/</a>
No	Sunrise Produce 500 Burning Tree Rd., Fullerton, CA 92833 <a href="http://sunriseproduce.com/wp/">http://sunriseproduce.com/wp/</a>

**Local Chamber of Commerce or Main Street Association** – Each city has a local Chamber of Commerce or Main Street Association that serves as a convener for local businesses, including businesses in the food industry. Oftentimes, a Chamber of Commerce or Main Street Association will tag their members according to their industry, a feature that could be used to educate food businesses about SB 1383 and their requirements under the law.

**Local Economic Development Support Services** – Many cities have an Economic Development Department, whose goal is to provide supportive services to businesses and serve as a liaison to the business community. Some small cities, like Del Mar, don't have an official Economic Development Department, but the city does provide support and resources to businesses. SB 1383 requirements entail big changes for businesses, and it's in the best interest for Economic Development support services to educate and assist businesses in this transition.

**San Diego County Department of Environmental Health** – In the words of a restaurant owner that was interviewed for this report: "County Health is the one email I always open." The County Department of Environmental Health regulates and inspects food businesses. Education about SB 1383 could be added to existing touch-points with food businesses.

**EDCO** – As EDCO rolls out organics collection services to businesses, they can incorporate education about SB 1383 into site visits. EDCO was interviewed for this report, and several action items for collaborating on SB 1383 food recovery education were identified. Refer to the "Next Steps" section for more information.

**Waste Management** – Waste Management is the waste hauler for the City of Del Mar. They also service some other cities that are not RSWA member cities. Waste Management was interviewed for this report, and several action items for collaborating on SB 1383 food recovery education were identified. Refer to the "Next Steps" section for more information.

## Next Steps

Solana Center is completing tier classification -- creating lists of Tier One and Tier Two food-generating businesses for each RSWA city that is within the scope of Task 1.1. The tier lists together with the information in this report will enable SDFSA to implement Task 2.2: Develop & Deliver Food Recovery Resources to Generators. SDFSA will create materials that are grounded in the recommendations identified in this report for messaging, media channels, and education types. For example, for the webinars, SDFSA will incorporate videos or virtual tours to focus on showcasing local examples, and for the Food Recovery Packet, SDFSA will create staff training materials and posters. Content will be focused on the needs of Tier One and Tier Two generators. Each city will use their tier listings to make sure the messaging reaches their largest food businesses.

In addition, there are opportunities to provide consistent messaging in collaboration with EDCO and other haulers when rolling out food recovery education. We spoke with both EDCO and Waste Management. While the haulers are primarily concerned with organic waste *disposal*, they are willing to distribute information and guidance on food *rescue* through their various channels: (1) communications platforms (e.g., newsletters, mailers, and their website), (2) possibly via compliance and contamination inspectors, and (3) one-page leaflets distributed to generators during inspections.

# Appendix

## Research Methodology

For this report, SDFSA was tasked with researching food recovery education campaign best practices, including identifying recommendations for messaging and campaign distribution methods that can be leveraged across all RSWA cities. Reports and resources reviewed, as well as interviews conducted, are listed below.

Program Name	Resources Reviewed
LA County Food Redistribution Initiative	<p><a href="#">Food Redistribution Initiative</a> (website) (Public Health Department)</p> <ul style="list-style-type: none"> <li>• <a href="#">Food Facility Operators Guidelines for Safe Food Donation</a></li> <li>• <a href="#">Donating Surplus Food - Guidance for Food Operators</a> <ul style="list-style-type: none"> <li>◦ <a href="#">(Spanish Version)</a></li> </ul> </li> </ul>
LA County FoodDROP Program	<p><a href="#">Los Angeles County Food DROP Program</a> (website) (Department of Public Works)</p> <ul style="list-style-type: none"> <li>• Food Donation Toolkit           <ul style="list-style-type: none"> <li>◦ <a href="#">Food Donation Fact Sheet</a> (sb 1383 info)</li> <li>◦ <a href="#">Food Facility Operators Guidelines for Safe Food Donation</a></li> <li>◦ <a href="#">GIS Map of food recovery organizations</a></li> <li>◦ <a href="#">Food DROP Brochure</a></li> <li>◦ <a href="#">Food Donation Tracking Form for Food Facility Operators</a> <ul style="list-style-type: none"> <li>■ Food donation tracking form <a href="#">upload</a></li> </ul> </li> </ul> </li> </ul>
Abound Foodcare	<p><a href="#">SB 1383 Requirements for Food Donors</a>  <a href="#">SB 1383 Requirements for Food Recovery Services</a>  <a href="#">SB 1383 Requirements for Jurisdictions</a></p>
StopWaste	<p><a href="#">Surplus Food Donation Guide</a>  <a href="#">Food Donation Guide for Schools</a> (website)</p>
Natural Resources Defense Council	<p><a href="#">Food Waste Restaurant Challenge</a> (website, multiple resources)  <a href="#">Health Inspector Guide</a></p> <p>Food Waste Strategic Communications and Partnership Guide (PDF not publicly available)</p>
City of Denver	<p><a href="#">Guidelines for Donating and Handling Surplus Foods</a></p> <ul style="list-style-type: none"> <li>• <a href="#">Digital Version</a></li> </ul>



Program Name	Resources Reviewed
Save More Than Food (Solid Waste Authority of Central Ohio)	<a href="#">Food Donation Guidelines Brochure</a>
City of Nashville	<a href="#">Nashville Food Waste Initiative</a> <ul style="list-style-type: none"> <li>• <a href="#">Food Donation Guidelines For Licensed Food Facilities Brochure</a></li> <li>• <a href="#">Legal Fact Sheet for Food Donation</a></li> </ul> <a href="#">Nashville FoodSaver Challenge</a>

Interviews Conducted
Margo Cobian – Sustainability Manager at EDCO
Stephanie Morris – Sustainability Coordinator at Jimbo’s Kelly Hartford – Director of Marketing a Jimbo’s
Priscilla Deng – Program Coordinator County of LA, FoodDROP Program Jennifer King – Program Manager, County of LA, FoodDROP Program
Mike Perez – Chef and Restaurant Consultant, Healthy Prophets Jessica Waite – Owner, The Plot Restaurant Roddy Browning – Owner, Flying Pig Pub & Kitchen
Lori Somers – Community & Municipal Relation Manager, Waste Management

Item 6.C.

July 29, 2021

Summary of Food  
Recovery Agencies  
SB 1383

# Summary of Food Recovery Agencies

July 29, 2021



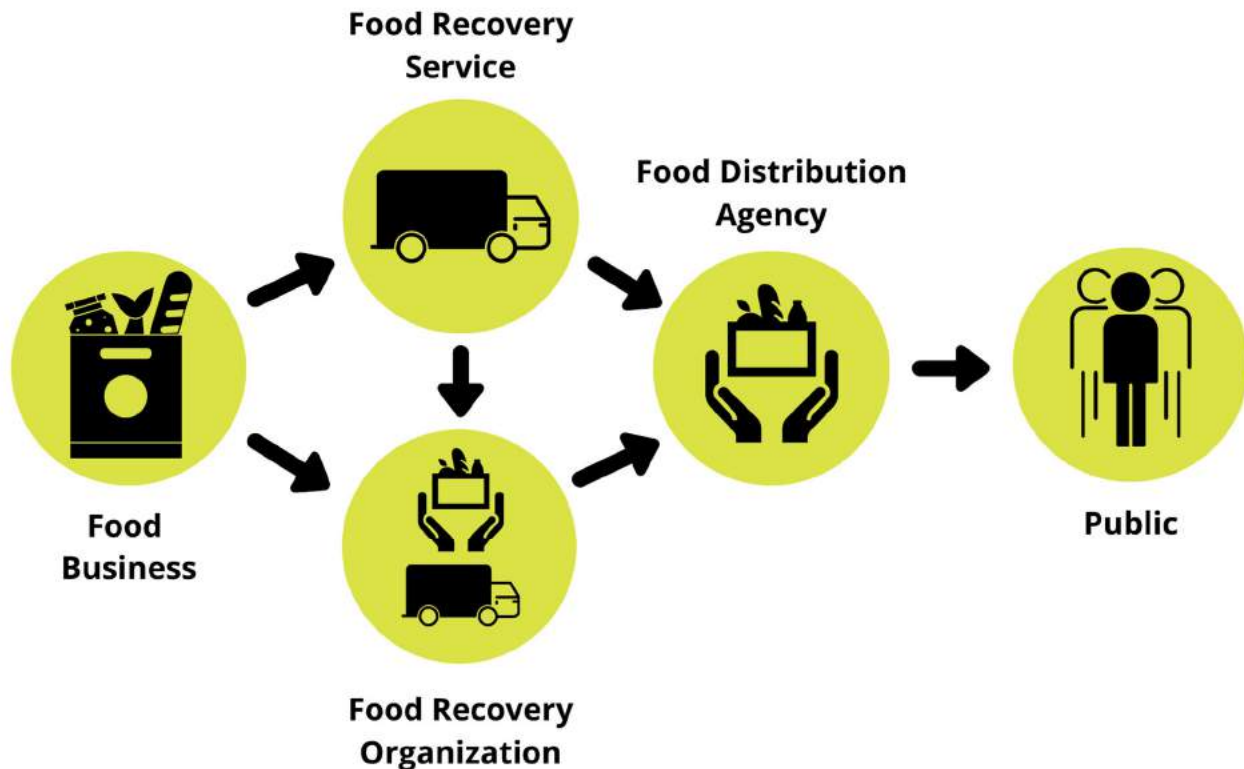
*Created under contract for Regional Solid Waste Association (RSWA) cities of Del Mar, Encinitas, National City, Poway, Solana Beach and Vista. This report is the deliverable for SB 1383 Compliance Support Services, Task 2.3.*

## Overview

This report is a summary of information provided to each participating RSWA city, for contracted work to *compile a preliminary list of food recovery organizations and service names* (Task 2.3). Staff at the cities of Del Mar, Encinitas, National City, Poway, Solana Beach, and Vista each received their own detailed spreadsheets with lists of Food Recovery Services, Food Recovery Organizations, and Food Distribution Agencies that are available to businesses within jurisdiction. The lists contain contact information, addresses, and specific service types. The details in those spreadsheets will be used to inform food recovery programs in each city, to meet the requirements of SB 1383 by February 2022 [§14 CCR 18985.2.a].

**Ultimately, the food-generating businesses in your city will connect with Food Recovery Organizations/Services listed below in order to rescue edible food for people in need. SB 1383 requires that by 2025, 20% of all edible food is rescued in this manner.**

The following schematic depicts the relationships that will together enable your Food Recovery Program to function.



*This material was developed for RSWA and associated cities in partnership with Solana Center for Environmental Innovation and San Diego Food System Alliance.*

The research and spreadsheets were completed by San Diego Food System Alliance. Solana Center for Environmental Innovation compiled this report for the RSWA Board to receive an overview of the findings and availability of agencies.

This information was provided to each RSWA city as an internal resource for cities to develop their own public-facing documents. City staff should consult with the food recovery agencies to convert the spreadsheets into public-facing guides.

Please contact [Sarah Feteih](#) at SDFSA with specific questions about the details. [Mallika Sen](#) at Solana Center can address issues relating to the context of this work within the framework of SB 1383 requirements.

## Food Recovery Services

Food Recovery Services are entities that collect and transport edible food from food businesses to a food recovery organization or other entities for distribution.

*Example: Agency B rescues excess edible food from a weekly Farmer's Market, and then transports that food to a local food bank, where it will be picked up by non-profit agencies and distributed to clients.*

Food Recovery Services	Del Mar	Encinitas	National City	Poway	Solana Beach	Vista
Backyard Produce Project				✓		
Feeding San Diego	✓	✓	✓	✓	✓	✓
Food Donation Connection	✓	✓	✓	✓	✓	✓
I Love to Glean			✓			
Produce Good	✓	✓		✓	✓	✓
San Diego Food Bank	✓	✓	✓	✓	✓	✓
Senior Gleaners of San Diego County	✓	✓	✓	✓	✓	

## Food Recovery Organizations

Food Recovery Organizations are entities that collect and transport edible food from food businesses and distribute that edible food directly to the public. These agencies are formally trained in food safety and handling to collect and transport food.

The list of food recovery organizations captures all food recovery agencies operating within the jurisdiction. This includes organizations physically located within the jurisdiction, as well as food recovery organizations that may be located outside of the jurisdiction, but are rescuing food from donors in the jurisdiction.

*Example: Agency A is partnered with a Ralph's grocery store, and rescues their excess edible food using a refrigerated truck. The food is transported back to the agency, where it is directly distributed to clients.*

Food Recovery Organizations	Del Mar	Encinitas	National City	Poway	Solana Beach	Vista
38th Street Church	✓					
Anthem Church						✓
Bayview Baptist Church			✓			
Bread Of Life		✓				✓
Brother Benno						✓
CARITAS Care	✓					
Casa de Amparo						✓
Catholic Charities La Posada		✓				
Choices in Recovery		✓				
Coastline Dream Center		✓				
Community Christian Service Agency				✓		
Community Food Bank of National City			✓			
Community Food Connection				✓		
Community Resource Center	✓	✓			✓	
CRF Del Sur Crisis Center			✓			
Education Support Center					✓	
Fill-a-Belly		✓				
Friendships for Hope			✓			
George H. Waters Nutrition Center			✓			
Gospel Tidings of National City			✓			

Food Recovery Organizations	Del Mar	Encinitas	National City	Poway	Solana Beach	Vista
Grace Full Gospel		✓				✓
Grace Presbyterian						✓
Heaven's Windows			✓			
Helping Hands, St. Peter's Episcopal Church	✓					
Incarnation Lutheran Church				✓		
It's All About the Kids Foundation			✓			
Jewish Family Services	✓					
Mercy Housing, Cantebria Senior Homes		✓				
Mesa View Baptist Church				✓		
MiraCosta College						✓
Mission San Luis Rey Parish						✓
Mountain View Community Church				✓		
New Haven Youth and Family Services						✓
North County Food Bank				✓	✓	✓
North County Sober Living						✓
Oak Hill Residential Care						✓
Oceanside Sanctuary (First Christian Church of Oceanside)						✓
Operation HOPE						✓
Our Lady of Mt. Carmel				✓		
Poway Valley Senior Citizens Corporation				✓		
Salvation Army Escondido				✓		
Salvation Army Oceanside		✓				
San Diego Rescue Mission			✓			
South Bay Community Church			✓			
South Bay Food Pantry			✓			
Southport Christian Center			✓			
Special Delivery			✓			
St. Andrew's Episcopal Church	✓	✓			✓	
St. Francis of Assisi Catholic Church						✓
St. James-St. Leo Catholic Community					✓	
St. Michael's Catholic Church				✓		

*This material was developed for RSWA and associated cities in partnership with Solana Center for Environmental Innovation and San Diego Food System Alliance.*



Food Recovery Organizations	Del Mar	Encinitas	National City	Poway	Solana Beach	Vista
Teen Volunteers in Action		✓				
Temple Adat Shalom				✓		
Templo Evangelico			✓			
The Sikh Foundation of San Diego				✓		
UCSD Food Rescue Network	✓					
USO San Diego				✓		
Vista Teen Outreach						✓

## Food Distribution Agencies

Food Distribution Agencies are entities that collect edible food from a food bank warehouse and distribute it to the public. These agencies are not trained to collect and transport food directly from food businesses, and focus on food distribution only.

*Example: Agency C does not have a relationship with any food donors and is not actively rescuing food; primarily picks up food from their local food bank to distribute to clients.*

### Food Distribution Agencies in Del Mar

*No Food Distribution Agencies to report.*

### Food Distribution Agencies in Encinitas

Equation Collaborative

St. John's Church

### Food Distribution Agencies in National City

CARE Community Center

Casa de Salud

First Christian Church of National City

Friends of Downtown

<b>Food Distribution Agencies in National City</b>
Friendships for Hope
Granger Apartments
Granger Junior High
Highland Avenue Baptist Church
Horizons Adult Day Health Care Center
Iglesia Apostolica de la Fe en Cristo Jesús
Kimball Elementary
Kimball Senior Center
Mercy Housing
Murphy's Produce with Purpose
National City Collaborative 18th Street
National City Middle School
Paradise Creek
Plaza City Apartments
Summercrest Apartments
Sweetwater Secondary School

<b>Food Distribution Agencies in Poway</b>
Embrace FFAH Orange Garden
Garden Road Elementary
Los Arcos Apartments
Midland Elementary
North City Church
Pomerado Elementary

*This material was developed for RSWA and associated cities in partnership with Solana Center for Environmental Innovation and San Diego Food System Alliance.*

<b>Food Distribution Agencies in Poway</b>
Poway Villa Apartments
St. Gabriel's Catholic Church
The Sikh Foundation San Diego
Twin Peaks Middle School
Valley Elementary

<b>Food Distribution Agencies in Solana Beach</b>
Skyline Elementary School
Solana Vista Elementary School

<b>Food Distribution Agencies in Vista</b>
All Saints Episcopal Church
Amity Foundation
Bobier Elementary
Breeze Hill Elementary School
Casita Center - Tech Science
Cause of Christ
Choices in Recovery
Foothill Oak Elementary
Gloria McClellan Adult Activity and Resource Center
Good Neighbor Community Builders
Grace Presbyterian Church
Grapevine Elementary
Hannalei Elementary School

*This material was developed for RSWA and associated cities in partnership with Solana Center for Environmental Innovation and San Diego Food System Alliance.*

<b>Food Distribution Agencies in Vista</b>
Joli Ann Elementary School
MAAC Project Vista
Maryland Elementary
North Coast Church
North County Lifeline
Sequoia Affordable Housing Foundation
Tabernaculo de Restauracion Church
Vista Academy of Visual and Performing Arts
Vista Community Clinic
Vista Teen Outreach
Women's First Step House of North County

Item 6.C.

Next Steps Identified by  
Solana Center for  
RSWA Cities  
SB 1383



## Next Steps Identified by Solana Center for RSWA Cities

*List is in order of priority, chronologically*

### 1. Use County tool to determine potential rescuable edible food for each city

DUE DATE: Spring 2022 (County must report to CalRecycle the aggregate data in Aug 2022)

RSWA CONTRACT: Not in the current Solana Center/SDFSA contract

SHORT DESCRIPTION: Translate Tier 1 and Tier 2 list into actual disposal quantities using the County tool. The cities have expressed interest in this to us.

### 2. Implement food recovery capacity survey to audit list of food recovery organizations

DUE DATE: Aug 2022

RSWA CONTRACT: In the current Solana Center/SDFSA contract as Optional Task 2.9<sup>1</sup> for cities to contract with Solana Ctr directly

SHORT DESCRIPTION: As mentioned in SDFSA's spreadsheet lists of food rescue entities, the entities need to be reviewed with the food banks and organizations before posting publicly. County will administer the survey but cities need follow through to ensure the rescue entities respond. County will then send the aggregate results to each individual city to evaluate. The task deliverables would be (1) a refined list of food recovery organizations located within the contracting city, with verified contact information as well as areas serviced and types of food accepted and (2) completed food recovery capacity assessment surveys for agencies located within the city, which can be submitted to the County of San Diego for the edible food recovery capacity assessment requirement.

Cost	\$100 per food recovery organization
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### 3. Create website landing page layout with resource links for each city to use

DUE DATE: Feb 2022 (CalRecycle requires that resources be distributed by Feb; not specific about method of distribution)

RSWA CONTRACT: Not in the current Solana Center/SDFSA contract

SHORT DESCRIPTION: Our suggestion is to create a basic template of all the data that should be on an SB1383 landing page with regard to waste collection, food rescue, enforcements and waivers, ordinances, contact information, etc. This will help with ensuring consistency of messaging and outreach, create a uniform look for all RSWA cities, and prevent each city from each having to do the same work.

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<sup>1</sup> There is a mis-numbering in the scope of work in the contract, listing two tasks as 2.8. The second of these, we are calling Task 2.9 here.



**4. Provide individual technical assistance to businesses**

DUE DATE: begin Feb 2022 for outreach to business; not specific to technical assistance

RSWA CONTRACT: In the current Solana Center/SDFSA contract as Optional Task 1.5 for cities to contract with Solana Ctr directly

SHORT DESCRIPTION: Some food-generating businesses need help setting up edible food recovery and training on sorting and disposal for AD. We proposed a hotline service, virtual assistance by video call, or in-person assistance.

Deliverable	Cost
Optional monthly hotline calls/emails for generators (up to 15 each month)	\$350/month
Optional virtual technical assistance for restaurants	\$400/business
Optional virtual technical assistance for large generators	\$600/business
Optional in-person technical assistance for restaurants	\$800/business
Optional in-person technical assistance for large generators	\$1,200/business

**5. Inspect commercial generators**

DUE DATE: begin Jan 2022

RSWA CONTRACT: In the current Solana Center/SDFSA contract as Optional Task 1.6 for cities to contract with Solana Ctr directly

SHORT DESCRIPTION: Cities are responsible for inspecting food-generating businesses as needed. The law is nebulous on how many businesses need to be inspected. We proposed either virtual or in-person inspections.

Cost	Virtual inspections @ \$200/generator In-person inspections @ \$400/generator
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**6. Assess recordkeeping and tracking software**

DUE DATE: Aug 2022

RSWA CONTRACT: Not in the current Solana Center/SDFSA contract

SHORT DESCRIPTION: It needs to be determined how and where information other than organic material disposal will be tracked and reported. Specific areas include edible food rescue data, procurement quantities of RNG and compost, and self-hauler data.

**7. Determine each cities' overage or shortfall in addressing edible food recovery and create an implementation plan if more capacity is needed**

DUE DATE: Fall 2022

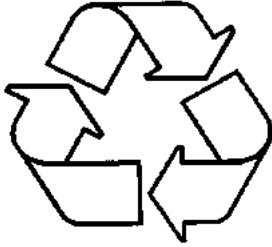
RSWA CONTRACT: Not in the current Solana Center/SDFSA contract

SHORT DESCRIPTION: This is a complex issue that some cities now understand from attending the Edible TAC meeting upon Mallika's encouragement. The County is aggregating data from all cities and from food rescue entities. Next is a matter of how regional food rescue capacity will be allocated to serve each city. This is where it could get complicated because there could be a local shortfall in some areas while regionally we might be okay. Also, if more local capacity needs to be implemented, each city must develop their own implementation plan to provide to CalRecycle.



Item 6.D.

Item 7.A.



# **REGIONAL SOLID WASTE ASSOCIATION**

Member Agencies: Cities of Del Mar, Encinitas, Escondido,  
National City, Poway, Solana Beach, and Vista

To: RSWA Board Members  
From: General Manager James H. Eggart  
Subject: Adoption of Conflict of Interest Code  
Date: October 7, 2021

## **BACKGROUND / DISCUSSION**

In order to enable the Board Members to file their Statements of Economic Interest (Form 700s) electronically through the County eDisclosure™ system, RSWA must adopt a Conflict of Interest Code in a form approved by the County Board of Supervisors and designate the County to be the Code Reviewing Body. The proposed Conflict of Interest Code conforms to the format recommended by the Clerk of the Board of Supervisors. Once the Board adopts a Conflict of Interest Code, it will be forwarded to the County for presentation to the Board of Supervisors. The proposed adopting Resolution provides that the Conflict of Interest Code will become effective 30 days after approval by the Board of Supervisors. If approved, the General Manager will work with the County to make RSWA's designated filers eligible to utilize the County's electronic filing system.

## **RECOMMENDATION**

It is recommended that the Board adopt Resolution No. 2021-06 Adopting a Conflict of Interest Code.

## **ATTACHMENTS**

Proposed Resolution No. 2021-06.

**RESOLUTION NO. 2021-06**

**A RESOLUTION OF THE BOARD OF DIRECTORS OF THE REGIONAL SOLID WASTE AUTHORITY ADOPTING A CONFLICT OF INTEREST CODE.**

**WHEREAS**, the Political Reform Act of 1974, Government Code Sections 81000 et seq. (“the Act”), requires a local government agency to adopt a Conflict of Interest Code (“Code”), pursuant to the Act.

**WHEREAS**, the Regional Solid Waste Association (“RSWA”) is a local government agency, created pursuant to the Joint Exercise of Powers Act, Government Code sections 6500 et seq., with jurisdiction wholly within San Diego County.

**WHEREAS**, pursuant to Government Code section 82011, the San Diego County Board of Supervisors is the code reviewing body for RSWA.

**WHEREAS**, the Fair Political Practices Commission has adopted a model conflict of interest code, which is set forth in Title 2 of the California Code of Regulations, Section 18730, which contains terms for a conflict of interest code, which, together with amendments thereto, may be adopted by RSWA by reference to minimize the actions required of RSWA to keep its conflict of interest code in conformity with the Act.

**WHEREAS**, the Board of Directors of RSWA desire to adopt to the model conflict of interest code promulgated by the Fair Political Practices Commission.

**NOW, THEREFORE, BE IT RESOLVED**, by the Board of Directors of the Regional Solid Waste Association as follows:

1. The above recitals are true and correct and are incorporated herein by reference.
2. The proposed Regional Solid Waste Association Conflict of Interest Code attached as Exhibit “A” is approved and adopted.
3. The proposed Conflict of Interest Code shall be submitted to the Board of Supervisors of the County of San Diego for approval and shall become effective 30 days after the Board of Supervisors approves the proposed Conflict of Interest Code.

4. The RSWA General Manager is hereby authorized to take all actions and execute all documents or contracts necessary or appropriate to implement this Resolution.

**PASSED AND ADOPTED** by the Board of Directors of the Regional Solid Waste Association, San Diego County, State of California, on October 7, 2021.

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Ron Morrison, Chairman  
Regional Solid Waste Association

APPROVED AS TO FORM:  
James H. Eggart, General Counsel

By: \_\_\_\_\_

**EXHIBIT “A”**

**CONFLICT OF INTEREST CODE**

**OF THE**

**REGIONAL SOLID WASTE ASSOCIATION**

**(Adopted October 7, 2021)**

1. The Political Reform Act (Government Code §81000 *et seq.*) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code Regs., §18730) that contains the terms of a standard conflict of interest code which can be incorporated by reference in an agency’s code. After public notice and hearing, Section 18730 may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730, and any amendments to it duly adopted by the Fair Political Practices Commission, are hereby incorporated by reference. Regulation 18730 and the attached Appendix designating positions and establishing disclosure categories, shall constitute the Conflict of Interest Code of the Regional Solid Waste Association (“Association”).
  
2. Individual holdings designated positions shall file their Statements of Economic Interest with the General Manager of the Association, which will make the statements available for public inspection and reproduction during regular business hours. (Gov. Code, §81008) Upon receipt of the statements for Members of the Board of Directors and Alternates, General Manager, and General Counsel, the General Manager of the Association shall make and retain copies and forward the originals to the Clerk of the Board of Supervisors of the County of San Diego. All other Statements of Economic Interest will be maintained at the Association.

**APPENDIX – PART 1**  
**DESIGNATED POSITIONS**

<u>Designated Positions</u>	<u>Disclosure Categories</u>
Members of the Board of Directors and Alternates	1, 2, 3, 4
General Manager	1, 2, 3, 4
General Counsel	1, 2, 3, 4
Consultants/New Positions <sup>1</sup>	

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<sup>1</sup> The General Manager may determine in writing that a particular consultant or new position is hired to perform services which would require the person to file a statement of economic interest. Such a written determination shall include a description of the duties and based on that description, the category(ies) of required disclosure. This written determination is a public record and shall be retained in the same manner as statements of economic interest. Individuals who perform under contract the identical duties of any designated position shall be required to file Statements of Economic Interests disclosing reportable interest in the categories assigned to that designated position.



**APPENDIX – PART 1**  
**DISCLOSURE CATEGORIES**

Officials and designated positions must report financial interests in accordance with the assigned disclosure categories.

**Category 1** All interests in real property within the jurisdiction of the Regional Solid Waste Association. Real property is considered within the jurisdiction of the Regional Solid Waste Association if any part of the real property is located within the jurisdiction of a Member of the Regional Solid Waste Association or not more than two miles outside the boundaries of such jurisdiction.

**Category 2** All investments and business positions.

**Category 3** All income, including gifts, loans and travel payments and business positions.

**Category 4** All business positions, investments in, or income (including gifts, loans and travel payments) received from business entities that provide service or products of the type that may be used by the Regional Solid Waste Association and associated with the job duties of the designated position assigned to this disclosure category.

**CERTIFICATION**

I, Ron Morrison, Chairman of the Regional Solid Waste Association, do hereby certify that the foregoing Resolution No. 2021-06 was duly adopted at a regular meeting of the Association, held on the 7th day of October, 2021, by the following vote of the members of the Association:

AYES:

NOES:

ABSENT:

ABSTAIN:

And I further certify that I signed and approved said Resolution on the \_\_\_\_ day of October, 2021.

\_\_\_\_\_  
Ron Morrison, Chairman  
Regional Solid Waste Association